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## **REPRESENTATIVE DOCUMENTS**



## Web Sites about Open Access

## Open Access

Open Access is a term widely used to describe refereed scholarly communication available online that is not restricted by copyright nor by price barriers. See [below](#) for a more detailed definition

Peter Suber, editor of the highly popular [Open Access News](#) blog and the [SPARC Open Access Newsletter](#), has produced an excellent, extensive list of practical steps people can take to move Open Access forwards. Whether you are a faculty member, a librarian, student, a society, funder or government body, Suber provides many suggestions for positive contributions you can make to the Open Access movement today:

What You Can Do To Promote Open Access

<http://www.earlham.edu/%7Epeters/fos/do.htm>

### General resources:

Articles and Papers on Open Access by the Association of Research Libraries

<http://www.arl.org/info/publicaccess/Leq/articles.html>

Open Access [Public Library of Science]

<http://www.plos.org/about/openaccess.html>

Budapest Open Access Initiative

<http://www.soros.org/openaccess/>

Open Access Bibliography by Charles Bailey

<http://info.lib.uh.edu/cwb/oab.pdf>

The Open Archives Initiative

<http://www.openarchives.org/>

### Open Access Materials Available on the World Wide Web:

Open Access Archives and Repositories

<http://www.earlham.edu/%7Epeters/fos/lists.htm#archives>

Jan Szczepanski's list of Open Access Journals

<http://www.his.se/templates/vanligwebbsida1.aspx?id=20709>

### Definition of Open Access from the Public Library of Science:

An Open Access Publication [1] is one that meets the following two conditions:

1. The author(s) and copyright holder(s) grant(s) to all users a free, irrevocable, worldwide, perpetual right of access to, and a license to copy, use, distribute, transmit and display the work publicly and to make and distribute derivative works, in any digital medium for any responsible purpose, subject to proper attribution of authorship, [2] as well as the right to make small numbers of printed copies for their personal use.
2. A complete version of the work and all supplemental materials, including a copy of the permission as stated above, in a suitable standard electronic format is deposited immediately upon initial publication in at least one online repository that is supported by an academic institution, scholarly society, government agency, or other well-established organization that seeks to enable open access, unrestricted distribution, interoperability, and long-term archiving (for the biomedical sciences, PubMed Central is such a repository).

[1] Open access is a property of individual works, not necessarily journals or publishers.

Other scholarly communication links:

[2005 New NIH Public Access Policy Resources](#)

[Scholarly Communication Issues and Resources](#)

[News About Scholarly Communication](#)

## OA / UofA

### Open Access Publishing Information for the University of Alberta Community

#### ABOUT OPEN ACCESS

[UofA Open Access](#)  
[Open Access Agencies](#)  
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#### SEARCH




#### UofA Libraries OA Support

We, at the University of Alberta Libraries, are committed to the principles of Open Access (OA), as outlined in the [UofA Statement on Open Access to Scholarly Information and Research Dissemination](#). Open Access benefits researchers and learners by equalizing access to research information and facilitating scholarly communication. The University of Alberta Libraries include OA products in our collections, and provide support for members of the University of Alberta community who want to publish in OA formats. For more information about Open Access, please contact your [subject librarian](#).

The University of Alberta Libraries supports many Open Access publishing initiatives:

#### SPARC (Scholarly Publishing and Academic Resources Coalition)

The UofA Libraries support SPARC as a Full Member, which includes an institutional pre-press commitment for journals which are SPARC publishing partners. Our SPARC open access commitments are:

- BioMed Central**  
 The University of Alberta Library's supporter membership with BMC reduces the author publication fee by 50% for UofA authors. [View a list of recent papers published by UofA authors.](#)
- Publishing Society of France**  
 The UofA Library's institutional membership entitles UofA authors to a 10% reduction in the publication fee. [View a list of recent papers published by UofA authors.](#)
- International Electronic Journal of Philosophy**

#### Open Content Alliance (OCA)

The OCA represents the collaborative efforts of a group of organizations from around the world that will help build a permanent archive of multilingual digitized text and multimedia content.



[Publisher and Journal Profiles](#) [Model Copyright Clauses](#)

UNIVERSITY of CALIFORNIA  
Office of Scholarly Communication

[Search](#) | [Site Map](#)

## Regain Control of Scholarly Communication

The University of California's scholars and their partners across the academy are reshaping scholarly communication. Understand the challenges, the crises they have produced, and opportunities to address them.

[View a summary...](#)



Ben Crow | Professor of Sociology, UC Santa Cruz

There are publishing alternatives that are faster, more flexible and less costly than commercial journals.

### Current News & Issues

- Survey of UC Faculty attitudes & behavior
- Reviews of proposed UC Open Access Policy
- Other recent UC-related news

### The Facts

Current scholarly publishing models are not economically sustainable. Researchers and students have access to a diminishing fraction of relevant scholarship. But remedies and alternatives are being developed and tested. [Learn about:](#)

- The economics of publishing
- Alternatives for scholarly communication

### UC Responses

- eScholarship Publishing Initiatives
- Systemwide Faculty Committee
- Systemwide Administrative Committee
- UC Libraries' Program
- Office of Scholarly Communication

### TAKE ACTION

Scholars: influence the scholarly communication system to increase the impact and benefit of your scholarship.

[Review and discuss the UC Open Access Policy proposal](#)

[Manage your intellectual property](#)

- Retain certain copyrights
- Maximize the reach and impact of your work

[Use alternative forms of publishing](#)

- Deposit your work in open access repositories
- Submit to open access journals

[Support sustainable scholarly communication](#)

- Wield your influence with publishers
- Promulgate society publishing best practices
- Support publishing experiments and new business models

[Comments?](#) [Feedback?](#)

This site hosted by the UC Office of Scholarly Communication [\[Contact information\]](#)

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*Faculty and the Collections*[Intellectual Property Symposium for Faculty](#)[Managing Library Collections](#)[Managing Your Intellectual Property](#)[Faculty Responses and Resources](#)[Frequently Asked Questions](#)[Contacts](#)[Archive](#)[For Librarians](#)[UC eScholarship Repository](#)[Frequently Asked Questions](#)[Ask Us](#)**Issues and Updates*****Proposal for a UC Open Access Policy***

Citing the UC Senate's recommendation that the university take action "to facilitate scholarly communication and maximize the impact of the scholarship of UC faculty," [Provost Rory Hume has asked the UC Chancellors and Academic Senates](#) to review a [proposed Open Access Policy](#). The policy proposes that UC faculty authors of published articles or conference proceedings retain their copyright but routinely give the university non-exclusive permission to make their research findings available in a publicly accessible online repository, such as the UC's [eScholarship repository](#).

To help the UC community understand and contribute to the policy's review, the UC Office of Scholarly Communication has collaborated with policy framers to provide answers to [frequently asked questions](#).

***Report on Value-Based Journal Pricing***

The UC libraries have released a report describing their work on "value-based" pricing for scholarly journals. Authored by a task force of the ten-campus library system's Collection Development Committee, [The Promise of Value-based Journal Prices and Negotiation: A UC Report and View Forward](#) is a direct outcome of the UC libraries' collective strategic priority to advance economically balanced and sustainable scholarly communication systems. The report details UC's rationale for value-based journal prices and modeling of prices for scholarly materials that are reasonable, transparent, and based upon the value of the material to the university's academic mission.

Questions or comments about the report can be directed to Cindy Shelton, UCLA interim associate university librarian for collections, who was one of the report's authors. She can be reached by [email](#) or by phone at 310.825.1201.

Updated: Sunday, September 16, 2007 12:21

[Privacy Policy](#) | [User Rights and Responsibilities](#) | [Contact the Web Administrator](#) | [Giving to the Library](#)



[home](#) > [science library](#) > [open access movement](#)

## Open Access Movement

The UGA Libraries support the Open Access movement. Rising journal prices coupled with decreasing budgets necessitate changes in publishing methods. The Open Access movement leads the way in providing research in a timely fashion at minimal charge, allowing for quick dissemination of important research findings. The scientific community in particular has led the way in providing up-to-date scholarly research in the Open Access environment.

### Background Information About the Open Access Movement:

[Create Change](#) is an organization dedicated to promoting the equitable dissemination of scholarly research.

[OpenDOAR](#) is an authoritative directory of academic open access repositories. As well as simply listing repositories, OpenDOAR provides tools and support to both repository administrators and service providers in sharing best practice and providing tools to improve the quality of the repository infrastructure.

[SPARC](#) is the **S**cholarly **P**ublishing and **A**cademic **R**esources **C**oalition formed through the Association of Research Libraries as a way to address the escalating prices of journals.

[Washington DC Principles for Free Access to Science](#) movement is led by publishers in the science and medical fields.

### Open Access Publishers Specific to the Scientific Community:

[AGRICOLA](#) is a bibliographic database of citations to the agricultural literature created by the National Agricultural Library.

[AgZines](#): "a harvest of free agricultural journals."

[BioOne](#) indexes articles in the Bioscience arena.

[The Directory of Open Access Journals](#) provides a listing of journals that participate in the Open Access Movement. The journals are arranged both alphabetically and topically.

[Free Medical Journals](#) provides a listing of over 1300 freely available journals in the medical field.

[HighWire Press](#) hosts the largest on-line repository of life science, peer-reviewed articles from high profile journals.

[The International Network for the Availability of Scientific Publications](#) offers a listing of freely available on-line journals.

[The Open Directory Project](#) provides a listing of freely available journals on the Worldwide Web.

[Lehigh University's list](#) of resources pertaining to the Open Access movement.

[The Public Library of Science](#) contains Open Access works in the biological sciences on "all aspects of biology from molecules to ecosystems".

## Science Library

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- [Science Directory](#)
- [Suggest a Purchase](#)



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<http://www.libs.uga.edu/science/research.html>





## Library and Information Center

### INFORMATION ABOUT:

#### [CRISIS IN SCHOLARLY COMMUNICATION](#)

#### [THE COLLECTIVE RESPONSE](#)

#### THE GEORGIA TECH RESPONSE

- [Serials Review website](#)
- [Open Access @ GT](#)

#### RESOURCES:

- [for Authors](#)
- [for Librarians](#)

### OPEN ACCESS AND SCHOLARLY COMMUNICATION

## The Collective Response

#### What is being done?

One response to the scholarly communication crisis is the Open Access (OA) Movement. In its purest form, Open Access publishing provides immediate, free public access to scholarly publications on the Internet, whether in the form of open access journals or through some form of archiving. What makes it possible is the Internet and the consent of the author or copyright-holder. For the past several years, open access publishing initiatives have been proposed to increase the visibility of scholarly output. See Peter Suber's [Open Access Overview](#) for an historical perspective and more information about the initiative.

OA is entirely compatible with peer review, and all the major OA initiatives for scientific and scholarly literature insist on its importance. Just as authors of journal articles donate their labor, so do most journal editors and referees participating in peer review. OA literature is not free to produce, even if it is less expensive to produce than conventionally published literature. The importance is not a cost-less system, but a better way to make research available with as few barriers to this information as possible.

[Self-Archiving Institutional Repositories](#)  
[Disciplinary Repositories](#)

[Open Access Publishing](#)  
[OA Journals](#)  
[OA Publishers](#)  
[OA Directories](#)

#### Self-Archiving

- ▶ Institutional repositories:

Institutional repositories capture the digital-born intellectual output of an academic institution in support of its teaching and research missions. For more information about Georgia Tech's IR visit [SMARTech](#) (Scholarly Materials and Research at Tech).

Examples of content typically ingested in IRs include:

Annual Reports, Computers Programs, Conference Papers, Data Sets, Learning/Complex Objects, Models, Pre-Prints/Post-Prints, Proceedings, Research Reports, Simulations, Technical Reports, Web Pages, White Papers and Working Papers

Examples:

- [California Digital Library eScholarship](#)
- [CalTech CODA](#)
- [Cornell DSpace Open Access Repository](#)
- [DigitalCommons @ Johns Hopkins University](#)
- [Georgia Tech SMARTech](#)
- [MIT DSpace](#)
- [NCSU Faculty Publications Repository](#)
- [Purdue E-Scholar](#)
- [ScholarlyCommons@Penn](#)
- [Texas A&M TxSpace](#)
- [University of Illinois Urbana-Champaign IDEALS](#)
- [University of Michigan Deep Blue](#)
- [University of Tenn Knoxville Scholars archive repository](#)
- [University of Texas Digital Repository](#)
- [University of Washington Dspace](#)
- [University of Wisconsin MINDS@UW](#)

- ▶ Disciplinary repositories

Examples:

- [arXiv.org](#) (Physics)

### NEWS:

- [Latest SPARC Open Access Newsletter](#)
- [Cambridge University Press Journals launch Open Access](#)
- [Provosts from nearly 50 universities support public-access legislation](#)

### Open Access Publishing

▶ Open Access Journals:

[Journal of International Commercial Law and Technology](#), published by the International Association of IT Lawyers (IAITL)

[Carbon Balance and Management](#), published by BioMed Central

[Electronic Journal of Geotechnical Engineering](#), published by World Wide Web of Geotechnical Engineers

[Electronic Journal of Boundary Elements](#), published by Scholarly Communication Center, Rutgers University

[Journal of Educational Technology & Society](#), published by IEEE Computer Society

[Digital Journal of Ophthalmology](#), Massachusetts Eye and Ear Infirmary, a teaching affiliate of Harvard Medical School

[IETF Journal](#) (Internet Engineering Task Force), published by the Internet Society

[Information Technologies and International Development \(ITID\)](#), published by MIT Press

Library-hosted Open Access Journals:

[Southern Spaces](#), Digital Library Research Initiative, Robert W. Woodruff Library, Emory University

[Journal of Cognitive Affective Learning](#), Digital Library Research Initiative, Robert W. Woodruff Library, Emory University

[CTheory Multimedia](#), published by Cornell University Library

▶ Open Access Publishers:

[PLoS](#)

[Pub Med Central](#)

[Bio Med Central](#)

▶ Open Access Directories:

[ROAR](#) (Registry of Open Access Repositories)

[OpenDOAR](#) (Directory of Open Access Repositories)

[DOAJ](#) (Directory of Open Access Journals)

[SPARC: List of Institutional Repositories](#)

[SPARC EUROPE: Institutional Repository Initiatives in Europe](#)

[NDLTD](#) (Networked Digital Library of Theses and Dissertations)

**Scholarly Communications Group** Knowledge Without Barriers

The Johns Hopkins Scholarly Communications group is dedicated to fostering open access to quality information in support of learning, scholarship, research and patient care.

The group promotes:

- increasing awareness among scholars, administrators and policy makers of the importance of retaining certain rights over their intellectual property
- initiatives and practices that encourage competition in the publishing of scholarly information and supports practices which facilitate free exchange of scholarly information



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Access in Scholarly  
Publishing Forum  
Archive

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- Organizations & Institutions
- NEW Publishing Models
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News & Events

- 09-18-07 [SciVec: YouTube for Scientists](#)
- 09-12-07 [Open Access to Scientific Memoirs](#)
- 09-11-07 [Elsevier Makes Oncology Articles Free](#)
- [more news & events...](#)

 NIH Policy FAQ

Scholarly Communication at Risk

- + The Issues
- + The Facts
- + A Call to Action

## Scholarly Publication - MIT Libraries

Retaining rights & Increasing the impact of research

### Open Access Initiatives

#### Open Access: What is it?

“Open Access” defines a movement that promotes free, unrestricted Internet access to the primary research literature as a public good. Definition of the concept emerged from three conferences:



- [Berlin Declaration on Open Access to Knowledge in the Sciences and Humanities](#)
- [Bethesda Statement on Open Access Publishing](#)
- [Budapest Open Access Initiative](#)

Also see: [An Overview of Open Access](#)

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#### Open Access Policies from Major Research Funders:

- [NIH Public Access Policy](#)
- [HHMI Public Access Publishing Policy](#)
- [Federal Research Public Access Act](#)
- [Open Access Mandates in the UK, Europe, and Canada](#)
- [Summaries of Research Funders' Open Access Policies](#)

#### NIH Public Access Policy

The original National Institutes of Health proposal in September 2004 attempted to balance Open Access to NIH-funded research results with economic and business needs, by asking NIH principal investigators to deposit their peer-reviewed articles in PubMed Central (the NIH's digital repository for biomedical research) following a six month waiting period. The [final proposal](#), announced on February 3rd, 2005, requests deposit “as soon as possible”, within twelve months of publication.



- Original NIH Notice: [Enhanced Public Access to NIH Research Information](#)
- [AAU Statement on NIH Public Access Proposal \[pdf\]](#)
- [NAS endorsement of “Enhanced Public Access to NIH Research Information”](#)
- [Taxpayers Support “Open Access” to NIH Research](#)

- [Final NIH policy](#)
- [NIH Manuscript Submission System \(Implementation of NIH Policy\)](#)
- [NIH FAQ about manuscript submission](#)

### Howard Hughes Medical Institute [HHMI] Public Access Publishing Policy

The HHMI announced on June 26, 2007 that it will “require its scientists to publish their original research articles in scientific journals that allow the articles and supplementary materials to be made freely accessible in a public repository within six months of publication.”

The policy applies to all manuscripts submitted by HHMI scientists on or after January 1, 2008.

[More details.](#)

### Federal Research Public Access Act of 2006

FRPAA is a bipartisan effort to increase tax payers’ access to federally funded research. The Act would require that manuscripts of journal articles stemming from grants made by US government agencies funding more than \$100 million in research annually be available openly on the internet — without payment or subscription barriers — within six months of publication elsewhere in a peer-reviewed journal. This legislation was introduced on May 2, 2006 by Senators John Cornyn (R-TX) and Joe Lieberman (D-CT).

The Act would also require that the manuscripts be preserved in a digital archive maintained by the funding agency, or in another suitable repository that permits free public access, interoperability, and long-term preservation.

Eleven government agencies would be affected: The Departments of Agriculture, Commerce, Defense, Education, Energy, Health & Human Services, Homeland Security, and Transportation, as well as the Environmental Protection Agency, the National Aeronautics and Space Administration, and the National Science Foundation. (Only nonclassified research is covered by the Act.)

FRPAA is consistent with existing copyright and patent laws; the funding agency would need to obtain a non-exclusive right to disseminate manuscripts resulting from their grant funds. Researchers accepting funding from these agencies would need to avoid transferring exclusive rights to publishers of their journal articles, to allow for public dissemination in accordance with this Act.

In February 2007, *Wired News* reported [the first public confirmation](#) that Senator Cornyn plans to re-introduce FRPAA in the current session of Congress.

For more information on FRPAA:

<http://info-libraries.mit.edu/scholarly/open-access-initiatives/>

- [Official wording of the bill and FAQ](#)
- [SPARC's summary](#)
- [Colorado State University Libraries' Newsletter article](#)
- [Peter Suber's Summary/Analysis](#)

### Open Access Mandates in the UK, Europe, and Canada

#### Wellcome Trust (UK)

[The Wellcome Trust](#), an independent charity that funds research to improve human and animal health, is the largest private funder of medical research in the UK. In October, 2005, it became first research funding agency in the world to require open access to all publications resulting from its grants.

The Wellcome Trust position statement in support of open and unrestricted access to published research requires that "any research papers that have been accepted for publication in a peer-reviewed journal, and are supported in whole or in part by Wellcome Trust funding, to be deposited into PubMed Central (PMC) or UK PMC once established, to be made freely available as soon as possible and in any event within six months of the journal publisher's official date of final publication."

The policy is also significant in its clear statement that an author's obligations to the Wellcome Trust pre-date and take precedence over "any agreement with a journal." Papers submitted for publication on or after October 1, 2006 must be submitted to journals that have a Wellcome Trust compliant publishing policy.

The position statement also includes an expectation that "authors...where possible... retain their copyright" and guarantees funding to cover page processing charges authors may face when working with publishers who support the open access model.

#### Research Councils (UK)

As of September 2007, 6 of the 7 [Research Councils](#) in the UK had adopted open access mandates. These councils provide a significant portion of publicly funded research in the UK. Sample open access mandates include:

- [Biotechnology & Biological Sciences Research Council \(BBSCR\)](#)
- [Economic & Social Research Council \(ESRC\)](#)
- [Medical Research Council \(MRC\)](#)
- [Natural Environment Research Council \(NERC\)](#)

#### European Commission:

In the largest government allocation to OA infrastructure in history, the European Commission has

budgeted roughly 50 million pounds for the period 2007-08.

In addition, the following European research funding organizations are among those which have established Open Access mandates or recommendations:

- [European Research Council](#)
- France: [Inserm](#) (Institut national de la santé et de la recherche medicale) – OA required from 2008
- Germany: [Deutsche Forschungsgemeinschaft](#) (DFG)

#### Canada:

- The Canadian Institutes of Health Research (CIHR) announced [a new open access policy](#) that takes effect January 1, 2008. It requires those receiving grant funds from CIHR to “make every effort to ensure” their research articles are made freely available within six months of publication.

#### Summaries of Research Funders’ Open Access Policies:

- Research funders: [Sherpa’s Juliet database](#)
- Research funders and University OA Policies: [ROARMAP](#)
- Funders of biomedical research: OA policies: from [BioMed Central](#)

#### More information

- [FAQ](#)
- [Podcasts on Scholarly Publishing & Copyright](#)
- [Recent commentary on open access and scholarly publishing](#)
- [Dispelling Myths about Open Access](#)
- [More on Open Access Initiatives](#)
- [Open Access and Scholarly Monographs](#)
- [MIT Impacts](#)





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- Ask a Librarian

## Open Access & Scholarly Communications

The Health Sciences Library supports Open Access, Open Archives, and Open Repositories as methods to encourage the widest possible access to scholarly content.

- Open Access**  
Scholarly content made available free of charge to anyone upon publication.
- Open Archives**  
Scholarly content in subscription publications made available free of charge after an initial embargo period.
- Open Repositories**  
Digital collections of scholarly content on a particular subject or the collected intellectual works of an institution or group of institutions.

### What You Can Do

#### #1 Keep Your Copyrights

- Contact Deborah Gerhardt, UNC Copyright and Scholarly Communications Director
- UNC Journal Author's Agreement
- Copyright Resources for Authors (SPARC)

#### #2 Publish in Open Access Journals

- Funding Supports Open Access Fees
  - ▶ Get Application for UNC-CH Funding
- BioMed Central  
Our institutional membership, effective until Feb 2008, covers article processing fees for UNC-Chapel Hill authors.
- Public Library of Science  
Our institutional membership currently covers 15% of UNC-Chapel Hill author's fees.
- Directory of Open Access Journals

#### #3 Deposit Your Work in a Repository

- PubMed Central  
Author Manuscripts and NIH Public Access Policy
- OpenDoar  
Provides a quality-assured listing of open access repositories around the world.



Sign the Petition for Public Access to Publicly Funded Research in the United States.

If you are a researcher whose work is funded by the federal government, your signature is especially important since it shows that you want your work to be shared and used.

The Alliance for Taxpayer Access

### UNC Activities

- Publishing Choices: Know Your Rights and Expand Your Impact. With Heather Joseph, Executive Director of SPARC. View video of Heather Joseph's presentation at UNC, April 2007.
- Reading the Fine Print. Chronicle article by UNC's Deborah Gerhardt on negotiating publication contracts.
- Organizing the World's Organization: Google's Vision for the 21st Century. View video of Craig Silverstein's presentation at UNC, October 2006.
- UNC-CH Authored Articles in Open Access Journals (PubMed search)
- Scholarly Communications in a Digital World: A Convocation (January 27-28, 2005)
- UNC-Chapel Hill Scholarly Communications Working Group
- CRADLe - Center for Research and Development of Digital Libraries

### About Open Access

- Open Access (Create Change)
- Open Access - A Primer (Funk)
- 2005 Survey of Journal Author Behavior (Rowlands & Nicholas)
- "Impact Factor" Revisited (Dong, Loh & Mondry)

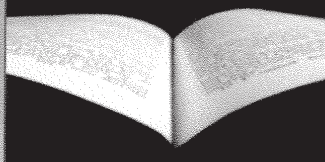
### Learn More

- Six things that researchers need to know about open access (Suber)
- Open Access News Blog (Suber)
- Open Access Citation Impact Bibliography (OpCit Project)
- Scholarly Electronic Publishing Bibliography (Bailey)



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Services
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## SCHOLARLY COMMUNICATION AND OPEN ACCESS PUBLISHING

### Proposals and Projects To Transform the System

Initiatives  
Implementations

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#### Initiatives

**Budapest Open Access Initiative (BOAI)**  
<http://www.soros.org/openaccess/index.shtml>

- Advocates open access for the scientific and scholarly research texts that authors give to publishers and readers without asking for any kind of royalty or payment
- Only concerns access to future research literature
- Focus is on peer-reviewed research literature
- Author consent (relinquishing payment, not intellectual property rights, i.e., not placing in the public domain)

BOAI defines "open access" as the free availability on the public internet, permitting any users to read, download, copy, distribute, print, search, or link to the full texts of these articles, crawl them for indexing, pass them as data to software, or use them for any other lawful purpose, without financial, legal, or technical barriers other than those inseparable from gaining access to the internet itself. The only constraint on reproduction and distribution, and the only role for copyright in this domain, should be to give authors control over the integrity of their work and the right to be properly acknowledged and cited.

**Scholarly Publishing and Academic Resources Coalition (SPARC)**  
<http://www.ari.org/sparc/>

- SPARC®, is an alliance of universities, research libraries, and organizations built as a constructive response to market dysfunctions in the scholarly communication system. SPARC serves as a catalyst for action, helping to create systems that expand information dissemination and use in a networked digital environment while responding to the needs of academe.
- Goal is to foster competition in scientific communication by facilitating cost-conscious, high-quality journals to compete head-to-head with existing high-cost journals.
- SPARC Open Access Newsletter  
<http://www.ari.org/sparc/soa/index.html>

**Public Library of Science (PloS)**  
<http://www.plos.org/>

- A nonprofit organization of scientists "committed to making the world's scientific and medical research freely accessible to scientists and the public around the world."
- Goal to open the doors to the world's library of scientific knowledge by giving any scientist, physician, patient, or student - anywhere in the world - unlimited access to the latest scientific research.
- Publishes several open access journals. All works are open access, i.e., immediately available without cost to anyone to use subject only to the condition that the original authorship is properly attributed. Copyright is retained by the author.

<http://www.lib.ncsu.edu/scc/scomm/proposals.html>

- Publications fees (payable by author, institution, or funding agency) are \$1500 per article; discounts are available with institutional memberships.

**Washington D.C. Principles For Free Access to Science  
A Statement from Not-for-Profit Publishers**

<http://www.dcpinciples.org/statement.htm>

The **DC Principles** outlines the commitment of not-for-profit publishers to work in partnership with scholarly communities such as libraries to "ensure that these communities are sustained, science is advanced, research meets the highest standards and patient care is enhanced with accurate and timely information." The **DC Principles** provide what has been called the needed "middle ground" in the increasingly heated debate between those who advocate immediate unfettered online access to medical and scientific research findings and advocates of the current journal publishing system.

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**Implementations**

**"Open Access" Models**

**PubMed Central (PMC)** ( <http://www.pubmedcentral.nih.gov/> )

- The U.S. National Library of Medicine's digital archive of life sciences journal literature
- An e-print initiative providing free, unrestricted online access to the full text of life science research articles
- Not a journal publisher
- Copyright remains with the journal or author

**BioMed Central (BMC)** ( <http://www.biomedcentral.com> )

- 50 online, peer reviewed journals in biology and medicine Independent, commercial publishing house
- Free access
- Authors retain copyright
- Funded by author fees or institutional membership
- Pricing model: base article processing charge of \$525 per article multiplied by the number of articles published by authors affiliated with an institution in BioMed Journals during the previous 12 months
- TRLN Letter to BioMed Central ( [http://www.trln.org/BioMed Central letter.pdf](http://www.trln.org/BioMed%20Central%20letter.pdf) )

**Public Library of Science (PloS)** ( <http://www.plos.org> )

- Not just an initiative (see above); publishes several highly regarded open access journals
- Treats cost of publishing as the final integral step in the funding of a research project

**Public Access to NIH-Funded Research**

The National Institutes of Health (NIH) is now promoting open access to research it funds. For research funded by NIH; electronic versions of all scholarly publications resulting from the research must be deposited into PubMed as soon as possible, but no later than 12 months after official publication. This policy is weaker than the original proposal that called for deposit in six months, it is a step in the right direction.

National Institutes of Health, "Policy on Enhancing Public Access to Archived Publications Resulting from NIH-Funded Research" 

More information on public access from the NIH

[return to top]

**"Cost-Effective" Subscription Access Models**

**BioOne** ( <http://www.bioone.org> )

- Biological, ecological, and environmental science journals
- Full text offered
- Small societies and non-commercial publishers
- American Institute of Biological Sciences, SPARC, University of Kansas, Greater Western Library Alliance & Allen Press

**Highwire Press** (<http://highwire.stanford.edu> )

- Division of the Stanford University Libraries
- Online versions of high-impact, peer-reviewed journals (355) with a focus on STM
- Hosts largest repository of free full-text life sciences articles in the world (some parts of Highwire are free; others are not or provide delayed access)
- Available through institutional and individual subscriptions

Repositories

Repositories containing scholarly output are included in the open access movement, along with open access journals. Models of institution- and discipline-based content have been developed.

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Open Access: A Primer

#### What is Open Access?

Open access journals are journals that use a funding model that does not charge readers or their institutions for access.

#### What is a repository?

A repository is a place where scholars can deposit digital content they have created. These open access repositories accept all types of scholarly materials, including pre-publication materials, journals and peer-reviewed series, seminar series papers, post-prints, and more. Repositories ensure the dissemination and preservation of that content in way that a departmental or faculty website cannot.

[University of California's e-Scholarship Repository](#)

[The Ohio State University's Knowledge Bank.](#)

#### Where can I find open access journal titles?

- [Full Text Journals in Chemistry](#)
- [Directory of Open Access Journals](#)
- [Public Library of Science](#)
  - [PLOS Biology](#)
  - [PLOS Computational Biology](#)
  - [PLOS Genetics](#)
  - [PLOS Medicine](#)
  - [PLOS Pathogens](#)
- [BIOMED Central](#)
  - [Immunome Research](#)
  - [Journal of Ethnobiology and Ethnomedicine](#)
  - [Biological Knowledge](#)
  - [Biology Direct](#)
  - [Diagnostic Pathology](#)
  - [International Breastfeeding Journal](#)
  - [Journal of Biomedical Discovery and Collaboration](#)
  - [Philosophy, Ethics, and Humanities in Medicine](#)
  - [Substance Abuse Treatment, Prevention, and Policy](#)
  - [Synthetic and Systems Biology](#)
- [American Society for Biochemistry and Molecular Biology \(ASBMB\)](#)
  - [Journal of Biological Chemistry](#)

CNN Science & Space  
Science News  
Science Daily

- [Journal of Lipid Research](#)
- [Molecular & Cellular Proteomics](#)
- Institute for Condensed Matter Physics of the National Academy of Sciences of Ukraine
  - [Condensed Matter Physics](#)
- Libertas Academica
  - [Cancer Informatics](#)
  - [Evolutionary Bioinformatics Online \(EBO\)](#)
- The Japan Society of Histochemistry and Cytochemistry
  - [Journal of Histochemistry & Cytochemistry](#)
  - [Acta Histochemica et Cytochemica](#)
- [National Institute of Standards and Technology](#)
  - [Journal of Physical & Chemical Reference Data](#) Fulltext v1-27 (1972-1998) [free]
- Library Publishing Media
  - [Journal of RNAi and Gene Silencing](#)
- The Federation of European Biochemical Societies
  - [European Journal of Biochemistry](#) Fulltext v1-271 (1967-2004) 12 month moving wall
  - [FEBS Journal](#) (Fulltext v272+ (2005+) 12 month moving wall) review articles only
- [Science Direct](#)
  - [FEBS Letters](#) (Fulltext v1+ (1968+) 12 month moving wall)

Please send any comments or suggestions to: [SEL Page Master](#)

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Problems/Comments to [Web Master](#)

If you have difficulty accessing any portions of this site due to incompatibility with adaptive technology or need the information in an alternative format, please contact [Larry Allen](#).

The screenshot shows the University of Tennessee Libraries website. The header includes the university logo and 'University Libraries'. A navigation menu on the left lists: University Libraries, Collection Development & Management, Libraries Catalog, Databases, Services, Branch Libraries, Libraries A to Z, and AskUsNow!. The main content area is titled 'Scholarly Communications Issues' and contains two paragraphs of text followed by several sections of links.

### Scholarly Communications Issues

Scholarly communications involve complex dynamics among intellectual property, the economics of publishing, technological developments, legislative action, and the academic culture for research, publication, promotion, and tenure. A number of factors, especially the increasing commercialization of scholarly publishing and dramatic increases in journal costs, have decreased scholars' access to essential research resources all over the world. Each year fewer scholarly publications are available to scholars worldwide. Universities are acquiring a smaller portion of available journals and monographs, even though the production of scholarly information is growing exponentially. Faculty members publish articles that universities buy back at premium prices.

Administrators, scholars and librarians are pursuing options for "reclaiming" the research produced in the academy. National information associations, scholarly societies, librarians, and researchers are experimenting with alternatives to make scholarly research easily accessible to scholars, their students, and to the world at large. Their efforts are resulting in the emergence of systems for collecting and disseminating peer-reviewed articles online and growth in personal web sites that contain faculty publications. Libraries are becoming scholarly publishers. Universities are creating digital repositories of the intellectual work of their faculty and students. The following links connect to associations, projects, and visions illustrative of sharing scholarly communications for the common good.

[UT Scholarly Communications Committee](#)

[UT Blog: Scholarly Communication Issues @ the UT Libraries](#)

#### Associations

[Association of Research Libraries Office of Scholarly Communications](#)

[Council on Library and Information Resources](#)

[Digital Library Federation](#)

#### Raising Awareness

[Changing Scholarly Publishing: A Guide for Graduate Students \(brochure\)](#)

[Scholars Under Siege: Changing our Scholarly Publishing Culture \(brochure\)](#)

[ARL Brochures](#)

[The Book & the Scholar: Celebrating the Year of the University Press](#)

[Talking Points for Discussions with Faculty and Graduate Students](#)

[University of Tennessee Faculty Senate Scholarly Publishing Resolution, May 1, 2006](#)

[Cornell University Library Issues in Scholarly Communication](#)

[Scholarly Communication: Academic Values and Sustainable Models \(UC Berkeley Center for Studies in Higher Education\)](#)

[Libraries & Scholarly Communication \(University of California Libraries\)](#)

[Off the Page and Onto the Web...Essays on Scholarly Publishing @ UT](#)

[Scholarly Communications \(Boston College Libraries\)](#)

[Scholarly Publishing & The Common Good: Changing our Culture \(University of Tennessee symposium\)](#)

#### Intellectual Property

[Copyright Information for University of Tennessee Faculty](#)

[University of Tennessee Office of the General Counsel](#)

[Know Your Copyrights \(Association of Research Libraries\)New!](#)

[Creative Commons Licenses](#)

[University of Minnesota Copyright Information and Education](#)

[The University of Texas Office of the General Counsel](#)

[North Carolina State University Scholarly Communication Center](#)

#### Open Access

[Framing the Issue: Open Access](#)

[Budapest Open Access Initiative](#)

[Directory of Open Access Journals](#)

[Open Access News \(Blog edited by Peter Suber\)](#)

#### Tools for Open Access Publishing

[BOAI Open Access Journal Guides](#)

[Open Journal Systems \(free software for journal management and publishing\)](#)

## Scholarly Communications at WSU

The current model of scholarly communication is not sustainable. Libraries and their parent institutions are unable to support the increasing volume and rising costs of scholarly resources. As a result, libraries have no choice but to cancel some journal subscriptions and reduce book purchases as well. The causes of this are many and the solutions are complex. The Libraries at WSU have taken several steps ensure that our clients continue to have access to the resources they need.

[How are the WSU Libraries responding to the rising cost of journals?](#)


[How are the WSU Libraries facilitating use of open access journals?](#)

[How are the WSU Libraries supporting open access publishing?](#)

[How are the WSU Libraries preserving access to scholarly literature?](#)

[How are the libraries preserving access to WSU's intellectual and cultural output?](#)

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## Open Access Journals: an overview

This page points to a variety of resources to help explain and update the status of the Open Access journal initiative.

Our definition of open access is: freely available immediate access to published peer reviewed research articles.

The Open Access journal initiative offers a new model for supporting peer review and distribution of scholarly information. The basic plan is to provide free access to published peer-reviewed research articles. This may be immediate access, or articles may be available only after an embargo period. The embargo is often placed by the publisher in order to guarantee subscriptions revenue to cover infrastructure costs (peer review coordination, editing, archiving, etc.)

An alternative to subscription revenue is the introduction of direct or indirect author page charges. A number of granting agencies are now supporting or encouraging the use of grant funds to provide immediate Open Access articles. This author or institutional article fee model is being explored and it is too soon to determine if such a pricing model will be viable on a large scale. For libraries, a model in which annual fees are based upon unpredictable annual production is rather difficult to budget.


There are a number of sites that provide information about this controversial topic:

1. [Yale Science Libraries' OA news page](#),
2. [Yale Libraries' Future of Scholarly Publishing Forum site](#),
3. [Forum: Scholarly Publishing Resources list](#)
4. [OA description page](#) (Director's opinion page),
5. [Open Access: User benefits and concerns](#) (Director's presentation outline)

Other resources about Open Access may found as links on our [Yale SciLib Possible Journal Cost Solutions and Enhancements](#) page.

### Comments

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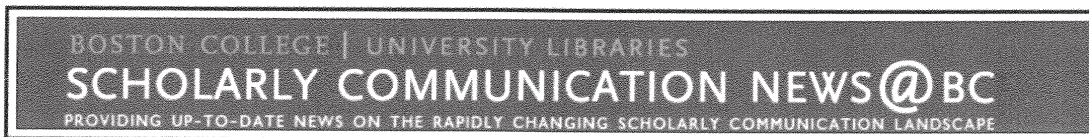
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## Newsletter Articles and Blogs



FRIDAY, SEPTEMBER 21, 2007

### Boston Library Consortium Partners with Open Content Alliance

On 20 September, 2007 the **Boston Library Consortium** announced that it was partnering with the **Open Content Alliance** to provide open access to a large number of digitized books.

From the **Press Release**:

The Boston Library Consortium, Inc. (BLC) announced today that it will partner with the **Open Content Alliance** to build a freely accessible library of digital materials from all 19 member institutions. The BLC is the first large-scale consortium to embark on such a self-funded digitization project with the Open Content Alliance. The BLC's digitization efforts will be based in a new scanning center, the Northeast Regional Scanning Center, unveiled today at the Boston Public Library.

The Consortium will offer high-resolution, downloadable, reusable files of public domain materials. Using Internet Archive technology, books from all 19 libraries will be scanned at a cost of just 10 cents per page. Collectively, the BLC member libraries provide access to over 34 million volumes. . . .

The BLC's Executive Director, Barbara G. Preece commented, "The Boston Library Consortium is excited about its partnership with the Open Content Alliance and its members. The Consortium believes that this collaboration is the living articulation of the BLC's view to expand access to its rich resources held by the membership. The BLC/OCA project will ensure that materials digitized will remain free and open to scholars and the public." . . .

Full Text of **Press Release**

POSTED BY BRENDAN RAPPLE AT 2:48 PM 0 COMMENTS

WEDNESDAY, SEPTEMBER 19, 2007

### Publishers' PR Tactic Angers University Presses and Open-Access Advocates

On Tuesday, 11 September, 2007 we posted a letter from Heather Joseph, Executive Director of SPARC, about PRISM – the Partnership for Research Integrity in Science and Medicine” <http://www.prismcoalition.org>. PRISM is an anti-open access initiative launched with development support from the Association of American Publishers that specifically targets efforts to expand public access to federally funded research results – including the National Institute of Health's Public Access Policy. In the 21 September issue of *The Chronicle of Higher Education* Jennifer Howard provides an update on the consternation caused by PRISM's anti-open access efforts.

Extracts from The Chronicle Article:

The Association of American Publishers has landed in hot water with university presses and research librarians, as well as open-access advocates, thanks to a new undertaking that is billed as an attempt to "safeguard the scientific and medical peer-review process and educate the public about the risks of proposed government interference with the scholarly communication process." . . . .

Reactions to Prism have been widespread and vigorous, with some commentators calling for a **boycott** of the association. The news provoked one university-press director, Mike Rossner of Rockefeller University Press, to make a public **request** that a

#### ABOUT

Scholarly Communication News@BC provides information updates for the Boston College community about developing scholarly communication issues, policy debates, legislation and innovative examples of dissemination/discourse practices, and is managed by staff of the **Boston College Libraries**. The Library welcomes contributors for both posting and commenting. If you are interested in posting please contact Brendan Rapple or Mark Caprio. Comments will be reviewed by blog moderators with respect to blog purpose and scope.

#### RELATED LIBRARY PAGES

- [BC's Suggested Addendum to Publishing Contracts](#)
- [eScholarship@BC Repository: An Overview](#)
- [Publishing Trends & Research Libraries](#)

#### RECOMMENDED SITES

- [Alliance for Taxpayer Access](#)
- [Boston Library Consortium, Authors' Rights and Publishing](#)
- [Budapest Open Access initiative](#)
- [Create Change: A Resource for Faculty and Librarian Action to Reclaim Scholarly Communication](#)
- [Open Access News \(Blog by Peter Suber\)](#)
- [Scholarly Publishing and Academic Resources Coalition \(SPARC\)](#)
- [SHERPA: Opening Access to Research](#)

#### ACADEMIC SCHOLARLY COMMUNICATION BLOGS

- [Duke University](#)
- [Georgia State University](#)
- [University of Illinois at Urbana-Champaign](#)
- [University of Minnesota](#)

#### BLOG ARCHIVE

▼ 2007 (126)

▼ September (8)

- [Boston Library Consortium Partners with Open Conte...](#)
- [Publishers' PR Tactic Angers University Presses an...](#)
- [Carlyle Letters Online: Magnificent New Open Acces...](#)
- [Usage of Open Content Licences by Cultural Organis...](#)
- [SPARC letter to members on the PRISM anti-open acc...](#)
- [Open Access to Health Research Publications: CIHR ...](#)
- [Faculty Attitudes and Behaviors Regarding Scholari...](#)
- [Latest SPARC Open Access Newsletter](#)

- ▶ August (2)
- ▶ July (13)
- ▶ June (19)
- ▶ May (18)

disclaimer be placed on the Prism Web site "indicating that the views presented on the site do not necessarily represent those of all members of the AAP." Mr. Rossner continued, "We at the Rockefeller University Press strongly disagree with the spin that has been placed on the issue of open access by Prism."

- ▶ April (19)
- ▶ March (20)
- ▶ February (16)
- ▶ January (11)

The Association of Research Libraries sent its members a **talking-points memo**, dated September 4, that deals with some of the arguments made on the Prism site. The librarians' group wrote that Prism "repeatedly conflates policies regarding access to federally funded research with hypothesized dire consequences ultimately resulting in the loss of any effective system of scholarly publishing. Many commentators agree that inaccuracies abound in the initiative's rhetoric." . . . .

Brian D. Crawford, chairman of the executive council of the AAP's professional and scholarly publishing division, acknowledged that the strength of the negative reaction had taken his group by surprise. "We did not expect to have encountered the sort of criticism that we have seen thus far," Mr. Crawford told *The Chronicle*. "We were truly hoping to establish this as a way to have a very productive dialogue on what are important and nuanced issues." . . . .

Mr. Crawford defended his group against charges that it is anti-open access. "We're definitely not saying that open access equals faulty science," he said. "What we're saying is, It's important for publishers to have the flexibility to introduce and experiment with whatever business model they wish to, without government intervention."

Because of the criticisms, however, the publishers' group is taking "under advisement" the idea of adding a disclaimer, as Mr. Rossner suggested. It's also possible that the association will decide to revise the language on the Prism Web site in response to the concerns of university presses and libraries. . . . .

#### Full Text of The Chronicle Article

POSTED BY BRENDAN RAPPLE AT 3:16 PM 0 COMMENTS

FRIDAY, SEPTEMBER 14, 2007

#### Carlyle Letters Online: Magnificent New Open Access Resource

Duke University Press has just launched the **Carlyle Letters Online** on HighWire Press. This database/web site is freely available to institutions and individuals.

From the press release:

Duke University Press announces the launch at <http://carlyleletters.org/> of the Carlyle Letters Online: A Victorian Cultural Reference, the electronic edition of The Collected Letters of Thomas and Jane Welsh Carlyle.

A fully digitized version of one of the most comprehensive literary archives of the nineteenth century, the Carlyle Letters Online features thousands of letters written by Scottish author and historian Thomas Carlyle (1795 - 1881) and his wife, Jane Welsh Carlyle (1801 - 1866), to over six hundred recipients throughout the world.

In part because of grants from the National Endowment for the Humanities and the Delmas Foundation, the Carlyle Letters Online is currently available at no charge to institutions and individuals.

Undertaken in partnership with HighWire Press, a division of Stanford University Libraries, the Carlyle Letters Online is one of the first electronic scholarly editions to

<http://scholcommbc.blogspot.com/>

be published by a university press. Leveraging HighWire's award-winning online hosting platform and suite of features, the collection offers users an unprecedented level of functionality and personalization.

Designed as a 'collection that knows itself,' each letter in the collection is comprehensively indexed and searchable by date, subject, and recipient, with similar letters linked to each other through a vast web of interconnectivity that encourages discovery and facilitates research. Users may also take advantage of a simple and free registration to employ an array of personalized features, including saved searches; access to a 'My Carlyle Folder,' in which users can create a personal archive; and options for managing personal alerts to find out when the site is updated.

Created for scholars of all levels, from high school students to professionals, the collection allows users to explore the Victorian era from the unique vantage point of two people placed squarely at the geographic, political, and intellectual center of their century. While a critical reference for Victorian scholars, the Carlyle Letters Online aims also to encourage interdisciplinary study, appealing not just to students of literature and history but also to those of politics, economic history, and women's studies.

For more information about the Carlyle Letters Online, including coordinating editor Brent E. Kinser's introduction to the Carlyles, the history of the print edition, and the history of the electronic project, please visit <http://carlyleletters.org/>

POSTED BY BRENDAN RAPPLE AT 3:34 PM 0 COMMENTS

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WEDNESDAY, SEPTEMBER 12, 2007

### Usage of Open Content Licences by Cultural Organisations in the UK

The **Eduserv foundation** has funded a **study** looking into usage of open content licencing by cultural organisations in the UK.

Jordan Hatcher, formerly a Research Associate at the AHRC Research Centre for studies in Intellectual Property and Technology Law, is leading a study into how open content licences are currently being used by cultural organisations in the UK. The study began in June, 2007 and is being funded by the Eduserv Foundation. Ed Barker of Eduserv is assisting with the work.

Digital resources produced by publicly funded organisations are a valuable asset to the research and education community. Many people in the sector believe that access to and use of these digital resources could be better and that the wider use of open content licences would help to improve the situation.

A study titled "The Common Information Environment and Creative Commons" was funded by Becta, the British Library, DFES, JISC and the MLA on behalf of the Common Information Environment. The work was carried out by Intrallect and the AHRC Research Centre for studies in Intellectual Property and Technology Law and a report was produced in the Autumn of 2005. During the Common Information Environment study it was noted that there was considerable enthusiasm for the use of Creative Commons licences from both cultural heritage organisations and the educational and research community. In this study we aim to investigate if this enthusiasm is still strong and whether a significant number of cultural heritage organisations are publishing digital resources under open content licences.

For more detailed information about this study, please refer to the **full proposal**.

POSTED BY MARK CAPRIO AT 6:30 AM 0 COMMENTS

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TUESDAY, SEPTEMBER 11, 2007

### SPARC letter to members on the PRISM anti-open access effort

Heather Joseph, SPARC Executive Director, has issued a **letter** to SPARC members about "PRISM" an anti-open access lobbying initiative.

Extracts (**Full letter here**):

Dear SPARC Members,

I'm writing to bring to your attention the recent launch of an anti-open access lobbying effort. The initiative, called "PRISM - the Partnership for Research Integrity in Science and Medicine" (<http://www.prismcoalition.org>), was launched with development support from the Association of American Publishers and specifically targets efforts to expand public

access to federally funded research results – including the National Institute of Health's Public Access Policy.

The messaging on the PRISM Web site, which is aimed at key policy makers, directly corresponds to the PR campaign reportedly undertaken by the AAP earlier this year. As Nature reported in January, AAP publishers met with PR "pit bull" Eric Dezenhall to develop a campaign against the "free-information movement" that focuses on simple messages, such as "public access equals government censorship," and suggested that "the publishers should attempt to equate traditional publishing models with peer review" . . . .

This campaign is clearly focused on the preservation of the status quo in scholarly publishing, (along with the attendant revenues), and not on ensuring that scientific research results are distributed and used as widely as possible. The launch of this initiative provides a timely opportunity for engaging faculty members, researchers, students and administrators in dialogue on important issues in scholarly communications.

To assist in this conversation, the Association of Research Libraries has prepared a series of talking points that explicitly address each of the PRISM messages listed above. These very useful talking points can be found at <http://www.arl.org/bm~doc/issue-brief-aap-pr-prism.pdf>.

The reaction to the launch of PRISM by the academic research community has been immediate and quite strong . . . .

PRISM developments will be of interest to many on campus – including those who follow open access and anyone who is involved with PRISM publishers as an author, editor, or subscriber. Please feel free to share this information. To stay abreast of related news, visit the SPARC Web site ( <http://www.arl.org/sparc> ) or Peter Suber's Open Access News blog ( <http://www.earlham.edu/%7Epeters/fos/fosblog.html> ) .

If you have any comments or questions about this discussion, please don't hesitate to contact me through (202) 296-2296 or email [heather@arl.org](mailto:heather@arl.org).

Warm regards,

Heather Joseph

POSTED BY BRENDAN RAPPLE AT 7:22 PM 0 COMMENTS

FRIDAY, SEPTEMBER 7, 2007

## Open Access to Health Research Publications: CIHR Unveils New Policy

The Canadian Institutes of Health Research (CIHR) recently announced a new policy to promote public access to the results of research it has funded. CIHR will require its researchers to ensure that their original research articles are freely available online within six months of publication.

### From the **Press Release**:

. . . . Under this new Policy, which will apply to all grants awarded after January 1, 2008 that receive funding in whole or in part from CIHR, grant recipients must make every effort to ensure that their peer-reviewed research articles are freely available as soon as possible after publication. This can be achieved by depositing the article in an archive, such as PubMed Central or an institutional repository, and/or by publishing results in an open access journal. A growing number of journals already meet these requirements and CIHR-funded researchers are encouraged to consider publishing in these journals.

Additionally, grant recipients are now required to deposit bioinformatics, atomic, and molecular coordinate data, as already required by most journals, into the appropriate public database immediately upon publication of research results. . . .

### From the official **policy on Access to CIHR-funded Research Outputs**:

#### 5.1.1 Peer-reviewed Journal Publications

- Grant recipients are now required to make every effort to ensure that their peer-reviewed publications are freely accessible through the Publisher's website (Option #1) or an online repository as soon as possible and in any event within six months of publication (Option #2).
- Under the second option, grant recipients must archive the final peer-reviewed full-text manuscripts immediately upon publication in a digital archive, such as PubMed

<http://scholcommbc.blogspot.com/>

accessible within six months of publication, where allowable and in accordance with publisher policies. Grant recipients may use the [SHERPA/RoMEO database](#) to locate summaries of publisher copyright policies. The SHERPA/RoMEO database will help grant recipients determine which journals allow authors to retain copyright and/or allow authors to archive journal publications in accordance with funding agency policies. However, CIHR recommends confirming with editorial staff whether archiving the postprint immediately, and making it freely accessible within six months, is permissible.

POSTED BY BRENDAN RAPPLE AT 3:41 PM 0 COMMENTS

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MONDAY, SEPTEMBER 3, 2007

### Faculty Attitudes and Behaviors Regarding Scholarly Communication: Survey Findings from the University Of California

The Office of Scholarly Communication, University of California recently released a report "**Faculty Attitudes and Behaviors Regarding Scholarly Communication: Survey Findings from the University Of California.**" This report is an analysis of over 1,100 survey responses covering a range of scholarly communication issues from faculty in all disciplines and all tenure-track ranks.

Full Report; 124 pages [[download PDF](#)]  
Executive Summary and Summary of Findings; 10 pages [[download PDF](#)]  
Survey Instrument; 10 pages [[download PDF](#)]

Results From the Executive Summary:

- Faculty are strongly interested in issues related to scholarly communication
- Faculty generally conform to conventional behavior in scholarly publication, albeit with significant beachheads on several fronts.
- Faculty attitudes are changing on a number of fronts, with a few signs of imminent change in behaviors.
- The current tenure and promotion system impedes changes in faculty behavior.
- On important issues in scholarly communication, faculty attitudes vary inconsistently by rank, except in general depth of knowledge and on issues related to tenure and promotion.
- Faculty tend to see scholarly communication problems as affecting others, but not themselves.
- The disconnect between attitude and behavior is acute with regard to copyright.
- University policies mandating change are likely to stir intense debate.
- Scholars are aware of alternative forms of dissemination but are concerned about preserving their current publishing outlet.
- Scholars are concerned that changes might undermine the quality of scholarship.
- Outreach on scholarly communication issues and services has not yet reached the majority of faculty.
- The Arts and Humanities disciplines may be the most fertile disciplines for University sponsored initiatives in scholarly communication.
- Senior faculty may be the most fertile targets for innovation in scholarly communication.

POSTED BY BRENDAN RAPPLE AT 5:41 PM 0 COMMENTS

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# UCI Libraries Update

A Newsletter for Faculty

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## Message from the University Librarian: Open-Access for Scholarly Communication

The UCI Libraries provide faculty with options for submitting articles to peer reviewed, open-access digital journals and archives that provide high-impact dissemination and long-term preservation of scholarly information that benefits the academy and society.



The escalating costs of publications and increased publishers' restrictions on use have created a crisis in academic libraries' ability to acquire and provide access to research materials. To improve

the situation, the UCI Libraries actively seek and support new publishing alternatives and business models that promote educational use and are economically sustainable.

I encourage you to consider two approaches: publishing in open-access journals with reasonable pricing models, and depositing articles in open archives in your discipline. The Libraries provide financial support by paying institutional memberships that provide significant discounts (ranging from 100% to 20%) to UC authors on publication fees for publications such as *Public Library of Science* journals, *Nucleic Acids Research*, the *Proceedings of the National Academy of Science*, and *BioMed Central* journals. We also co-sponsor open-access journals such as those from Geometry & Topology Publications, Project Euclid, and many others. These publications' copyright agreements with authors generally enable broad dissemination and re-use of materials. Publication costs are supported by low subscription costs, author submission charges (usually covered by grant funds), and various types of subventions from scholars' home institution, libraries, or private funding. Open access is spreading to an expanding number of disciplines.

**UC Discounts on Article Publication Charges**  
[osc.universityofcalifornia.edu/alternatives/submit\\_work.html](http://osc.universityofcalifornia.edu/alternatives/submit_work.html)

**Directory of Open Access Repositories**  
[www.openoat.org](http://www.openoat.org)

## Inside this issue

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Libraries Support Undergraduate Research Fellowships

Quick Access to Medical Information

Egyptian Medical Faculty Learn State-of-the-Art Methods

Susan Bryant Speaks on Regeneration Research

Wall Street on Your Desktop

National Recognition for UCI Librarian

### UCI Libraries Update

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<http://www.lib.uci.edu/libraries/update/spring05/spring05.html>

**National Institutes of Health Public Access Policy**  
[www.lib.uci.edu/scamp/nihpolicy.html](http://www.lib.uci.edu/scamp/nihpolicy.html)

**Public Library of Science**  
[www.plos.org](http://www.plos.org)

**PubMed Central**  
[www.pubmedcentral.nih.gov](http://www.pubmedcentral.nih.gov)

Julia Crosara  
Web Version: Sage Kim

Rigorous peer review and high standards are the hallmarks of a number of open-access publications. *Public Library of Science* is a prime example; others can be found in the Directory of Open Access Repositories. The National Institutes of Health recently issued its Public Access Policy that calls upon scientists who have received NIH funding to submit their publications to the PubMed Central open access archive within 12 months of final publication.

The UCI Libraries will assist academic units that wish to participate in the University of California's eScholarship repository, which provides open access to items such as pre-prints, post-prints, working papers, and seminar papers (see [related article](#) in the winter 2005 issue of *UCI Libraries Update*).

Open-access journals and archives increase information exchange among scholars, thus advancing research. They also make more resources available for instructional purposes and to the general public for the advancement of society. While open access may not solve the publishing crisis, with our support it has the potential to make a significant contribution.

[SCAMP](#), the Libraries' Scholarly Communication and Management Program, provides contacts and more information.

Gerald J. Munoff  
University Librarian



# UCI Libraries Update

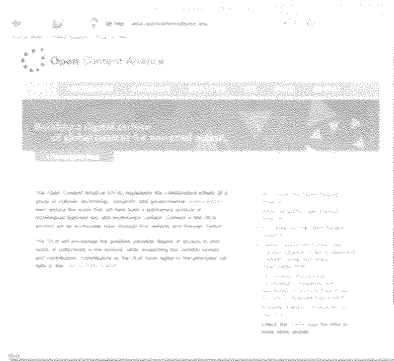
A Newsletter for Faculty

Volume 24, Number 3, Spring 2006

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## Message from the University Librarian: Partnerships to Digitize Print Collections

UC Irvine and the other University of California libraries have joined a partnership with Yahoo and other universities in the Open Content Alliance (OCA) to build an openly available digital library with materials drawn from across the world.



For UC's first project, all UC libraries will contribute books and resources to create a digital collection of out-of-copyright American literature. Other contributors will focus on different subjects and genres to expand the permanent

archive of digitized multilingual text and multimedia content. Subsequent UC projects are planned to include American fiction, historical works of mathematics, and 19th Century British poetry.

This project differs significantly from the projects currently underway between a number of universities and Google. The OCA projects focus on discrete, coherent subject collections that are research focused, and, initially, out of copyright. The collections will offer full-text access, based on principles of non-exclusive use, and will be available to read, and in most cases, download or print, as determined by contributors. The OCA consortium is global and includes commercial partners in an open and collaborative process to create a world-class research and educational resource.

UCI Libraries' books will be digitized by the nonprofit Internet Archive using a new technology that scans books at a high rate of speed for lower costs than other

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Cynthia Johnson Heads Langson Reference Department

### UCI Libraries Update

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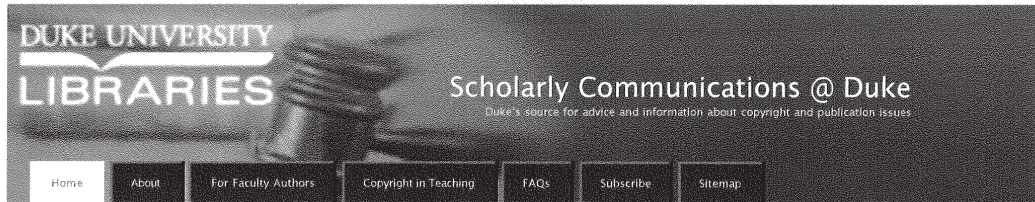
<http://www.lib.uci.edu/libraries/update/spr06/06spring01.html>

processes. Books and other materials will not be dismantled or damaged in this process, as is sometimes the case in microfilming. The materials, indexed by Yahoo, will be available from the UCI Libraries website [www.lib.uci.edu](http://www.lib.uci.edu) as well as at the Open Content Alliance website [www.opencontentalliance.org](http://www.opencontentalliance.org).

OCA's other partners include Columbia University, the University of Texas, the University of Toronto, the National Library of Australia, the National Archives (U.K.), Microsoft, and Hewlett Packard. The OCA is continuing discussions with publishers to explore sustainable business models that allow more copyrighted content to be made widely available.

UCI Libraries are pleased to join the OCA and contribute to making our national intellectual and cultural heritage widely available online.

*Gerald J. Munoff*  
*University Librarian*



## When should the government intervene? September 13, 2007

Posted by Kevin Smith in [Copyright Issues and Legislation](#), [Open Access and Institutional Repositories](#), [add a comment](#)

There has not been a lot of comment on this site about the launch of **PRISM (The Partnership for Research Integrity in Science & Medicine)** – a coalition of publishing organizations that is campaigning against the proposals in Congress to require public access to federally-funded research. One reason for this lack of comment is that the actual arguments and assertions made by PRISM are so transparent and easily refuted; I called them simple-minded in an earlier post ([here](#)), and I have seen nothing that changes that judgment. Also, lots of other blogs and listservs have dealt extensively with the claims of PRISM, especially after the Director of Columbia University Press resigned from the Executive Council of the American Association of Publishers over its support of the Partnership and the Director of Cambridge University Press wrote a letter repudiating its absurd assertions (see [news item in The Chronicle of Higher Education here](#)).

But even a silly debate can produce significant points, and one of the most important contributions to this argument comes from William Patry, senior copyright counsel for Google, whose [blog](#) has been cited here several times before. The "PRISM principles" refer repeatedly to preventing "government intervention" in scientific research. The irony of complaining of government interference in research that is paid for from federal tax monies in the first place should be pretty obvious, but Patry adds another point that is worth our attention. As he says [in this post](#), "**Copyright is always Government Intervention.**" By definition, copyright is a government-granted monopoly that artificially supports the price of intellectual property to provide an incentive to creation. Patry nicely explains the logic behind this government intervention and the reasoning that underlies the attempt to create a balance between incentives for creators and opportunities for users.

However one feels about whether we have struck the appropriate balance in the US or have erred to one side or the other, most will agree that the economic rationale for copyright as a government intervention in the free market is sound. We can only wonder if PRISM, however, will be true to its professed disdain for government measures and support the total abolition of copyright. Such a change would create a genuinely free market, where publishers would be free to compete with each other by publishing the same works at competitive prices; consumers would likely benefit from lower prices for books and movies, but it is pretty certain that creativity would suffer in the long run.

Share This

## What faculty think September 11, 2007

Posted by Kevin Smith in [Open Access and Institutional Repositories](#), [Scholarly Publishing](#), [Technologies](#), [1 comment so far](#)

It is always dangerous to try and speculate about the opinions and attitudes of a large group, especially one as diverse as university faculty. But the University of California's **Office of Scholarly Communications** always produces great research, and their recent report on "Faculty Attitudes and Behaviors Regarding Scholarly Communication" is no exception. The full report can be downloaded [here](#), and a PDF of the Executive Summary and Summary of Findings is [here](#). This is solid, empirical research that can help guide attempts to reform and renew the system of disseminating scholarly research.

One of the most interesting findings in this report is the disconnect it documents between attitudes and behaviors around open access and, especially, copyright. Faculty members

search

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- [Authors' Rights](#)
- [Copyright in the Classroom](#)
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- [Digital Rights Management](#)
- [Fair Use](#)
- [Open Access and Institutional Repositories](#)
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### Recommended readings

- [Deep Niche article in The Journal of Electronic Publishing](#)
- [Influence of academic values on scholarly publication & communication practices](#)
- [Will Fair Use Survive? – report from the Bosman Center for Justice](#)
- ["Big Deal" Bundling of Academic Journals](#)
- [Value-Based Journal Pricing report](#)
- [Report: Scholarly Communication: Academic Values and Sustainable Models](#)
- [University of California Whitepaper on Copyright Management](#)
- [Digital Learning Challenges](#)
- [SSRN-Educational Fair Use in Copyright: Reclaiming the Right To Photocopy Freely by Ash Sarkow](#)
- [Fixing Fair Use by Michael Carroll](#)

### Recent Posts

- [Student rights and academic values](#)
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### Recent Comments

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# MIT Faculty Newsletter

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## A Failure in Communications

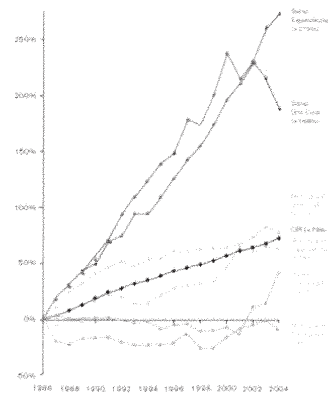
### The metamorphosis of academic publishing

Brian Evans

I really have to lean into the wind to make some headway as I come through the wind tunnel at the base of the building. Well, what do you expect? After all, it's March, and this is Building 54. Instead of waiting for the elevators, I decide to walk up to the seventh floor. There is not going to be any time for any other exercise today. How can you work for 12 hours each day, and get further and further behind?

Trudging up the stairs, I go over my list of things to do. Actually the hike is more like 10 floors, but my list is long enough to last the entire trip. On the way past the mail room, I grab my pile of incoming. Let's see: junk, junk, the *Faculty Newsletter* – put that aside to read cover to cover later – junk, junk, some papers to review, a few proposals to read, a couple of manuscripts to revise . . . Wait, what's this? A letter from the publisher. Great, our paper was accepted! Here's the copyright agreement. Man, who writes this stuff? Well, at least I can sign this, get it out of here, and get on with life. After all, you don't really have a choice about these agreements, right? There isn't anything you can do, and the media rights don't matter, anyway. Nobody's going to make a movie out of my research.

Well, actually, most of those comments are dead wrong. There is a choice, those agreements do matter, and you, the author, are not powerless. There are things you can do about it, but first, a little history.



Monograph and Serial Expenditures in ARL Libraries, 1986-2004  
(click on image to enlarge)

For perhaps the last 10-15 years, academic publishing has been metamorphosing in dramatic fashion. Most of us are aware of the transition from print to electronic media. For those with the right institutional connections, access to most major research journals is now possible from our offices or, even, at home. Less apparent to end-users in academe has been the transfer of publication costs from the single subscriber to multiple-journal, multi-user access licenses by libraries, institutions, and systems. These fundamental changes in the business strategies of the commercial academic publishers have caused extraordinarily large increases of cost for colleges and universities (see graph). Additionally, globalization of the scholarly printing trade has dramatically reduced the number of publishers, even

as the number of journals has increased.

Intellectual property rights are also in transition. The advent of the Internet and its promise of large amounts of freely accessible information have triggered a movement to replace copyright

law with contract law. Access to scholarly publications is now rented yearly, rather than purchased.

The right to own print copies now incurs charges in addition to simple subscription costs, and many publishers are moving to eliminate traditional print versions entirely.

Thus, if a library drops a journal subscription, access to the entire electronic version may be lost, and recourse to a printed copy is much less likely. Subscription rates are now negotiated individually by institutions, rather than being based on standard values for all colleges. A small community college is likely to pay much less for a given journal than a major research institution. Of course, the research institution also has less flexibility in cutting important journals and, consequently, has less leverage in threatening to cut subscription costs. As publishers strive to protect access to journal content, the contract and copyright agreements have become much less standard and, generally, more restrictive.

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In response to these trends, a grass-root, "open-access" movement has developed with the loosely defined goal of providing freely accessible repositories of intellectual material, governed by less restrictive copyright assignments, as defined by a broader portion of the academic community (for example, see [sciencecommons.org](http://sciencecommons.org)). The open-access movement is driven by a wide variety of forces, amongst which are desires for fewer restrictions on the use of published material in the classroom, increased accessibility, decreased cost, and greater clarity in copyright issues. Open access journals tend to be concentrated in, but not exclusively restricted to, health, medicine, and biological sciences. Concern for public access has been most visible in these medical fields, with the argument being made that access to publicly funded research should not be overly restricted by private copyright interests. Private funding foundations, including the Wellcome Trust, and other public agencies, e.g., the UK Research councils, are also moving in this direction. In the last year, the National Institutes of Health (NIH) have instituted a policy requesting deposit of final peer-reviewed manuscripts into a repository called PubMed Central (NLM). Although the NIH policy stops short of requiring deposits, submission is strongly encouraged.

But what, exactly, are the roles of MIT, its faculty, students, and researchers in all this? First, if the Institute can provide mechanisms to clarify copyright issues and to increase the efficiency of scholarly output of our staff and students, it should do so. Second, it is in the best interest of the Institute to retain control of its intellectual output while insuring broad dissemination, but only if it can be done in ways that are responsible to individual investigators, to the academic community, and to the general public. Finally, it is in the best interests of the entire academic community to encourage balance and cooperation amongst all members of the scholarly publishing community, whether private or public, and if MIT can provide leadership within academe, we should not shirk.

Fortunately, progress on the first item has been made. Owing to hard work on the part of Ann Wolpert and the staff of the MIT Library Systems, the Committee on Intellectual Property, Vice President for Research Alice Gast, and the Office of the Provost, there are now systems being developed to help investigators respond to the NIH policy. In part, the purpose of this article is simply to alert faculty and staff to the fact that there are some tools designed to help the individual investigator. One of the most recent developments is a standard amendment to publication agreements, drafted by the Intellectual Property Counsel, which is available online at <http://web.mit.edu/faculty/research.html>; <http://web.mit.edu/faculty/agreement.pdf>; <http://libraries.mit.edu/about/scholarly/amendment.doc>.

The last of these sites also has information about the open-access movement, clarification of the NIH initiative, and discussion of scholarly communications in general. The amendment to publication agreement provides a relatively easy method to standardize copyright assertions for your own work. In addition, library systems staff are available to assist NIH investigators and others in the submission of work to Dspace. Bearing in mind that Dspace is available for

<http://web.mit.edu/fnl/volume/184/evans.html>

all MIT faculty members, such a repository could be used for a much broader spectrum of the research output of the MIT community, an option that is particularly attractive given the commitment of Dspace to providing a robust and durable Website with upward migration of data.

Progress in the broader community is also possible, I believe. With increased awareness of the issues confronting academic publishing, MIT faculty are in a position to exert responsible leadership with our colleagues at other universities. Tempting as it might be to grab pitchforks and torches and march off to man the barricades, we, as a faculty, need to be thoughtful and constructive in our approach. What we cannot do with any sense of collective responsibility is simply watch. The issues are too important for scientific and engineering research, for universities and colleges, and for the fulfillment of MIT's core mission, to allow outside forces to decide the outcome. It is time for a broad discussion involving a large portion of the faculty and staff to formulate a constructive statement of policy. With general faculty support and awareness, we can exert force for positive change.

Sadly, though, I have been forced to realize it is probably true that no one is going to make a movie of my research. What a shame! Harrison Ford would have been perfect for the lead.

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## MIT Libraries News

### MIT Student Day of Action for Open Access

Posted February 21st, 2007 by Ellen Duranceau



MIT students supported a national effort when they carried out a day of action for open access on the MIT campus February 15.

Inspired by the [National Day of Action for Open Access](#) (sponsored by [freeculture.org](#) and the [Alliance for Taxpayer Access](#)), a group of students devised a project called "Overprice Tags."

The students who worked on the project were Benjamin Mako Hill (who goes by Mako) and Annina Rust (both pictured below) along with Noah Vawter, all graduate students in the Media Lab's Computing Culture group, as well as Daniel Jared Dominguez and Christine Sprang, both undergraduates. They created unique price tags and affixed them to the 100 journals they identified as costing MIT more than \$5,000 per year.



Their goal, according to Hill, was to bring attention to the open access issue and the sky-rocketing price of scholarly journals at MIT, as well as to focus attention on compelling, publicly accessible alternatives to closed and restrictive models of academic publishing.


Focusing on journal prices was a way to grab the attention of people who were unaware of the barriers to accessing research. As Hill notes, price is one of the barriers, but not the only one. Once they caught a reader's attention by looking at a magazine with a \$25,000 price tag, Hill's group hoped that readers would be interested enough to follow the link to the "overprice tags" website, where they could start a real explanation of what the issues are.

Thought to be the first major MIT Free Culture event, this student day of action has already raised awareness on campus. All responses received so far have been supportive of the project, and many writers expressed interest in getting more involved in events related to open access.

For those who would like more information about open access or ideas about how to get involved, Hill's [Open Access at MIT](#), and the Libraries' [scholarly publishing web site](#) offer summaries of the issues, links to groups who are involved, and recommended actions. You may also be interested in [viewing a short slideshow](#) of the MIT Student Day of Action for Open Access.

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# THE News from the Syracuse University Library library

C O N N E C T I O N

## FROM THE UNIVERSITY LIBRARIAN



The Public Library of Science (PLOS) is an example of a new open-access initiative. "PLOS is a nonprofit scientific publishing venture that provides scientists with high-quality, high-profile journals in which to publish their work, while making the full contents freely available online, with no charges for access and no restrictions on subsequent redistribution or use." (from the PLOS web site; image courtesy of PLOS.)

## Open Access to Scholarship— New Opportunities for the University

New ideas are fermenting in the scholarly communication world. Terms such as open access, institutional and disciplinary repositories, and self-archiving are common currency today, not only on campuses but also in major scholarly journals, such as *Nature*, and at scholarly organizations, such as the American Council of Learned Societies. Scholars, researchers, and faculty are bubbling with ideas for using networked technologies to increase the speed and ease of communicating research results while decreasing costs. Syracuse University can play a leadership role in these new developments. In this issue, we propose two ways to do so.

The idea of open access is at the heart of the new ferment. Open access, in the academic networked world, refers to scholarly work made available for education and research at no cost to the reader, with a presumption that the work was created with no expectation of direct monetary return (as is the case with most scholarly work).

### OBSTACLES TO ACCESS

Such an arrangement contrasts to the predominant publishing mode, which requires libraries to buy expensive subscriptions to journals, and thus to pay for access to what scholars have contributed to those journals for free.

Most scholars are now familiar with the crisis in journals: libraries are spending three times as much as 15 years ago for fewer journals (see chart, p. 2) and, consequently, are buying many fewer books. Mergers and acquisitions among publishers have reduced the 13 major STM (Science, Technology, Medicine) publishers of five years ago to only seven now, and their announced annual profit rates are in

the 20 to 35 percent range. Increasingly, libraries are only able to license—rather than purchase—journal content, which further restricts scholarly use. Large publishers are also pushing libraries into bundling agreements so that, as library budgets tighten, they are forced to cancel individual journals from smaller publishers.

New copyright legislation has put constraints on fair use. Publishers have worked with the entertainment industry to limit the "right of first sale," which lets the purchaser of a book lend it or give it away. This right is no longer available at all for digital information. Database legislation is being introduced to make facts protected by law!

### OPEN-ACCESS PUBLICATION

Open access is a mode of publishing in which authors retain control over the copyright of their work, while making it freely available to the widest possible readership. Authors can transfer to publishers the rights to post on the web or to publish first in a journal, and can also retain the right to post work themselves, use it as they see fit, and retain control over its integrity. (If an author seeks financial gain from a work, she or he remains free to negotiate those rights with a publisher, as now.)

The intent is to provide information free to readers; however, there are some costs. Models have been proposed that put the costs at the front end, rather like page charges. The Wellcome Institute and the Howard Hughes Medical Institute have already committed to paying the up-front charges for their staff's contributions to open-access journals and to disciplinary repositories. These charges cover necessary administrative and technology costs.



## OUR VISION

Our vision of Syracuse University Library—its people, services, collections, and facilities—is of a nationally significant research library that understands the needs of its users and has actively developed the resources and methods to meet those needs now and in the future.

*Goal 7.1: Information Technology Implementation for Optimum User Access*

Ensure proactive application of the information technologies required to create, integrate, organize, and customize online services and information resources in all formats.

*Targets for Transformation, the Library's strategic plan (revised July 2002)*

Currently, there are more than 500 open-access journals. A recent, well-publicized example is PLoS Biology, published by the Public Library of Science. Others include some supported by the Scholarly Publishing and Academic Resources Coalition (SPARC, of which the Library is a paying member), such as Documenta Mathematica and New Journal of Physics.

## INSTITUTIONAL REPOSITORIES

Other open-access implementations include institutional and disciplinary repositories. The best known of the latter is the arXiv.org e-Print service, for preprints in high-energy physics and related disciplines, formerly at Los Alamos, and now hosted by Cornell University. BioMed Central publishes more than 50 online journals in biology and medicine, and provides open access on the web.

M.I.T. and the University of Southampton have each created open-source institutional repository software, now in use at dozens of universities around the U.S., from Cal Tech to Hofstra, and around the world (see the Open Archives Initiative URL for links to many of them). M.I.T.'s DSpace hosts research and teaching material from its own faculty, allowing researchers to select access levels to items they contribute (Southampton's EPrints is similar, but more discipline-based).

An institutional repository highlights the serious academic accomplishments of the institution: research and teaching tools are collocated in one place for all to see. Of equal importance, an institutional archive contributes to a new global system of distributed, interoperable repositories, helping to change the model of scholarly communication.

The growth of repositories has been accompanied by the development of harvesting tools—tools that let a scholar search over the aggregate of institutional archives using one command, rather like Google, but with better results. The convergence of possibilities

means that the present costly and constraining publishing model can be changed for the benefit of all scholars and students, and with a net reduction in cost of dissemination.

## WHAT CAN WE DO HERE?

What role does Syracuse University want to play in shaping the future of research dissemination?

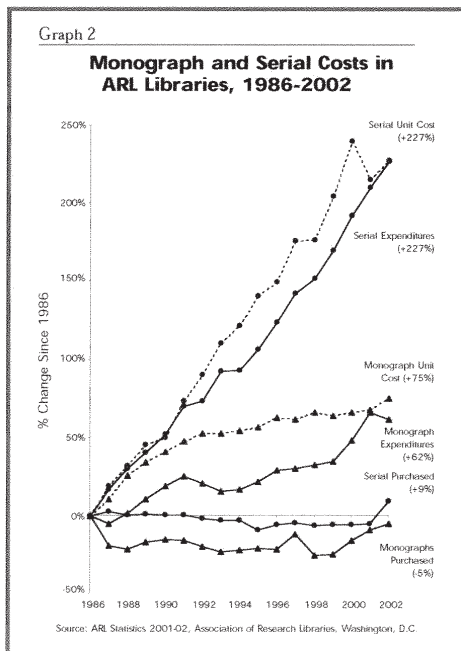
First of all, we need to talk about the new publishing models. Reasonable questions arise concerning peer review, effects on promotion and tenure, the relative status of major disciplinary journals and the new open-access journals, faculty retention of intellectual property rights, costs (to whom?), and disturbing the existing publishing model, which has taken centuries to build.

What about long-term digital preservation? What does it mean to make institutions, instead of publishers and scholarly societies, responsible for scholarly output? Are multiple solutions possible? Faculty must feel comfortable with new modes if they are to have any chance of success.

We in the Library have particular skills and knowledge to bring to these discussions. SU's Computing and Media Services will contribute its skills and knowledge to building the infrastructure. SU Press, the University Art Collection, and University Archives can bring important resources to the digital table. The college deans and the University administration should be involved from the beginning, which we believe should be soon.

It may now be time for SU faculty to join in supporting the open-access journal movement. To that end, the Library proposes for the next three years to subsidize the publication charges for articles by SU faculty published in open-access journals and disciplinary repositories. There is much to be worked out, and we look forward to discussions among faculty and the departments most affected on such questions as these: What defines an open-access journal? Should subventions be faculty-based or article-based? What limits can or should there be? What should the budget model eventually be? The Library will initiate forums and discussions during the spring 2004 semester. Interested faculty may contact Peter McDonald, associate University librarian for collection development, at x2977 or [apmc-dona@syr.edu](mailto:apmc-dona@syr.edu).

—Peter S. Graham  
University Librarian



<http://library.syr.edu/information/libassoc/connection/winter0304.pdf>

## New Initiatives in Open Access

Visit these web sites to learn more about open access:

Budapest Open-Access Initiative (BOAI) is a statement of principle, strategy, and commitment to making research articles in all academic fields publicly available on the Internet. The initiative has been endorsed by a growing number of researchers, universities, laboratories, libraries, foundations, journals, publishers, learned societies, and scholars from around the world. The BOAI recommends using two complementary strategies: self-archiving in institutional/disciplinary repositories and open-access journals. <http://www.soros.org/openaccess/>

SPARC (Scholarly Publishing and Academic Resources Coalition) is actively promoting both open-access journals and the development of institutional repositories. Developed by the research library community—including SU Library—SPARC has a number of open-access partners, including Algebraic and Geometric Topology, Documenta Mathematica, eScholarship, Geometry & Topology, and Journal of Insect Science. <http://www.arl.org/sparc>

Public Library of Science (PLOS) began as a grassroots initiative, signed by more than 30,000 scientists, to encourage publishers to deposit their journals in central archives, such as PubMed Central, within six months of publication. Having generated only modest response from publishers, the leaders of the PLOS are developing their own set of open-access journals (PLOS Biology has just appeared). <http://www.publiclibraryofscience.org/>

Berlin Declaration on Open Access to Knowledge in the Sciences and Humanities is an October 2003 statement of many European research organizations (e.g., CNRS, Max Planck Society). It supports open access, encourages scholars to so publish, and encourages experiments in funding and support.

<http://www.zim.mpg.de/openaccess-berlin/berlindeclaration.html>

Open Archives Initiative (OAI, supported by NSF and the Digital Library Federation) develops and promotes interoperability standards that aim to facilitate the efficient dissemination of content. The OAI has its roots in an effort to enhance access to e-print archives as a means of increasing the availability of scholarly communication. The fundamental technological framework and standards that are developing to support this work are, however, independent of both the type of content offered and the economic mechanisms surrounding that content. They promise to have much broader relevance in opening up access to a range of digital materials. OAI is committed to exploring and enabling this new and broader range of applications. <http://www.openarchives.org>

Oxford University Press has partnered with Oxford's Library to provide an institutional e-print archive with online access to articles by Oxford University-based authors, free of charge to researchers across the globe. <http://www.sherpa.ac.uk/>

### Bibliography on Open Access

Here are citations and links to much more information about open access, repositories, and new modes of scholarly communication.

The Open Archives Initiative link above will lead to a list of more than 400 existing repositories. Some of the better known among them are the following:

Dspace at M.I.T. (<https://dspace.mit.edu/index.jsp>)

Collection of Digital Archives (CODA) at CalTech (<http://library.caltech.edu/digital/>)

Eprints.org, at the University of Southampton, is home source for over 100 repositories.

A directory of more than 500 open-access journals may be found at <http://www.doaj.org/>.

A registry of institutional repositories and open archives may be found at <http://gita.grainger.uiuc.edu/registry/>.

Extensive further information and bibliography on open access issues may be found on the Library Open Access web page at [libwww.syr.edu/publications/openaccess](http://libwww.syr.edu/publications/openaccess).

## Institutional Support for OA Authors



Home > Use Alternative Forms of Publishing > Submit to Open Access Journals  
**Submit to Open Access Journals**

[Search](#) | [Site Map](#)

Open access journals are peer-reviewed journals that provide free, online access to their articles. They do not charge subscription fees to readers or libraries, rather, they cover costs through publication fees, institutional subsidies, endowments, or sponsorships. There are more than 1200 open access peer-reviewed scholarly journals. There are also hybrid models that allow open access to some of their material and recover their costs from a mixture of author charges, institutional memberships and print subscriptions.

See the list of UC discounts on publication fees below, a description of this and other alternatives and our table of the characteristics of scholarly publishing options.

Submission to an open access journal is certain to remove the financial access barriers for potential readers of your work. Although open access journals are relatively new, evidence to date suggests that publishing with them may increase the reach and impact of your work.

To help you consider submitting your work to these journals:

- Take advantage of the following UC institutional memberships:

**UC Discounts on Article Publication Charges for Open Access Journals - 2007 (revised 8/21/07)**

Publisher or Publication	Normal publication fee	UC discounted fee	Based on
BioMed Central (all BioMed Central journals)	~ \$1375 average "Article Processing Fee"	~ \$1170 (15% discount)	Supporting membership; Reviewers for articles receive 20% discount for their own articles
Nucleic Acids Research (from Oxford Univ. Press)	\$1900	\$950 (50% discount)	Institutional memberships
Proceedings of the National Academy of Science (PNAS)	\$1000 (to provide open access; separate from page and other charges)	\$750 (25% discount)	Included as part of UC's online subscription
Public Library of Science	\$1250 - \$2750	10% discount	UC-affiliated corresponding author; Institutional membership

- Consult the list of more than 2,800 peer-reviewed open access journals, many with citation rates and impact factors equivalent or better than their traditional subscription-based counterparts, that are focused on increasing dissemination and experimenting with new business models. More appear every month. See the [directory of open access journals](#).

[Comments?](#) [Feedback?](#)

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## RESEARCH AT CAROLINA

**UNC-Chapel Hill Open Access Authors' Fund****About the Fund**

- Provides support for publishing charges related to offering free immediate open access to journal articles
- Eligible authors are UNC-CH faculty, post-doctoral researchers, and graduate or professional students without grant funds to cover publication fees
- Award maximum is \$1000 per article
- Sponsored by the Office of the Vice Chancellor for Research and Economic Development
- Managed by the Health Sciences Library and the Academic Affairs Library

Retrieve a copy of the application.

**Open access authors' fees at core journal publishers**

- American Chemical Society Author Choice  
Open access is \$1,000 for ACS members affiliated with an ACS subscribing institution.
- Proceedings of the National Academy of Science  
Open access surcharge of \$750 provides immediate open access for authors at any institution with a site license. All articles are free online after 6 months.
- Public Library of Science  
PLoS Biology and PLoS Medicine are \$2500; PLoS Computational Biology, PLoS Genetics and PLoS Pathogens are \$2000; institutional membership reduces a UNC-CH corresponding author's fee by 15%.
- Use the Directory of Open Access Journals to find more.

**Why choose open access?**

“Providing OA to your own work is not an act of charity that only benefits others, or a sacrifice justified only by the greater good. It's not a sacrifice at all. It increases your visibility, retrievability, audience, usage, and citations. It's about career-building. For publishing scholars, it would be a bargain even if it were costly, difficult, and time-consuming.”  
Suber

**More information about open access publishing**

See the Health Sciences Library Open Access and Scholarly Communications website.

Vice Chancellor Tony Waldrop established The Open Access Fund in March 2005 at the request of University Librarian Sarah Michalak and Health Sciences Library Director Carol Jenkins. The University Libraries are committed to raising awareness among the faculty about open access and open archive publishing alternatives and helping to pay the associated publication costs.

## Open Access Publishing at WSU

### Wayne State University Library System Supports Open Access

To encourage our faculty and students to consider Open Access publication, increase access to their publications and to heighten awareness of the crisis in scholarly and scientific communication, the University Libraries have expanded their support with new local and national initiatives:

#### Memberships Eliminate or Decrease Manuscript Charges for WSU Authors

**Biomed Central** - An independent publisher of open access journals, with rapid peer review and without the need for copyright transfer, [WSU authors](#) can publish accepted manuscripts at no charge. Wayne State University's membership eliminates charges that can range from \$580.00 to \$1665.00 per article. Annual subscriptions to databases including ImagesMD, Faculty of 1000, a version of PubMed with post-publication commentaries by world-wide faculty and [these journals](#) also represent the libraries commitment to supporting our faculty and students.

**Nucleic Acids Research** - Beginning in 2005, *Nucleic Acids Research* became a fully open access journal. The University Libraries membership on behalf of WSU authors reduces the 2006 accepted manuscript charge to \$950.00 from \$1900.00.

**Proceedings of the National Academy of Sciences of the USA** - The Libraries' annual site license to *PNAS* provides WSU authors with a 25% reduction in the cost of making articles freely available. Please see [publication charges](#).

#### Important National Initiatives

The **National Institutes of Health's Policy on Enhancing Public Access to Archived Publications Resulting from NIH-Funded Research** seeks to enhance the public's access to publications derived from NIH-supported research. NIH requests and strongly encourages all investigators to make their nih-funded peer-reviewed, author's final manuscript available through the National Library of Medicine's free digital archive **PubMed Central** immediately after the final date of journal publication. [Manuscript submission](#) is easy, taking only a few minutes. For assistance with submission, contact the Shiffman Medical Library staff at [askmed@wayne.edu](mailto:askmed@wayne.edu).

WSU authors are also encouraged to examine the publishing potential in the **Public Library of Science (PLOS)**. These [journals](#) currently include *PLOS Biology*, *PLOS Medicine*, *PLOS Computational Biology*, *PLOS Genetics*, and *PLOS Pathogens* and *PLOS Clinical Trials*, which seeks to overcome [publication bias in clinical trials](#).

Wayne State University is a charter supporter of **BioOne**, a non-profit publishing venture for the benefit of scientific societies, universities, libraries, scholars and students. Our support provides the WSU community with access to BioOne's biological and environmental sciences [journals](#).

#### Wayne State University Supports Open Access Organizations

WSU is a member of the **Coalition for Networked Information (CNI)**, a scholarly organization dedicated to supporting the transformative promise of networked information technology for the advancement of scholarly communication and the enrichment of intellectual productivity. In addition to conferences and current awareness listservs, CNI publishes significant white papers and monographs -- available online.

The **Scholarly Publishing and Academic Resources Coalition (SPARC)** and **SPARC Europe** are alliances of academic and research libraries and organizations working to correct the market dysfunction in the scholarly publication system. Wayne State University is a full member of this organization that provides resources for advocacy, education, and alternative models of publication.

#### Local Initiatives

The Wayne State University Library System has embarked on the development of an institutional repository, ([Digital Commons@Wayne](#)) a digital archive designed for open access to university scholarship and research. Currently in its development phases, interested faculty and staff are encouraged to contact [Nardina Mein](#).

University Libraries' catalogers have made a strategic effort to promote access to open access journals in all disciplines. Open access journals are accessible through the Libraries' [catalog](#) and [through the alphabetic list of online journals](#).

#### For More Information

For an overview of the crisis in scholarly communication and what faculty and campuses can do, please see [Create Change](#) and for concrete action steps see [Open Access](#).

#### Contacts

[Nardina Mein, LCMS Director](#)

[Ellen Marks, Medical Library Director](#)

## OA Collection Development Policies



You are here: [Home](#) » [About Us](#) » [Our Collection](#) »  
[Collection Policy](#)

## University of Alberta Libraries Collection Development Policy

November, 2006

This policy establishes the overall selection standards and criteria for the acquisition of library materials. The collection policies of the individual area libraries describe the scope of the collections under their administration.

The University of Alberta Libraries exist primarily to support the University's teaching and research functions and to provide an information source for University staff and the general public. As the repository of one of the major Canadian research collections, the Library also serves the needs of the wider regional, national and international communities. This policy acknowledges the need to rely on cooperative resource-sharing activities to extend the breadth and depth of our collections.

Collection management at the University of Alberta is the responsibility of professional library staff. This function is carried out in consultation with faculty, students and other users. To contact the librarian responsible for collecting materials in your subject area, please visit [Liaison Librarians](#).

The University of Alberta Library subscribes to the professional codes and standards adopted by the Canadian Library Association including the [Statement of Intellectual Freedom](#).

We are committed to the principles of open access, as outlined in the [IFLA Statement on Open Access to Scholarly Literature and Research Documentation](#). Open access (OA) benefits researchers and learners by equalizing access to research information and facilitating scholarly communication. The University of Alberta Libraries include OA products in our collections, and provide support for members of the University of Alberta community who want to publish in OA formats. For more information about open access, please contact your [liaison librarian](#).

### General Criteria for Selection of Library Materials

The library will consider acquiring information in any format needed to support the University's academic programs. The following criteria are considered by librarians in the selection of material:

1. Support of both current and future research or teaching needs.
2. Appropriateness for graduate or undergraduate programs, and/or research at the University of Alberta.
3. Quality of the material.
4. Minimal duplication of materials among the libraries.
5. Accessibility of appropriate material at other institutions.
6. Type and cost of support needed for materials selected.
7. Gift and free materials will be considered for inclusion in the collection according to the same selection criteria used for decisions to purchase materials. ( [Information about Donations](#) )

### Collections Policies

- [Augustana Faculty Library](#)
- [Bibliothèque St. Jean](#)
- [Canadian Circumpolar Collection](#)
- [Herbert T. Coutts Library](#)
- [Humanities and Social Sciences Library](#)
- [John A. Weir Memorial Library](#)
- [John W. Scott Health Sciences Library](#)
- [Science and Technology Library](#)
- [William C. Wonders Map Collection](#)





The UNIVERSITY of CALIFORNIA LIBRARIES

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## Principles for Acquiring and Licensing Information in Digital Formats

University of California Libraries  
Collection Development Committee  
July, 2006

### Preamble

The University of California continually expands and evolves its strategic approach to building well organized, professionally managed, comprehensive collections of information needed to realize the goals of the University's academic programs and its public service mission. The University of California Libraries collections strategy is to carefully coordinate and collaboratively manage a variety of library collections, including both those held in common and those held by a single campus that are shared across the university.

Comprehensive collections that meet the University's mission cannot be continuously assembled when scholarly publications are offered only at hyper-inflating subscription prices. Therefore, the University and its libraries also have a strategic interest in advancing a marketplace for scholarly materials that is economically balanced and sustainable.

The following principles are provided to inform and guide the University and its employees (at both campus and university-wide levels) in their business relationships with providers of scholarly information in digital formats.

These principles replace the University of California Libraries Principles For Acquiring And Licensing Information In Digital Formats 1996. The UC libraries have benefited from, and seek to contribute to the academic library community's longstanding efforts in the identification and promulgation of collection development principles for digital materials.

Further information about how the UC libraries operationalize these principles is contained in the California Digital Library's Checklist of Points to be Addressed in a CDL License Agreement and in the full text of the CDL Standard License Agreement available on the CDL website.

### 1) COLLECTION DEVELOPMENT

- a. Collection development criteria pertaining to quality and relevance should be paramount and should be applied consistently across formats, including digital resources.
- b. Digital materials should be at least equivalent to their print counterparts, if such exist, i.e. they should be complete and able to be considered and managed as a copy of record.
- c. Balance must be maintained among:
  - disciplines;
  - instructional and research tools;
  - the differing needs of each campus.
- d. UC should retain authority for selecting and deselecting materials. Its selection prerogative covers content decisions at the title level and preferences of format and should not be compromised by provider-defined connections between titles or between print and digital products.
- e. UC will evaluate the cost/benefits of licensing digital resources of out of copyright information against opportunities to digitize equivalent UC resources or participate in other non-profit third-party digitizing efforts of that information.

## 2) COSTS & PRICING

- a. UC seeks and encourages methods for pricing electronic content that balance the financial requirements of information providers and the budgets and mission of the UC libraries. UC will give preference to vendors and products that have, or are developing, business models and practices that are economically sustainable for UC. Sustainable practices include reasonable absolute price changes, and explicit and reasonable bases for price changes, ideally reflecting actual amortized and/or operating costs.
- b. The price of a resource to UC should be aligned with its value to UC. Value is necessarily multi-variate, including but not limited to use, price-per-page, price-per-citation, impact factor, and relevance to UC academic programs. UC will endeavor to refine indicators of value and to share the use of those indicators with resource providers.
- c. Publishers can and should gain operational efficiencies, particularly in the marginal costs of adding and distributing content. These efficiency gains should be passed on to customers in the form of significant reductions in the "unit-cost" of information. Publishers should be discouraged from increasing prices to amortize print to digital conversion costs over short timeframes.
- d. Content and access costs should be separated. UC should have flexibility in selecting appropriate access mechanisms and levels and should be able to alter these agreements for an existing license, subject only to access and use restrictions in the license agreement. Where possible, business terms should separate content pricing from pricing for access.
- e. Because UC has a coordinated and collaboratively managed variety of library collections and services in which the collections of the individual campuses are enriched by capabilities to access the resources of all the others, "cross-access" should be a contractual option. Cross-access business terms should be based on actual or realistic estimates of UC audience, account for the fact that the university is a single system, and acknowledge efficiencies in conducting business with one rather than multiple (campus) parties.

## 3) TRANSFORMATIVE STRATEGIES

- a. The libraries make principled investments in publishing business models that produce high quality scholarly content and have the potential for transforming scholarly communication. A publishing or distribution effort can be considered transformative when it is developed principally to reduce access barriers (e.g. open access models), to provide an alternative to expensive for-profit efforts, and to establish long-term economic sustainability (e.g. by redistributing production costs) that is affordable by libraries.
- b. UC consideration of scholarly publishing endeavors is informed by endorsements and analyses by key organizations supporting transformative models such as the Scholarly Publishing and Academic Resources Coalition (SPARC) and the International Coalition of Library Consortia (ICOLC).
- c. UC encourages publishers who develop scholarly communication models that represent innovative forms of quality or peer review processes and new publication modalities. Products should leverage technology for efficiencies in production, timely distribution, and integration with other resources.
- d. UC-affiliated authors are major contributors to scholarly journals and other publications whose content is licensed by the UC libraries for teaching, research and patient care purposes. The libraries support the right of UC authors whose scholarly work is included in materials licensed by UC to retain copyright to their work, transferring only first-publication and/or commercial use rights to the publisher while retaining all other non-commercial use and distribution rights.

## 4) LICENSING

- a. Information providers should employ a standard agreement that contains all of the elements of UC's Model License Agreement, which describes the rights of libraries and their authorized users in terms that are readable and explicit. Permitted uses should include standard academic practices such as interlibrary loan, the inclusion of materials in printed and online coursepacks and reserve reading lists, and ad-hoc sharing of individual items by scholars and researchers.

<http://libraries.universityofcalifornia.edu/cdc/principlesforacquiring.html>

- b. As a public institution with a broad mandate to serve the State of California, UC's "authorized users" include faculty, staff, students and all on-site users of the UC facility. UC's "site" includes every location, physically and virtually, maintained by UC for use by a bona fide member of the UC community. UC makes a good faith effort to authenticate authorized users. UC takes privacy concerns seriously and will not implement systems that abridge or threaten personal privacy. UC will work with and give preference to vendors that have, or are dedicated to developing scalable models for authentication.
- c. UC requires business terms that provide for perpetual access by the approved community of users to content that has been purchased or licensed at any point in time. Contracts should specify the means and responsibilities for providing perpetual access in the event that a resource is subsequently canceled or removed by the vendor.
- d. Licenses should provide for archival deposit of perpetually-licensed content in one or more third-party trusted digital preservation repositories to safeguard the long-term integrity of the material. The preservation repository should comply with the emerging standards for digital preservation such as the Open Archival Information System (OAIS) Reference Model and the RLG/NARA Audit Checklist for the Certification of Trusted Digital Repositories.
- e. Vendors should also provide a means for UC to take possession of the complete digital files of perpetually-licensed content, at the university's option, either for business reasons or as a means to provide perpetual access. Business terms define appropriate uses of such archival copies.
- f. UC affirms the importance of fair use in fulfilling its libraries' missions and requires that licenses not abrogate the rights allowed it or its members under copyright law, including, but not limited to, fair use and inter-library loan.

#### 5) FUNCTIONALITY & INTEROPERABILITY

- a. UC use data compliant with COUNTER standards should be available to UC as part of contractual provisions for a license. The confidentiality of individual users and their searches must be fully protected. Use data generated by UC may be available to the information provider.
- b. UC will give preference to products whose design and architecture do not constrain access and service integration. Characteristics of such products include explicit and industry standard data formats, support for metadata and data export, and methods for interoperability such as application program interfaces (APIs) and reference (OpenURL) linking.
- c. Interfaces should conform to industry standards (including performance standards), concentrate on known functional requirements, and avoid the unnecessary proliferation of platforms. UC should share usability findings and functional requirements information with vendors.
- d. Information providers must keep UC informed of format and content changes and coordinate their implementation with UC.

More detailed information about UC functionality requirements can be found at <http://cdlib.org/vendors/>.

Comments and suggestions are welcome and should be addressed to the Collection Development Committee (see <http://libraries.universityofcalifornia.edu/cdc/>).

A [PDF version](#) of this document is available.

#### RELEVANT LINKS:

##### UC-Focused

Checklist of Points to be Addressed in a CDL License Agreement.  
<http://www.cdlib.org/vendors/checklist.html>

[The Regents Of The University Of California.] Standard License Agreement.  
<http://www.cdlib.org/vendors/CDLModelLicense.rtf>

Joint Steering Committee for Shared Collections. Factors to Consider When Licensing Out-of-Copyright Materials  
<http://cdlib.org/inside/collect/outofcopyright.rtf>

<http://libraries.universityofcalifornia.edu/cdc/principlesforacquiring.html>

**Other**

Counting Online Usage of Networked Electronic Resources (COUNTER).  
<http://www.projectcounter.org/>

International Coalition of Library Consortia (ICOLC).  
<http://www.library.yale.edu/consortia/>

RLG/NARA Audit Checklist for the Certification of Trusted Digital Repositories.  
[http://www.rlg.org/en/page.php?Page\\_ID=20769](http://www.rlg.org/en/page.php?Page_ID=20769)

SPARC (Scholarly Publishing and Academic Resources Coalition).  
<http://www.arl.org/sparc/>

Document owner: [Martha Ramirez](#)  
Last reviewed: September, 25, 2007

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[Comments?](#) [Feedback?](#)

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## Factors to Consider When Licensing Out-of-Copyright Materials

### California Digital Library

#### Joint Steering Committee for Shared Collections - July 2006

A number of recent announcements of mass digitization projects that include out-of-copyright material are challenging our collective decision-making process when these same materials are available in a commercially licensed product. The SLASIAC 2005 progress report on *Systemwide Strategic Directions For Libraries And Scholarly Information* called attention to this issue, referring to the UC's participation in large-scale digitization efforts as potentially offering opportunities for "reducing expenditures on vendor products that are based on out-of-copyright and other public domain materials." Do we license a commercial version of out-of-copyright content when a digitized version is already openly available? How do we assess whether an open digitized version is sufficiently robust to meet UC's needs? Do we license content to satisfy the immediate needs of UC faculty and students when future digitization projects promise open access? With such prospects in view, should we choose a time-limited subscription to the licensed version over perpetual ownership rights in order to contain our costs? When do we choose to digitize out-of-copyright materials ourselves or with partners using existing UC collections in preference to licensing? Conversely, when is it more cost-effective to license material instead of building it? The SLASIAC report challenges us to incorporate such considerations in our decision process for licensing materials in the public domain.

### Evaluation Criteria

Standard evaluation criteria should be applied to any resource under consideration, whether licensed or open access. These include the UC *Principles for Acquiring and Licensing Materials in Digital Formats* and the more specific criteria outlined in documents such as the CDL Technical Requirements for Vendors, CDL Resource Selection Criteria, and the CDL Licensing Checklist. Given the intrinsic benefits of lower cost and barrier-free access that open content initiatives promise, such evaluations necessarily take on a new dimension when competing licensed and open access versions are available. For example, if material is held in an analog format and is (or is planned to be) also available in an open access form, licensing yet another version may warrant special scrutiny or justification.

In the course of evaluating any new resource for systemwide licensing, many individuals and groups will usually be involved:

- bibliographer groups who submit the original request
- CDL staff analyzing campus requests
- JSC in prioritizing requests
- JSC in prioritizing requests

When evaluating requests for systemwide licensing of new resources that include out-of-copyright material, the following factors should be considered by each group involved at the various stages of evaluation:

- Whether an alternative open access version exists or is planned
- Whether UC is actively pursuing or considering a digitization opportunity for the same material, either alone or collaboratively (e.g. CDL-built content through OCA)
- If a future open access version is anticipated, the value of access to content now vs. open access at some point in the future. Factors to consider might include, for example, the level or urgency of user demand and/or potential near-term cost savings through print deduplication and/or remote storage
- A careful appraisal of whether there is sufficient added value in the licensed version to justify the expenditure of scarce collection dollars when an alternative version exists. Factors to critically evaluate in this light might include:
  - The value derived from a relationship to other currently-licensed material (e.g. backfiles of currently-licensed journals where access may be integrated)
  - Aggregation of content under a single interface as opposed to independently-created digitized versions that lack coordinated access
  - Indexing and presentation of content, or other added features that enhance the end user experience. Recognizing end users' increasing preference for 'single search box' simplicity in accessing content, careful judgments should be made about whether an open access resource

<http://www.cdlib.org/inside/collect/outofcopyright.html>

is adequate to satisfy the bulk of UC student and faculty needs

- The degree to which the licensed resource adheres to UC licensing and technical requirements. Nonconformity that might be overlooked when alternatives are unavailable may be less acceptable in the face of open access.

### Next Steps

While we recognize that it is 'early days' in the emerging relationship between licensed and open content, the UC libraries' stated interest in developing a more holistic approach to collection development across these domains requires us to increasingly engage such questions. As a first step, bibliographers should attempt to identify relevant open access projects and opportunities in their areas of expertise and address the above criteria in any licensing recommendations submitted to JSC. The CDL licensed content and built content programs will also begin consulting regularly to identify synergies with digitizing initiatives. As we begin to incorporate these criteria in decisions that include public domain content, our collective understanding of these issues and their interplay will increase.

### References:

- Systemwide Strategic Directions For Libraries And Scholarly Information. Progress Report [2005].  
**[http://libraries.universityofcalifornia.edu/planning/SSD\\_progress\\_report\\_2005\\_final.pdf](http://libraries.universityofcalifornia.edu/planning/SSD_progress_report_2005_final.pdf)**
- Principles for Acquiring and Licensing Materials in Digital Formats.  
**<http://libraries.universityofcalifornia.edu/cdc/principles.html>**
- CDL Technical Requirements for Vendors. **<http://cdlib.org/vendors/#technical>**
- CDL Licensing Checklist. **<http://cdlib.org/vendors/checklist.html>**

Document owner: **Wendy Parfrey**  
Last reviewed: August 7, 2006  
URL: <http://www.cdlib.org/inside/collect/outofcopyright.html>

### Contact the CDL

- *Collection development and licensing staff:* [**HTML**]
- *Campus Acquisitions Liaisons:* [**HTML**]
- *UC Bibliographer Group Chairs and JSC Liasons:* [**RTF**]
- *Obtain access to [password-protected] areas of the web site:* **Request a Password**
- *Report a breach of license:* **Cate Hutton**

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Questions? Comments?

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## Selecting and Cataloging OA Resources

Collection Development Process

**Open Access Resources at the UC Libraries**  
**Policies and Procedures for Shared Cataloging, Linking, and Management**

June 9, 2006

**Definition**

**Open access<sup>1</sup>** resources have no financial or legal barriers to access for members of our user community. The following factors must be in evidence:

1. The publication must be available online at no charge to readers or institutions. No subscription can be required for online access.
2. Readers must be permitted to use the material for any lawful purpose, including downloading, copying, making derivative works, distributing, printing, searching, or linking to the full texts of works, crawling for indexing, or passing as data to software.
3. No licensor/licensee relationship shall exist between the publisher or provider of the online publication and the individual user or institution.

Note that some open access resources may provide open access to only portions of their content (e.g., just the backfiles (such as HighWire titles), just the frontfiles, or only some types of articles (some PubMed Central titles). In this case, the 856 field will indicate what content is open access. For example, "Open access to research articles only" will appear in BioMed Central records.

Some open access resources may require registration (i.e., require the user to provide information before access is granted).

**Policies**

- The **Joint Steering Committee on Shared Resources (JSC)** oversees UC policies for shared cataloging of open access materials.
- Scholarly open access resources (e.g., peer-reviewed journals) are eligible for consideration.
- UC bibliographers must nominate resources for consideration. Unsolicited requests will not be considered.
- Journals must be indexed in a major disciplinary abstracting or indexing service, defined as any Tier 1 abstract or index or the equivalent free service such as PubMed Central. This list is currently available on the **request form**.
- Non-journal open access resources (databases, monographic collections, web sites, map and image collections, etc.) are also eligible for consideration. A brief justification must be submitted for each individual collection according to the evaluation criteria below. Resources delivered through a CDL service (e.g. Luna Insight collections) may be subject to additional technical review by CDL staff after JSC approval.
- Once approved, the Shared Catalog Program (SCP) at UC San Diego will catalog resources and include these records in the existing SCP data streams sent to campuses. A record will appear in the Melvyl Catalog after a campus incorporates that record into their local catalog. Linking via UC-eLinks will be implemented, where possible. A resource liaison may be assigned for each resource or package. SCP will provide regular link checking.
- Requests for cataloging individual items within a collection may also be submitted, using the more detailed project request guidelines on the Shared Cataloging Program website at <http://cdlib.org/inside/projects/scp/newprojects.html>. Such requests will be considered on a project basis subject to SCP workflow, available cataloging expertise, and other considerations.
- SCP cataloging priorities are outlined more fully on the SCP website at <http://cdlib.org/inside/projects/scp>.

**Evaluation Criteria**

Making resources available to the UC community entails considerable cost, whether the resources are licensed or open access. Open access resources should be evaluated according to the same quality



use indicators that would be applied to licensed content. Examples of factors to consider include:

- Does the resource satisfy a demonstrable need in ongoing research and/or teaching at UC?
- Is it produced or supported by a recognizable and reputable organization? Is it likely to persist?
- Is it stable and reliable (i.e. free of performance or other problems)?
- Is the interface easy-to-use?
- Does the resource require specialized technology (non-standard browser plug-in, special font support, use of a specialized application, etc)? If so, additional vetting may be required before the resource is approved.

**Procedures**

	<b>Journals</b>	<b>Non-Journals (Collections of Monographs, Images, and Maps; Databases and Web Sites)</b>
Nomination	A UC bibliographer fills out the <b>request form</b> . The form gets routed to the Shared Cataloging Program (Becky Culbertson). Becky will batch and route forms to Wendy Parfrey as needed.	UC bibliographers should contact the <b>appropriate JSC subject liaison</b> to nominate a resource.
Verification of open access status	UC bibliographers should only submit resources that meet the criteria above. For journals that are automatically approved, the JSC is responsible for verifying the open access status of that resource if that status is challenged.	
Approvals	<p>The following journals are automatically approved and will be routed for cataloging without any approvals process:</p> <ul style="list-style-type: none"> <li>• Journals indexed in a major disciplinary abstracting and indexing database ; or...</li> <li>• Journals that have an existing SCP record (i.e., this is a new open-access URL with new access, equivalent access, or less content).</li> <li>• Journals listed in the Directory of Open Access Journals (DOAJ).</li> </ul> <p>The following require JSC approval:</p> <ul style="list-style-type: none"> <li>• Journals not indexed in a major disciplinary A&amp;I database. Becky Culbertson will send Wendy Parfrey batches of these titles periodically.</li> </ul>	JSC will approve nominated resources as part of their regular operations. Resources delivered through a CDL service (e.g., Luna Insight databases) may be subject to additional technical review by CDL staff. When a nominated resource is approved, JSC will inform SCP and UC-eLinks staff so they can begin cataloging and linking, respectively.
Cataloging	<ul style="list-style-type: none"> <li>• To the extent possible, SCP catalogers will assign BibPurls (PIDs) and add them to the OCLC record.</li> <li>• Non-journals will be cataloged at the collection-level only unless requested otherwise. Requests for item-level cataloging will be considered on a project basis following SCP guidelines at <a href="http://cdlib.org/inside/projects/scp/newprojects.html">http://cdlib.org/inside/projects/scp/newprojects.html</a> .</li> <li>• Cataloging hook in 793: <ul style="list-style-type: none"> <li>• "Open access resource freely available; selected by the UC libraries"</li> <li>• When only portions of the resource are open access, the</li> </ul> </li> </ul>	

<http://www.cdlib.org/inside/collect/openaccess.html>

	appropriate wording will be added to the 856 \$z. Addition of such wording will be added reactively, i.e., when SCP is advised or discovers that portions of the resource are not open access.
Linking	<ul style="list-style-type: none"><li>• To the extent possible, article-level linking via UC-eLinks will be created for all open access resources.</li></ul>
Management	<ul style="list-style-type: none"><li>• Open access resources will not be added to the existing CDL MIS database, but may be included in a future ERM system.</li><li>• The CDL will attempt to troubleshoot access issues when reported.</li><li>• Some larger groups of open access resources (e.g., BioMedCentral) may require a resource liaison.</li></ul>

<sup>1</sup> Definition compiled by Dave Fisher, UC San Diego. Sources include: Bethesda Statement on Open Access Publishing; Budapest Open Access Initiative; SPARC Open Access Newsletter, issue 64, August 4, 2003; DOAJ web site; Creative Commons Attribution — Non-Commercial — shareAlike License 1.0.

Document owner: **Wendy Parfrey**  
Last reviewed: June 8, 2006  
URL: <http://www.cdlib.org/inside/collect/openaccess.html>

**Questions? Comments?**

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<b>Policy:</b>	422	
<b>Subject:</b>	INTERNET MATERIALS	
<b>Approved by:</b>	LMAC	
<b>Contact:</b>	Coordinator, Collections Management	<b>Approved:</b> April 9, 1998
<b>Prepared by:</b>	Task Force on the Internet and and the Collections	<b>Revised:</b> March 21, 2002 February 17, 2005

### Purpose

The objective of this policy is to provide guidelines for the collection and incorporation of relevant Internet materials into the UML online catalogue. For procedures related to the acquisition and cataloguing of NETDOC resources see CAP Policy 435 - NETDOC Purchase Procedures.

### Definitions

1. **Internet resource.** An Internet resource is an electronic work in a machine-readable format, which is accessible through a wide-area network. This is in contrast to a direct access electronic work issued in a physical carrier, e.g., CD-ROMs, computer disks (floppy disks), etc., accessible only in a stand-alone PC or through a local area network.<sup>1</sup>
2. **UML online catalogue.** The University of Manitoba Libraries' online catalogue consists of the BISON database of MARC records. It is accessed through a Web-based interface (U of M's implementation of Sirsi's Web 2).
3. **URL: Uniform Resource Locator.** The URL provides a World Wide Web address that specifies the location of a resource on the Internet. This address is used to create a hypertext link in the Web-based interface of the UML online catalogue. This link when clicked takes the user directly to the resource.
4. **Full text/full image.** A monograph is considered to be full text/full image when the entire content, including images, of the print title is available online. A periodical is considered to be full text when the text of all articles in a print issue are available online and the full text of all the issues in at least one volume are available online. Born digital works are considered to be full text/full image.

<sup>1</sup> This is based on the definition of Internet resources in Dillon, Martin and Erik Jul. 1996. Cataloguing Internet resources, the convergence of libraries and Internet resources. *Cataloging & classification quarterly*, v.22, no.3/4 and the definition of direct access computer files in *Anglo-American cataloguing rules, second edition, 1988 revision*.

**Policy 422: INTERNET MATERIALS**

422.2

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**Preamble**

The Internet includes a wide range of resources of varying quality. High quality Internet resources relevant to the mission of the University of Manitoba Libraries in its support of teaching and research programs of the university will be selected for inclusion in the UML online catalogue. The best way to choose Internet resources of high quality is through title by title selection according to current collection management policies, guidelines, and statements.<sup>2</sup>

**Policy Statement**

Selection, retention, archiving and deselection of Internet resources within current UML collection policy guidelines rest with bibliographers/unit heads who have designated responsibility for specific areas. This policy does not apply to individual library's home pages or to Web-based NETDOC materials.

**Selection<sup>3</sup>**

1. The following categories of Internet material may be selected for inclusion in the UML online catalogue:
  - a) full text electronic resources, including monographs, serials, etc.;
  - b) online parts of print works, e.g., a print work with statistical tables only available on a Web site;
  - c) multimedia resources (works including images, videos, and sound, with or without text).
2. The following categories of Internet resources will in general be excluded from the UML online catalogue:
  - a) search engines, e.g., Google;
  - b) directories/indexes to the Internet itself, e.g., Yahoo.
3. Printed copies of electronic resources documents are accepted for inclusion in the UML online catalogue only in exceptional circumstances when a bibliographer or unit head determines that the material should be permanently retained and warrants the additional cost of printing, storing and binding the document.

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<sup>2</sup> Demas, Samuel and others. 1995. The Internet and collection development, mainstreaming selection of Internet resources. Library resources and technical services, v.39(3), p.280.

<sup>3</sup> See Appendix A for suggested selection guidelines.

**Policy 422: INTERNET MATERIALS**

422.3

**Funding**

Those Internet resources which require payment (license fees, subscription fees, etc.) are funded from the unit libraries' acquisition funds (operating or gift).

**Access/Cataloguing**

1. Access to Internet resources will be provided in the UML online catalogue by catalogue records which will include 856 fields for Electronic location and access. 856 fields generally include URLs.
2. Catalogue records for print titles which have parts available only on the Internet will also contain URLs for those parts and notes identifying the parts.
3. Internet resources will be identified in the UML online catalogue by the equivalent of a library location name: Internet resources.
4. All Internet resources should be made available through UM Links whenever possible.

**Retention/Maintenance of URLs**

1. Deselection of Internet resources will in general follow the same policies applicable to deselection of other categories of materials. (see CAP Policy 315: Discarding of Library Materials)
2. URLs of Internet resources in catalogue records will be monitored to ensure their continued functionality.
3. Questions regarding malfunctioning URL's should be directed to the Electronic Resources unit.

**Procedures Applicable to All Units**

1. Requests for Internet resources (whether free or requiring payment) are sent by email to the Electronic Resources unit with the title and URL. Requests for paid resources should also include fund number, depth of coverage and number of simultaneous users desired (where applicable).
2. Electronic Resources staff obtain license agreements for Internet Resources and complete a preliminary review of related materials, noting areas of special technical interest or legal conditions. These licenses are then forwarded to the Head of

**Policy 422: INTERNET MATERIALS**

422.4

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Electronic Resources for detailed review. The Head executes changes to licenses, ensuring compliance with all legal requirements and intended use, elimination of foreseeable breaches of contract, and indemnification. Licenses that require amendments or signature are signed by the Head and routed for signature to the publisher or vendor. Licenses which are approved without changes are accepted online or signed as required. The final version of all license agreements are printed and filed in Electronic Resources.

3. Once activation of an Internet Resource has been confirmed by Electronic Resources, the Head of Electronic Resources advises the Electronic Journals Distribution List of each new title or change in title. These announcements serve to request promotion, cataloguing by Bibliographic Control or NJMHSL Resource Management, as appropriate, and technical updates by LETS, in order to establish and maintain the necessary access points.
  - a) In the case of bibliographic databases with unconfirmed or questionable full-text content, Electronic Resources will distribute a list of available journals to subject bibliographers. Bibliographers will then examine the journals in the database and request specific titles for cataloguing. The Head of Electronic Resources will advise the Electronic Journals Distribution List of new titles selected by the subject bibliographers; this notice will serve to request promotion and cataloguing by Bibliographic Control.
4. Upon notification by the Head of the Electronic Resources unit, Bibliographic Control enters catalogue records with functioning links to Internet resources into the UML online catalogue.
  - a) Electronic Resources will add the titles to the UM Links whenever possible.

## Appendix 1.1

**GUIDELINES FOR SELECTION OF INTERNET RESOURCES:**<sup>4</sup>

(Note: These criteria are intended to assist in the evaluation and selection process. Resources can be acceptable without meeting all of the criteria listed.)

**Quality and Content**

Credible source as indicated by:

- Content peer-reviewed by experts in the field.
- Produced as part of the mission of a national or international organization.
- Developed by an academic institution or commercial enterprise with an established reputation in topical area.
- Resource is indexed or archived electronically (if appropriate).

Importance of resource as demonstrated by availability:

- Resource is available from or pointed to by multiple Internet sites.
- Database or document is reproduced in multiple formats (print, online, CD-ROM, etc.).

Content is comprehensive or unique:

- Resource is known or can be shown to cover subject area well.
- Information would likely be unavailable to clients otherwise.
- Resource is full text.
- Internet version of the resource is the most current.

Content of Internet version is complete or meets client needs:

- Internet document or database mirrors that available from other sources or in other formats.
- If the timespan or the content of the Internet version of the resource is limited, the resource is still of use.
- Because of subject area, increased demand is likely in the future (e.g., health care reform).

The resource stays current through regular updates or demonstrates ongoing maintenance.

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<sup>4</sup> (adapted for U of M from Guidelines for Internet resource selection by Gregory F. Pratt, Patrick Flannery, and Cassandra L. D. Perkins, C&RL News, March 1996)

[http://www.umanitoba.ca/libraries/media/422\\_Internet\\_materials.pdf](http://www.umanitoba.ca/libraries/media/422_Internet_materials.pdf)

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**Policy 422: INTERNET MATERIALS**

422.6

Appendix 1.2

**Relevancy**

- Resource is related to teaching and research programs of the University of Manitoba.
- Library personnel or client recommended resource.
- Access is provided by other local institutions or major universities.
- Usage data indicate client interest or demand.

**Ease of Use**

- If a logon sequence is required, it can be scripted or automated for clients.
- If searchable, searching is similar to that of other available Internet resources.
- If a unique interface is used, the resource is of sufficient importance that client access is still worthwhile.
- If needed, user help files or resource description files are readily available.
- The amount of user support required from Reference Desk or LETS Help Desk is minimal or acceptable.

**Cost and Copyright**

- Any subscription or access costs are reasonable and justifiable.
- It is simple to comply with restrictions on duplication or dissemination of information from the resource.

**Hardware and Software**

- Providing access requires little or no change in existing or planned hardware and software resources.





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### Copyright Information

## Selecting Web Sites for Cataloging

### Selecting Web Sites for Cataloging

#### Ad Hoc Committee On Digital Access: Final Report (1998)

" Access should be provided to electronic information created, purchased or acquired contractually by the library. Access to electronic information which is not created, purchased or acquired contractually (eg. free web sites), should be provided when selected by a subject selector."

<http://www.lib.virginia.edu/cataloging/policies/local/digital.html>

#### Guidelines for Selecting Web Sites for Cataloging

1. The site must be relevant to departmental teaching and research.
2. Its content should be scholarly, clearly organized, and easy to search.
3. It should be maintained and up-to-date, and largely complete rather than under construction.
4. Emphasis should be on sites offering full content rather than partial summaries of content.
5. Sites with password requirements should not be cataloged.

#### Cataloging Request forms

<http://www.lib.virginia.edu/cataloging/policies/forms/web-req.html>  
(Everything except serials)

<http://www.lib.virginia.edu/cataloging/policies/forms/web-ser.html>  
(Serials only)

#### Cataloging contacts

Electronic cataloging in general: Erin Stalberg, [stalberg@virginia.edu](mailto:stalberg@virginia.edu); 982-2854

E-journals: Deej Baker, [dbc@virginia.edu](mailto:dbc@virginia.edu); 924-4958

E-journals (backup): Cary Coleman, [rcc6qa@virginia.edu](mailto:rcc6qa@virginia.edu); 924-3288

(These guidelines reviewed by Collections Group July, 2004)



# Cataloging Services

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## Library Cataloging Request Form

### for Adding Free Serial Internet Resources to VIRGO Online Catalog

**Library Collection Selectors:** please use this form to add **serial** titles for Internet resources to the library's online catalog. Serials selected should be full-text and not require the use of individual passwords, etc.

All fields should be filled in with the exception of those labelled optional.

#### Bibliographic Information:

Title

URL:

Licensing:

Full text holdings begin with:

Relevant Comments (optional): Include such things as availability of print, etc.:

#### Selector Information:

Selector's Name:

Email Address:

Phone:

 |

Coordination of Electronic Journal Activities  
Urban campuses and Pullman campus  
April 28, 2006

## SUBSCRIBED TITLES

**Vancouver** – because of the volume of electronic journals,

- Vancouver will license, process and make links available in Griffin to their electronic journals. Linking will be done through the III Electronic Resource Management module.
- Vancouver will notify the SFX KnowledgeBase manager\* in Pullman of new titles for inclusion and activation in SFX. The information needed is the title, ISSN, dates of coverage and who has access. This will provide linking from source databases as well as listing in the SFX electronic A-Z list.

**Energy Library, Spokane and TriCities** - Because of the small number of electronic journals dealt with at these campuses, Pullman Collections and Technical Services unit will handle activation/registration, Griffin linking, and SFX activation.

Procedure:

- Campus will purchase and license
- Send an Electronic Product Purchase Request form prominently marked with your campus name

<http://www.systems.wsu.edu/bin/libdocs/librarians/E-PurchaseRequestForm.doc>

to Serials and Electronic Resources (SER) unit acquisitions staff person\*\* along with copies of any documentation about the title. This might include license, terms of agreement, relevant emails, contact information for technical support and customer service.

- The Electronic Product Purchase Request form will be processed with a top priority according to our usual work flow.
  - SFX will be activated to provide linking from source databases and inclusion in the SFX electronic journal list
  - Resource and license records will be created if needed
  - Links will be added to Griffin via ERM
- SER will notify the purchasing campus when the title is available in Griffin

## FREE TITLES

All campuses send Electronic Product Purchase forms to Pullman SER acquisitions staff person for processing. We automatically add free titles from the following suppliers:

Bioline

<http://www.wsulibs.wsu.edu/TSD/ejournalprocedures4-28-06.doc>

BioMed Central (journals with complete free full text not just selected)

Directory of Online Access Journals (DOAJ)

EMIS

Highwire

Internet Scientific Publications

JSTAGE

National Academy Press (eBooks)

PubMed Central

Scielo

University of California Press Free (eBooks)

\*\*Chris Benson as of 2006

\*Janet Chisman as of 2006

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As part of our pilot project, we are interested in expanding our hosting service to include additional eJournals. Please contact [Bronwen Sprout](#), Digital Initiatives Librarian, University Archives if you are interested in being part of the project, or for more information.

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and stress hormones  
*by Dmitry M. Davydov, David Shapiro,  
Iris B. Goldstein, and Aleksandra  
Chicz-DeMet*

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by Jessica Prata Miller  
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RUCORE tools are designed to make it easy for Rutgers faculty and their collaborators to participate in the Rutgers Community Repository.

RUCORE is developing tools that faculty can apply to their research projects to organize information and make it available to the worldwide community of researchers.

RUCORE develops tools for the repository and in collaboration with RU departments and individuals.

### Under Development:

#### **Electronic theses and dissertations application**

In collaboration with the Graduate School - New Brunswick, a service to automate the workflow of submitting a thesis or dissertation to the graduate school, as well as to build a collection of electronic theses and dissertations that can be browsed by school or department, as well as by subject, author, etc. is under development.

#### **Custom collection search and display**

This tool, which will be available in v 4.0, will allow any individual or project to easily add collection searching to a home page, electronic CV, or project website, so that project resources belonging to that collection can be searched and displayed. The search and retrieval will be automatically up to date with any resources that the faculty member, department or project adds.

### Current Tools:

#### **Workflow Management System**

The most important tool is the Workflow Management System—a web-based graphical user interface for uploading digital objects (images, data sets, audio files, video files, digital text, etc.) and creating metadata to describe and manage those objects.

The WMS supports two roles: the **administrator**, who selects vocabularies and designs **metadata templates** that provide default information for many data elements, to speed up the metadata creation process, and the team member, who uploads objects and creates metadata, using the **metadata templates**.

<http://rucore.libraries.rutgers.edu/services/>

An interactive demonstration of the WMS will soon be available.

In the meantime, you can take a tour of the WMS by reading the [WMS Users' Guide](#), which includes step-by-step instructions and screen shots.

The WMS supports the RUcore data model, with metadata to describe and manage digital objects. You can find definitions and examples for all the metadata data elements in the [Repository Metadata Guidelines](#).

*WMS Documentation:*

[WMS Users' Guide](#)  
[Repository Metadata Guidelines](#)

For more information about WMS, [contact the WMS project manager](#).

### **E-Journal platform**

RUcore has developed an electronic open journal platform that establishes a peer-review process, a web-based presentation platform for searching or browsing journal issues and an article-level archiving strategy that maintains each article in the RUcore repository. Current journals include [Pragmatic Case Studies in Psychotherapy](#), [The Electronic Journal of Boundary Elements](#), [Journal of Rutgers University Libraries](#) and the [Rutgers Scholar: an Electronic Bulletin of Undergraduate Research](#). If you are interested in using RUL's open journal system to publish an e-journal, [contact the project manager](#).

### **Web archiving tool**

Websites can be encapsulated, with their site navigation and image files intact, for an archived "snapshot" of the website at a specific date and time. [Contact the project manager](#) for more information.

### **Open Archives Initiative (OAI) facility**

Supports sharing resources with other initiatives via harvesting from RUcore.



**U THE INSTITUTIONAL REPOSITORY THE UNIVERSITY OF UTAH**

campus: a to z index | map | directory | calendar

Search IR Collections [advanced search] Search

IR HOME | UNIVERSITY ARCHIVE | AUTHORS | TITLES | COLLEGES/DEPARTMENTS | THESES/DISSERTATIONS

What is an IR?  
About the U IR  
How to Use the IR  
Information for Authors  
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Contact  
Partners

RELATED WEBSITES  
Scholarly Communication

### Theses & Dissertations

The Theses and Dissertations collection includes selected dissertations, masters theses and projects, and undergraduate honors theses from University of Utah graduates.

Search Browse

### Scholar Works

U Scholar Works contains peer-reviewed materials as well as other scholarly works and data that might otherwise not be published, preserved, or made accessible.

Search Browse

### Electronic University Archive

Materials in the Electronic University Archive include information about and produced by the University of Utah.

Search Browse

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<p>Home</p> <p>About</p> <p>Help</p> <p>My Account</p> <p>Notify Me</p> <p>Wayne State University</p> <p>Search</p> <input type="text"/> <p><b>SEARCH</b> »</p> <p><a href="#">» Advanced Search</a></p> <p> POWERED BY DSpace</p> <p><b>RSS</b></p>	<p><b>Browse Research &amp; Scholarship</b></p> <p>Browse research and scholarship by:</p> <ul style="list-style-type: none"><li>▪ <a href="#">research unit, center, or department</a></li><li>▪ <a href="#">journals and peer-reviewed series</a></li><li>▪ <a href="#">theses and dissertations</a></li></ul> <p>The repository is a service of the Wayne State University libraries. Research and scholarly output included here has been selected and deposited by the individual university departments and centers on campus.</p>	<p><b>Paper of the Day</b></p> <p><a href="#">Gender-related perceptions of parental treatment of Arabic speaking fifth-grade urban students</a></p> <p>by Therese Jiries Smith ETD Collection for Wayne State University</p> <p><b>Search all 3023 papers</b></p> <input type="text"/> <input type="button" value="Go"/> <p>199 full-text downloads of repository content in the last week.</p>
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