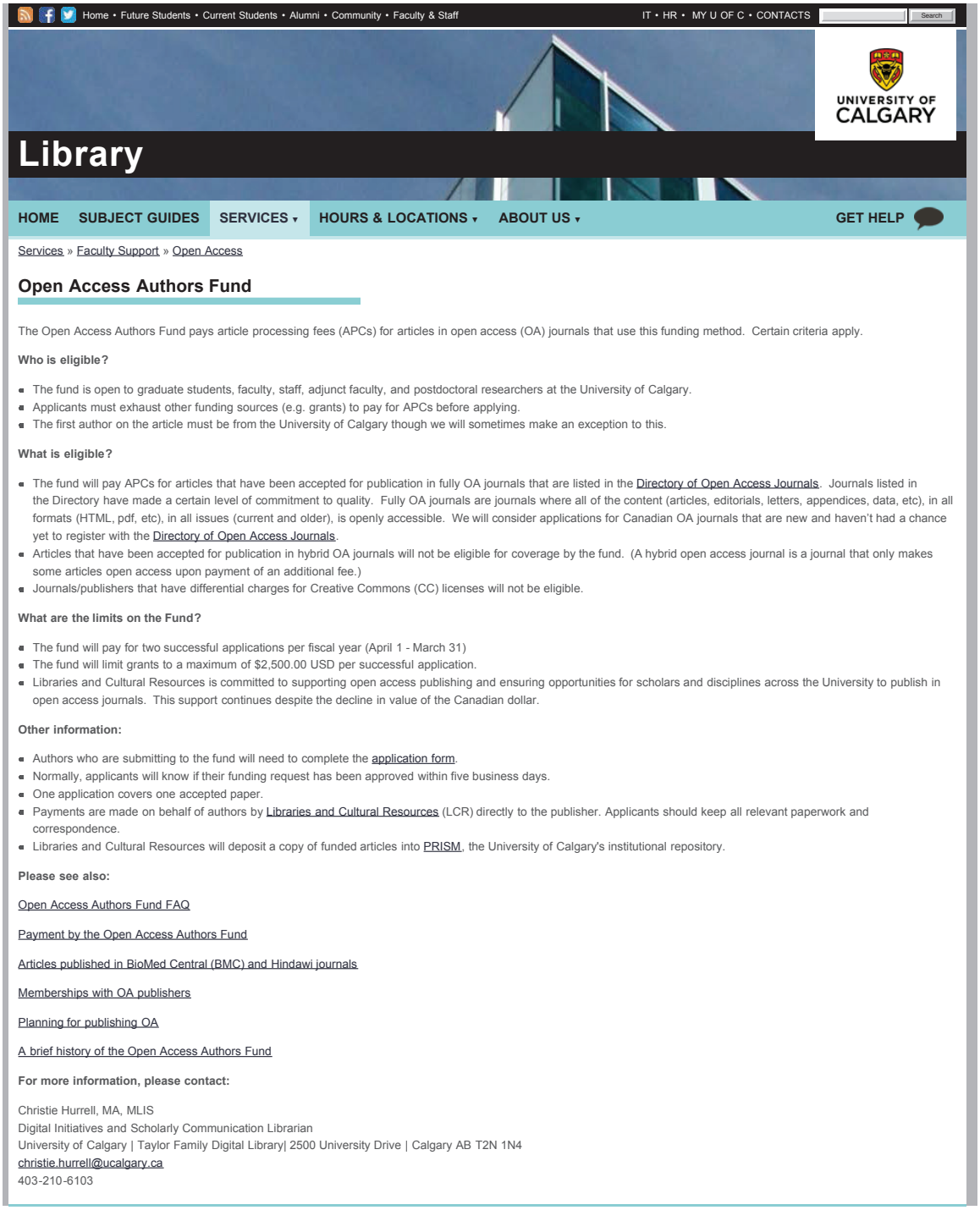
Open Access Fund Descriptions

UNIVERSITY OF CALGARY LIBRARIES AND CULTURAL RESOURCES
Open Access Authors Fund
http://library.ucalgary.ca/open-access-authors-fund



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Open Access Authors Fund

The Open Access Authors Fund pays article processing fees (APCs) for articles in open access (OA) journals that use this funding method. Certain criteria apply.

Who is eligible?

- The fund is open to graduate students, faculty, staff, adjunct faculty, and postdoctoral researchers at the University of Calgary.
- Applicants must exhaust other funding sources (e.g. grants) to pay for APCs before applying.
- The first author on the article must be from the University of Calgary though we will sometimes make an exception to this.

What is eligible?

- The fund will pay APCs for articles that have been accepted for publication in fully OA journals that are listed in the Directory of Open Access Journals. Journals listed in the Directory have made a certain level of commitment to quality. Fully OA journals are journals where all of the content (articles, editorials, letters, appendices, data, etc), in all formats (HTML, pdf, etc), in all issues (current and older), is openly accessible. We will consider applications for Canadian OA journals that are new and haven't had a chance yet to register with the Directory of Open Access Journals.
- Articles that have been accepted for publication in hybrid OA journals will not be eligible for coverage by the fund. (A hybrid open access journal is a journal that only makes some articles open access upon payment of an additional fee.)
- Journals/publishers that have differential charges for Creative Commons (CC) licenses will not be eligible.

What are the limits on the Fund?

- The fund will pay for two successful applications per fiscal year (April 1 - March 31)
- The fund will limit grants to a maximum of $2,500.00 USD per successful application.
- Libraries and Cultural Resources is committed to supporting open access publishing and ensuring opportunities for scholars and disciplines across the University to publish in open access journals. This support continues despite the decline in value of the Canadian dollar.

Other information:

- Authors who are submitting to the fund will need to complete the application form.
- Normally, applicants will know if their funding request has been approved within five business days.
- One application covers one accepted paper.
- Payments are made on behalf of authors by Libraries and Cultural Resources (LCR) directly to the publisher. Applicants should keep all relevant paperwork and correspondence.
- Libraries and Cultural Resources will deposit a copy of funded articles into PRISM, the University of Calgary's institutional repository.

Please see also:

Open Access Authors Fund FAQ

Payment by the Open Access Authors Fund

Articles published in BioMed Central (BMC) and Hindawi journals

Memberships with OA publishers

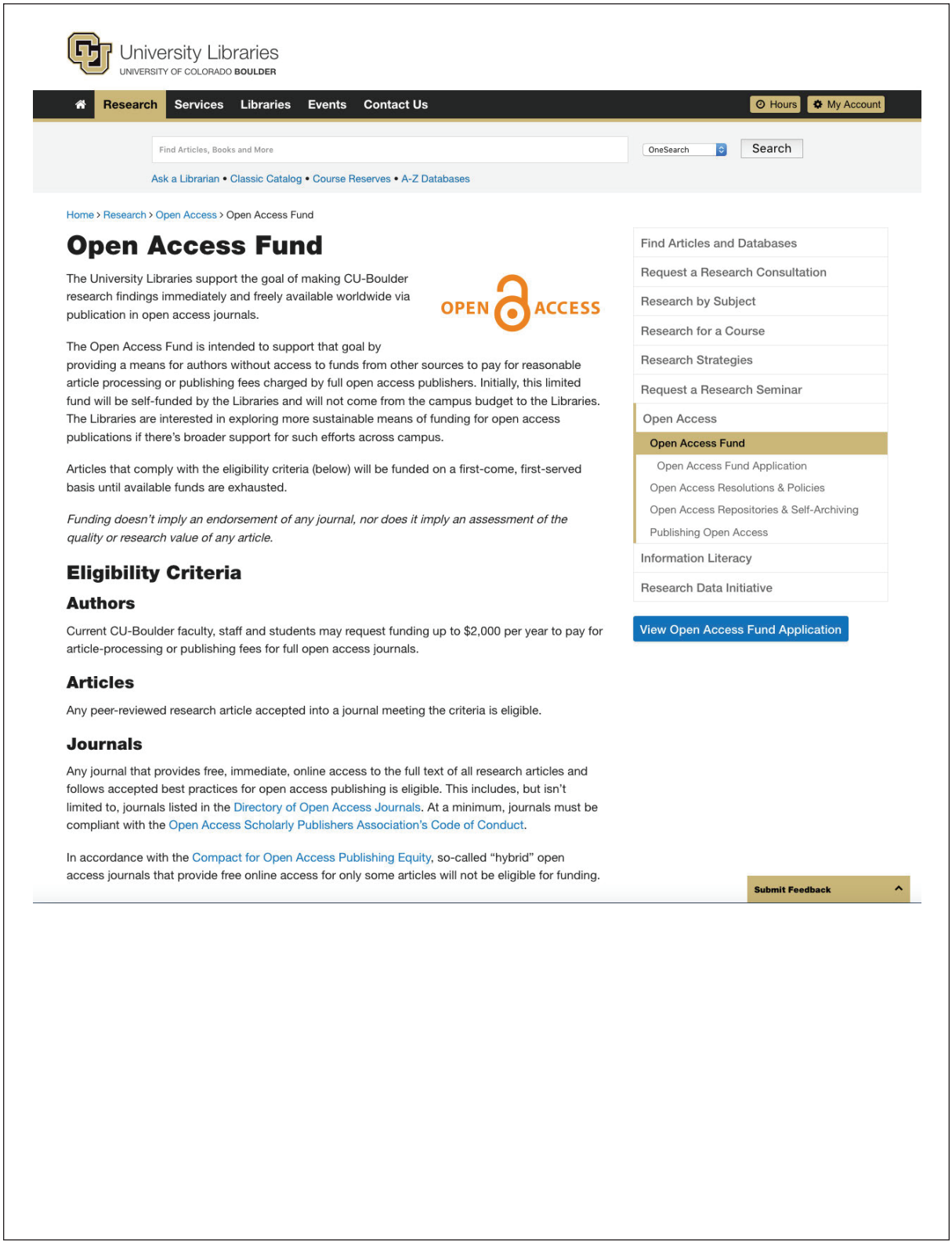
Planning for publishing OA

A brief history of the Open Access Authors Fund

For more information, please contact:

Christie Hurrell, MA, MLIS
Digital Initiatives and Scholarly Communication Librarian
University of Calgary | Taylor Family Digital Library| 2500 University Drive | Calgary AB T2N 1N4
christie.hurrell@ucalgary.ca
403-210-6103

UNIVERSITY OF COLORADO AT BOULDER LIBRARIES
Open Access Fund
http://www.colorado.edu/libraries/research-assistance/open-access/open-access-fund



UNIVERSITY OF COLORADO AT BOULDER LIBRARIES
Open Access Fund
http://www.colorado.edu/libraries/research-assistance/open-access/open-access-fund

Alternative Funding Options

This fund is intended to support open access to research articles where publication fees are not covered by grants or other funding sources. The CU-Boulder Libraries encourages authors to include publication fees in grant requests whenever possible. This fund isn't intended to replace such funding sources.

Multiple Authors

Funding is limited to $2,000 per article regardless of the number of authors. Funding for articles with multiple CU-Boulder authors will be prorated (e.g., if there are two CU-Boulder authors on the same article, each author can request up to $1,000 or they can submit one joint application). Funding for articles with authors from other institutions will not be prorated unless the non-CU authors have secured funding from their institutions or other sources. Applicants may be asked to solicit funds from non-CU authors.

Institutional Repository Submission Requirement

Applicants who receive funding are expected to submit a copy of the published version of the article to CU-Boulder's institutional repository at the time of publication. Please contact Gabrielle Wiersma for assistance with submissions.

Attribution

In the author's acknowledgements, please include the statement: *Publication of this article was funded by the University of Colorado Boulder Libraries Open Access Fund.*

Application Process

If the above criteria are met, the Open Access Fund Application Form can be used to request funds. Requests for publisher payment may be made as soon as an article is accepted and a statement or invoice for publication charges is received.

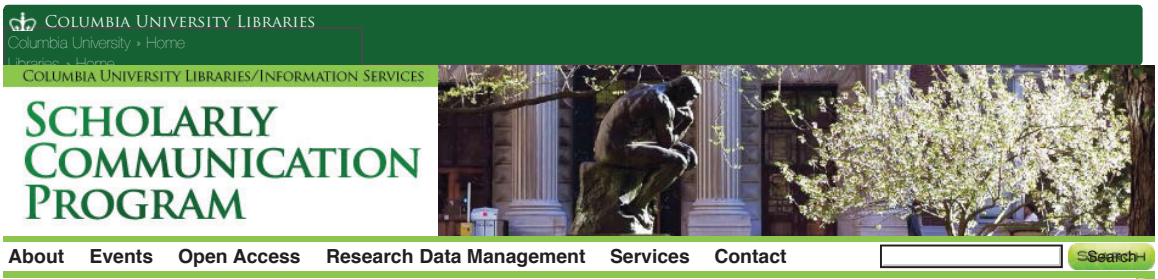
Authors will be notified via email upon approval of funding (typically within seven business days). Payment will be made directly to the publisher.

Questions?

Contact Gabrielle Wiersma for more information or questions about the application process.

Gabrielle Wiersma
Head, Collection Development
University Libraries, Norlin Library
184 UCB
Boulder, CO 80309-0184
303-492-4316
gabrielle.wiersma@colorado.edu

COLUMBIA UNIVERSITY LIBRARIES
COAP Fund
http://scholcomm.columbia.edu/services/coap-fund/



COAP Fund

The Columbia Open-Access Publication (COAP) Fund will underwrite reasonable article-processing fees for open-access journals when funds are not otherwise available. The fund is supported by Columbia University Libraries/Information Services (CUL/IS). CUL/IS has established the COAP Fund in fulfillment of Columbia's commitment to the Compact for Open-Access Publishing Equity.

Read the **fund guidelines** below.

View **frequently asked questions**.

Apply for COAP reimbursement by following the **instructions for application and reimbursement**.

Browse a **list of articles** supported by the COAP Fund.

Goals

The goals of the COAP Fund are to support innovative models of scholarly publishing; Increase access to Columbia's research and scholarship; and aid Columbia faculty, students, and staff who want to publish in open-access journals but who do not have other sources of funding to cover article-processing fees.

Guidelines

Eligible Authors
The COAP Fund is available to any Columbia faculty member, post-doctoral researcher, staff member, or student author. Authors who were at Columbia while the research was conducted may also qualify for reimbursement. The fund is not currently available to Columbia affiliates including Barnard College, Teachers College, or Union Theological Seminary.

Eligible Articles
COAP funds apply to article-processing fees for scholarly peer-reviewed articles.

Eligible Publication Venues
COAP funds may be used to pay article-processing fees in open-access journals (i.e., journals that do not charge readers or their institutions for access to the peer-reviewed articles they publish). Eligible journals must:

- Provide unfettered access to all peer-reviewed articles. Journals with a hybrid open-access model or delayed open-access model are not eligible.
- Be a member of the Open Access Scholarly Publishers Association OR adhere to its Code of Conduct.
- Have publicly available a standard article fee schedule.
- Have a policy to waive fees in cases of economic hardship (though some exceptions to this requirement may be allowed).

Many, though not all, eligible journals will be listed in the Directory of Open Access Journals.

No Other Sources of Funding Available
The COAP Fund is a limited resource intended to support open access publishing across the university. We expect researchers to request funding for open access publication from their funding agency if they can do so (the National Institutes of Health, the Wellcome Trust, and the Howard Hughes Medical Institute will all fund open access publication as part of their research grants). If such funds are not available, we welcome your application to the COAP Fund.

No Departmental Funds were used
The COAP Fund can only be used to reimburse individuals and not departments. Only researchers who paid

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COAP Fund
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Videos of past events
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COLUMBIA UNIVERSITY LIBRARIES
COAP Fund
http://scholcomm.columbia.edu/services/coap-fund/

their article processing charges with personal funds may be eligible for reimbursement. Researchers who paid with a departmental card will be rejected.

Article Reimbursement Cap
The maximum dollar amount that the COAP Fund will reimburse for a single article is $3,000.

Author Reimbursement Cap
Authors may receive funding for up to $3,000 per year for all article-processing charges. Unused amounts do not roll over to future years.

Multiple Authorship
In the case of an article with multiple authors, each eligible author can apply for reimbursement for a prorated portion of the publication fee. An example: An article with three authors, two of whom are from Columbia, is to appear in a journal with a $3,000 publication fee. Each Columbia author may apply for reimbursement for $1,000. In the hypothetical case of an eligible journal with a $6,000 publication fee, each Columbia author could apply for reimbursement for a prorated portion of the $3,000 funding cap per article, or $1,000.

Application and Reimbursement Process
Please submit your request for funds immediately upon your article's acceptance for publication by following the instructions for application and reimbursement.

Funded Articles to Academic Commons
We deposit copies of the final published version of articles supported by the COAP Fund in Academic Commons, Columbia's online research repository. Academic Commons staff will also contact funded authors and encourage them to deposit all their eligible research outputs into the repository.

Fund Cap
CUL/IS has committed $25,000 for the program for the 2015-16 academic year. Funding for articles that comply with the eligibility criteria will be distributed on a first-come, first-served basis.

Regular Review
All aspects of this program, including the amount of funding committed to the program, will be analyzed and reviewed on a regular basis.

Contact
Email coapfund@columbia.edu or call 212-851-2818.

Scholarly Communication Program

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CORNELL UNIVERSITY LIBRARY
Cornell Open Access Publication Fund
https://www.library.cornell.edu/about/collections/coap

Cornell University Library

Cornell Open Access Publication Fund

The COAP program has been funded again for the 2016-17 academic year and we are accepting applications for reimbursement of eligible publishing and processing charges. Please note that we have made a few changes to the scope and criteria of the program for the new academic year.

Open access scholarly publishing

Open access scholarly publishing is gaining ground as an alternative to conventional means of disseminating the results of academic research, dispensing with cost barriers and use restrictions for readers. Open access publishing makes peer-reviewed scientific and scholarly work freely available online to a worldwide readership. Open access journals are now firmly established in many fields of research and robust open access publishing programs for scholarly books have begun to take hold.

CONTACT

Use our form to send us comments (NetID required). Or contact the program director:

Kizer Walker
Uris Library, Room 309
Cornell University Library
Ithaca, NY 14853
(607 254-1375)

While open access publications are free to read, it costs money to manage the peer-review process and produce and distribute electronic journals and books. Since open access publishers do not charge subscription or other access fees, they must cover their operating expenses through other sources. This may include foundation support, subventions, and in-kind support, as well as processing fees paid by authors – or on behalf of authors by their institutions.

The Cornell Open Access Publishing fund

The Cornell Open Access Publishing (COAP) fund underwrites reasonable publication charges for articles and books written by Cornell faculty, students, and staff, and published in fee-based open access journals when other funding sources are not available.

From and from its inception in 2010 through the 2016 spring semester, the COAP program has contributed to publication and processing charges for more than 100 open access publications by more than 80 Cornell authors from more than 30 academic departments

and programs on Cornell's Ithaca campus.

The Compact for Open-Access Publishing Equity

The COAP fund was established in the context of the Compact for Open-Access Publishing Equity, a multi-institutional initiative in support of a sustainable transition to open access, to which Cornell is a signatory. The universities participating in the Compact "recognize the crucial value of the services provided by scholarly publishers, the desirability of open access to the scholarly literature, and the need for a stable source of funding for publishers who choose to provide open access to their journals' contents."

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Compact for Open Access Publishing Equity (COPE) Fund
https://scholarworks.duke.edu/open-access/cope/

Duke ScholarWorks

Compact for Open Access Publishing Equity (COPE) Fund

The **Compact for Open-Access Publishing Equity (COPE)** is a program by universities to support equity in business models used for scholarly publishing. Each participating university commits to "underwriting reasonable publication charges for articles written by its faculty and published in fee-based open-access journals and for which other institutions would not be expected to provide funds."

The program is intended to reduce barriers to open-access publishing – to support the ability for scholarly authors who wish to make their work open access to do so, even in cases where they do not otherwise have funding available to cover article processing charges (APCs). This program is not intended as a subsidy for publishers or simply as subvention for authors, but aims to provide support for Duke authors to choose the venue for their work that best suits their needs, to make Duke research as broadly available as possible, and to reduce financial disincentives for publishing in open access journals.

At Duke, the fund is supported by Duke University Libraries, the Office of the Provost, the School of Medicine and the School of Nursing.

Can COPE funds support my work?

Duke University provides COPE funds to reimburse authors for article-processing fees accrued when publishing in peer-reviewed journals that are:

- listed in the **Directory of Open Access Journals**
- members of the **Open Access Scholarly Publishers Association**
- published in a fully open-access format based on a published schedule of article processing fees

COPE funds **cannot** :

- support reimbursements for placing articles in "hybrid" open-access publishing, such as subscription-based journals that make some articles available after an author pays fees, but continue to charge subscriptions and prevent open access to the rest of the journal.
- support reimbursements for article processing fees that can otherwise be covered by a gift or grant from a foundation, institution or agency. In other words, if you have funding from another source that will cover APCs, you should use that source instead of requesting COPE funds from Duke, to allow us to conserve the limited COPE funding for authors who have no other options.

Do I qualify for COPE funds?

The following people qualify for COPE funds:

- Duke faculty members
- Graduate or professional students
- Postdoctoral researchers

In addition:

- You must be the author of an article that has been accepted for publication in a peer-reviewed, open-access journal.
- You must attest that the journal complies with eligibility requirements.
- You can submit your application for funding at any time, but we cannot reimburse you until an eligible journal has accepted your article.

Are there any limitations?

- Reimbursements will be considered on a first-come, first-served basis up until the funding for a given year is exhausted.
- In an academic year, you can receive a maximum reimbursement of $3,000 for article processing fees. The maximum reimbursement for any single article is $2,000.
- You cannot roll over unused funds to future years.

How are funds divided among multiple authors?

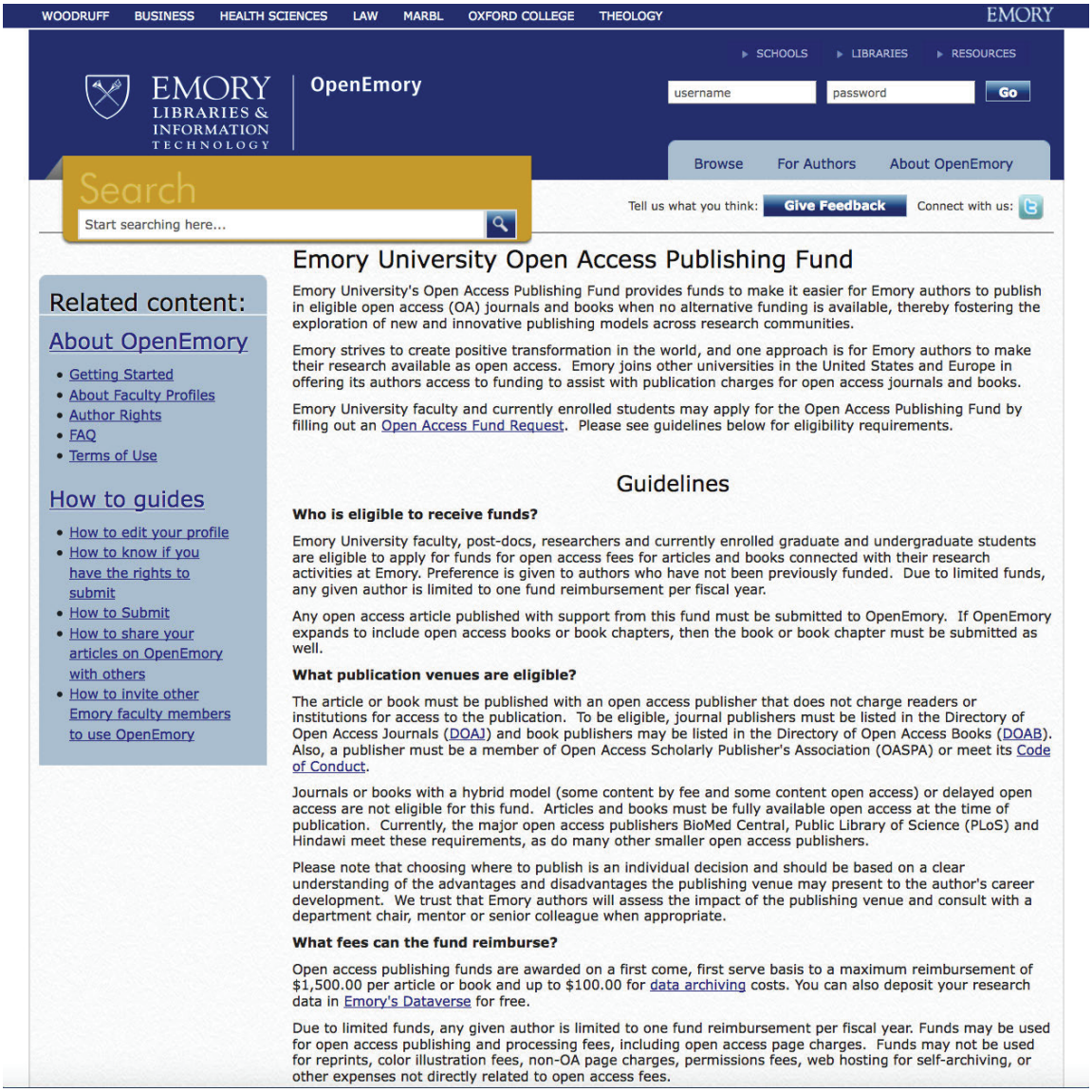
- Multiple, eligible Duke authors of a single article are responsible for a prorated portion of article processing fees.
- All eligible authors can be reimbursed, up to the annual cap, for their prorated portion.

How do I apply for COPE funds?

- **Complete an application.**

EMORY UNIVERSITY LIBRARIES

Emory University Open Access Publishing Fund
https://open.library.emory.edu/authors/oa-fund/



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- How to Submit
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- How to invite other Emory faculty members to use OpenEmory

Emory University Open Access Publishing Fund

Emory University's Open Access Publishing Fund provides funds to make it easier for Emory authors to publish in eligible open access (OA) journals and books when no alternative funding is available, thereby fostering the exploration of new and innovative publishing models across research communities.

Emory strives to create positive transformation in the world, and one approach is for Emory authors to make their research available as open access. Emory joins other universities in the United States and Europe in offering its authors access to funding to assist with publication charges for open access journals and books.

Emory University faculty and currently enrolled students may apply for the Open Access Fund Request. Please see guidelines below for eligibility requirements.

Guidelines

Who is eligible to receive funds?

Emory University faculty, post-docs, researchers and currently enrolled graduate and undergraduate students are eligible to apply for funds for open access fees for articles and books connected with their research activities at Emory. Preference is given to authors who have not been previously funded. Due to limited funds, any given author is limited to one fund reimbursement per fiscal year.

Any open access article published with support from this fund must be submitted to OpenEmory. If OpenEmory expands to include open access books or book chapters, then the book or book chapter must be submitted as well.

What publication venues are eligible?

The article or book must be published with an open access publisher that does not charge readers or institutions for access to the publication. To be eligible, journal publishers must be listed in the Directory of Open Access Journals (DOAJ) and book publishers may be listed in the Directory of Open Access Books (DOAB). Also, a publisher must be a member of Open Access Scholarly Publisher's Association (OASPA) or meet its Code of Conduct.

Journals or books with a hybrid model (some content by fee and some content open access) or delayed open access are not eligible for this fund. Articles and books must be fully available open access at the time of publication. Currently, the major open access publishers BioMed Central, Public Library of Science (PLoS) and Hindawi meet these requirements, as do many other smaller open access publishers.

Please note that choosing where to publish is an individual decision and should be based on a clear understanding of the advantages and disadvantages the publishing venue may present to the author's career development. We trust that Emory authors will assess the impact of the publishing venue and consult with a department chair, mentor or senior colleague when appropriate.

What fees can the fund reimburse?

Open access publishing funds are awarded on a first come, first serve basis to a maximum reimbursement of $1,500.00 per article or book and up to $100.00 for data archiving costs. You can also deposit your research data in Emory's Dataverse for free.

Due to limited funds, any given author is limited to one fund reimbursement per fiscal year. Funds may be used for open access publishing and processing fees, including open access page charges. Funds may not be used for reprints, color illustration fees, non-OA page charges, permissions fees, web hosting for self-archiving, or other expenses not directly related to open access fees.

What is data archiving?

For more information about data archiving, please see our Data Archiving page. If you have questions about Data Archiving, please contact the Research Data Management Team at dataplans@emory.edu.

What if the research was grant-funded?

The Open Access Publishing Fund is a fund of last resort. Articles or books for which alternative funding is available are not eligible for reimbursement from this fund. This includes articles reporting research funded by a gift or a grant from a granting agency, foundation, or other institution (including Emory itself) that allows grant funds to be used for open access publishing fees (whether or not the particular grant had budgeted for such fees), and articles funded by an institution that itself pays article processing fees on behalf of the author. An author must have exhausted all other grant and other funding sources to be eligible for this fund.

How do I apply for funds?

Articles or books published after September 1, 2012 are eligible for this fund. You may apply for Open Access Publishing Funds by completing an Open Access Fund Request.

At what point in the publication process should I apply for funds?

You may apply for funds prior to submission for publication, after acceptance, or immediately after publication. Your request will be evaluated against the above guidelines. If you have not yet submitted for publication, your request will be conditionally approved awaiting official acceptance by the publisher. All conditional approvals expire 6 months after notification of approval. After expiration, you are welcome to reapply.

PeerJ - New beginning November 2013

Beginning in November 2013, the Open Access Fund will pay for the basic publishing plan for Emory authors (faculty and current students) who publish in PeerJ, an open access journal focusing on the biological and medical sciences. PeerJ uses a membership model rather than article publication charges, and Emory's Open Access Fund is supporting this new open access funding model.

The institutional membership Emory has with PeerJ will pay the cost of a Basic PeerJ publication plan for each Emory author on a paper that is accepted for publication in PeerJ. This PeerJ Basic plan remains yours for life, even if you leave Emory! Please see the Emory page at PeerJ for more information. Please contact Lisa Macklin with questions.

Administration of the fund

The Scholarly Communications Office of the Emory University Libraries administers the fund. The fund began as a pilot in September 1, 2012 and was renewed for FY 1314 and FY1415. The fund is evaluated at the end of each fiscal year. The fund may be suspended if sufficient funding is not available. The parameters of reimbursement may be changed as appropriate with the advice and counsel of the University Senate's Library Policy Committee.

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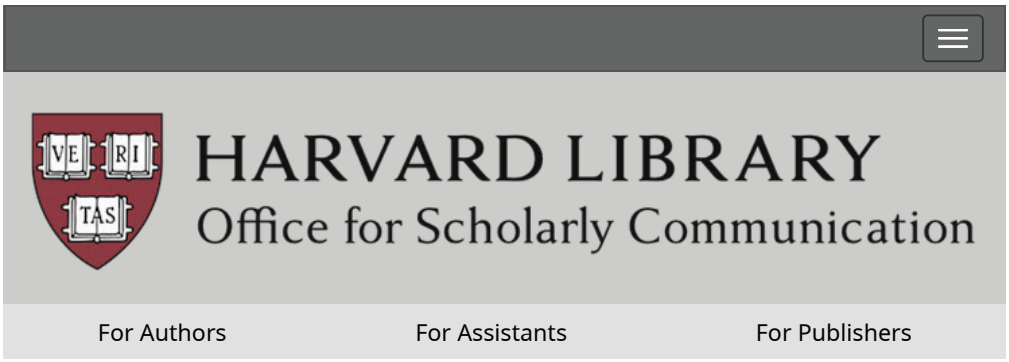

Home » Programs

HOPE Fund

The Harvard Open-Access Publishing Equity (HOPE) fund provides funds for the reimbursement of reasonable article processing fees for articles authored or co-authored by Harvard researchers published in eligible open-access journals for which no alternative funding is available.

Members of the Harvard Community can apply for HOPE funds by completing a webform.

The HOPE fund is Harvard's implementation of the Compact for Open-Access Publishing Equity.

Funded Articles

Visit DASH for to read all the papers funded by HOPE.

APPLY FOR HOPE FUNDS

This program reimburses Harvard researchers for OA journal page charges.

HOPE FUND FAQS

Eligibility and amount for HOPE awards, and more.

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Submit your scholarly work to DASH, Harvard's open-access repository.

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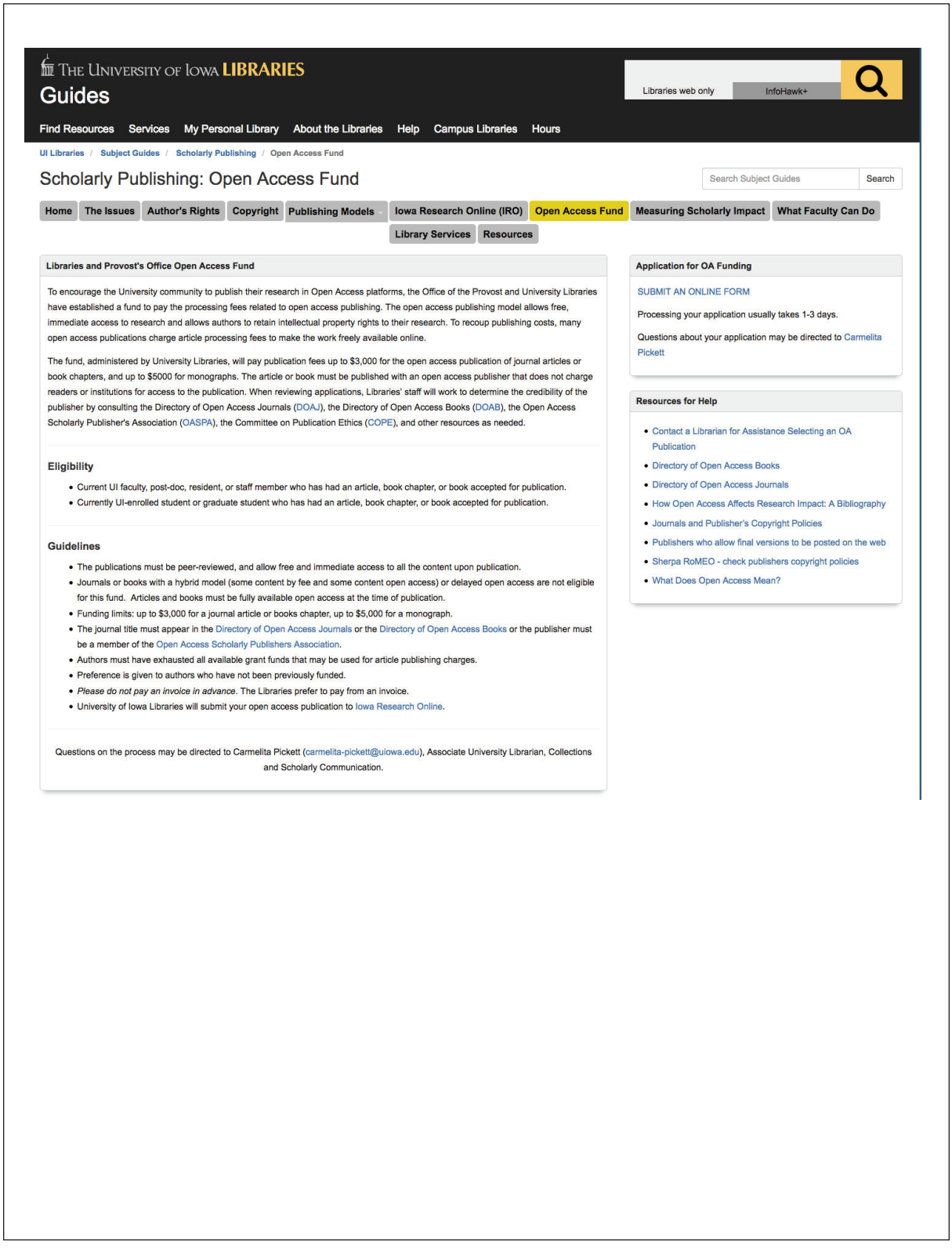
Scholarly Publishing: Open Access Fund
http://guides.lib.uiowa.edu/scholarly_publishing/OAfund



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Libraries and Provost's Office Open Access Fund

To encourage the University community to publish their research in Open Access platforms, the Office of the Provost and University Libraries have established a fund to pay the processing fees related to open access publishing. The open access publishing model allows free, immediate access to research and allows authors to retain intellectual property rights to their research. To recoup publishing costs, many open access publications charge article processing fees to make the work freely available online.

The fund, administered by University Libraries, will pay publication fees up to $3,000 for the open access publication of journal articles or book chapters, and up to $5000 for monographs. The article or book must be published with an open access publisher that does not charge readers or institutions for access to the publication. When reviewing applications, Libraries' staff will work to determine the credibility of the publisher by consulting the Directory of Open Access Journals (DOAJ), the Directory of Open Access Books (DOAB), the Open Access Scholarly Publisher's Association (OASPA), the Committee on Publication Ethics (COPE), and other resources as needed.

Eligibility

- Current UI faculty, post-doc, resident, or staff member who has had an article, book chapter, or book accepted for publication.
- Currently UI-enrolled student or graduate student who has had an article, book chapter, or book accepted for publication.

Guidelines

- The publications must be peer-reviewed, and allow free and immediate access to all the content upon publication.
- Journals or books with a hybrid model (some content by fee and some content open access) or delayed open access are not eligible for this fund. Articles and books must be fully available open access at the time of publication.
- Funding limits: up to $3,000 for a journal article or books chapter, up to $5,000 for a monograph.
- The journal title must appear in the Directory of Open Access Journals or the Directory of Open Access Books or the publisher must be a member of the Open Access Scholarly Publishers Association.
- Authors must have exhausted all available grant funds that may be used for article publishing charges.
- Preference is given to authors who have not been previously funded.
- *Please do not pay an invoice in advance.* The Libraries prefer to pay from an invoice.
- University of Iowa Libraries will submit your open access publication to Iowa Research Online.

Questions on the process may be directed to Carmelita Pickett (carmelita-pickett@uiowa.edu), Associate University Librarian, Collections and Scholarly Communication.

Application for OA Funding

SUBMIT AN ONLINE FORM

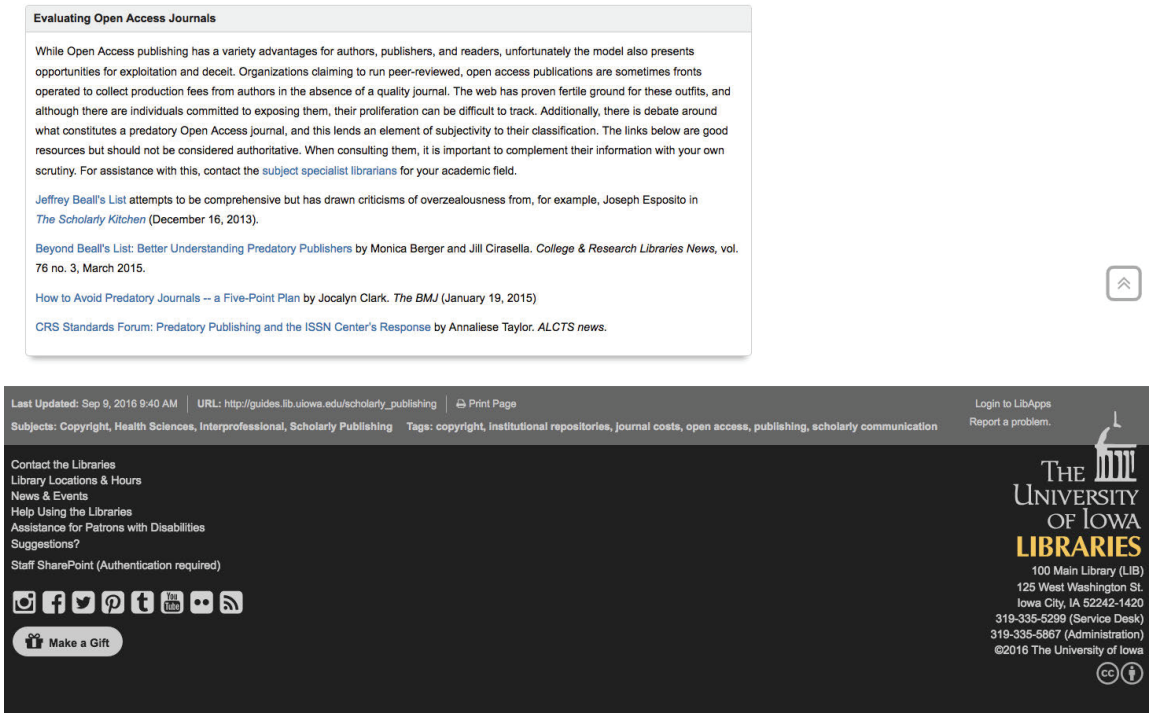
Processing your application usually takes 1-3 days.

Questions about your application may be directed to Carmelita Pickett

Resources for Help

- Contact a Librarian for Assistance Selecting an OA Publication
- Directory of Open Access Books
- Directory of Open Access Journals
- How Open Access Affects Research Impact: A Bibliography
- Journals and Publisher's Copyright Policies
- Publishers who allow final versions to be posted on the web
- Sherpa RoMEO - check publishers copyright policies
- What Does Open Access Mean?

UNIVERSITY OF IOWA LIBRARIES
Scholarly Publishing: Open Access Fund
http://guides.lib.uiowa.edu/scholarly_publishing/OAfund



Evaluating Open Access Journals

While Open Access publishing has a variety advantages for authors, publishers, and readers, unfortunately the model also presents opportunities for exploitation and deceit. Organizations claiming to run peer-reviewed, open access publications are sometimes fronts operated to collect production fees from authors in the absence of a quality journal. The web has proven fertile ground for these outfits, and although there are individuals committed to exposing them, their proliferation can be difficult to track. Additionally, there is debate around what constitutes a predatory Open Access journal, and this lends an element of subjectivity to their classification. The links below are good resources but should not be considered authoritative. When consulting them, it is important to complement their information with your own scrutiny. For assistance with this, contact the subject specialist librarians for your academic field.

Jeffrey Beall's List attempts to be comprehensive but has drawn criticisms of overzealousness from, for example, Joseph Esposito in *The Scholarly Kitchen* (December 16, 2013).

Beyond Beall's List: Better Understanding Predatory Publishers by Monica Berger and Jill Cirasella. *College & Research Libraries News*, vol. 76 no. 3, March 2015.

How to Avoid Predatory Journals -- a Five-Point Plan by Jocalyn Clark. *The BMJ* (January 19, 2015)

CRS Standards Forum: Predatory Publishing and the ISSN Center's Response by Annaliese Taylor. *ALCTS news*.

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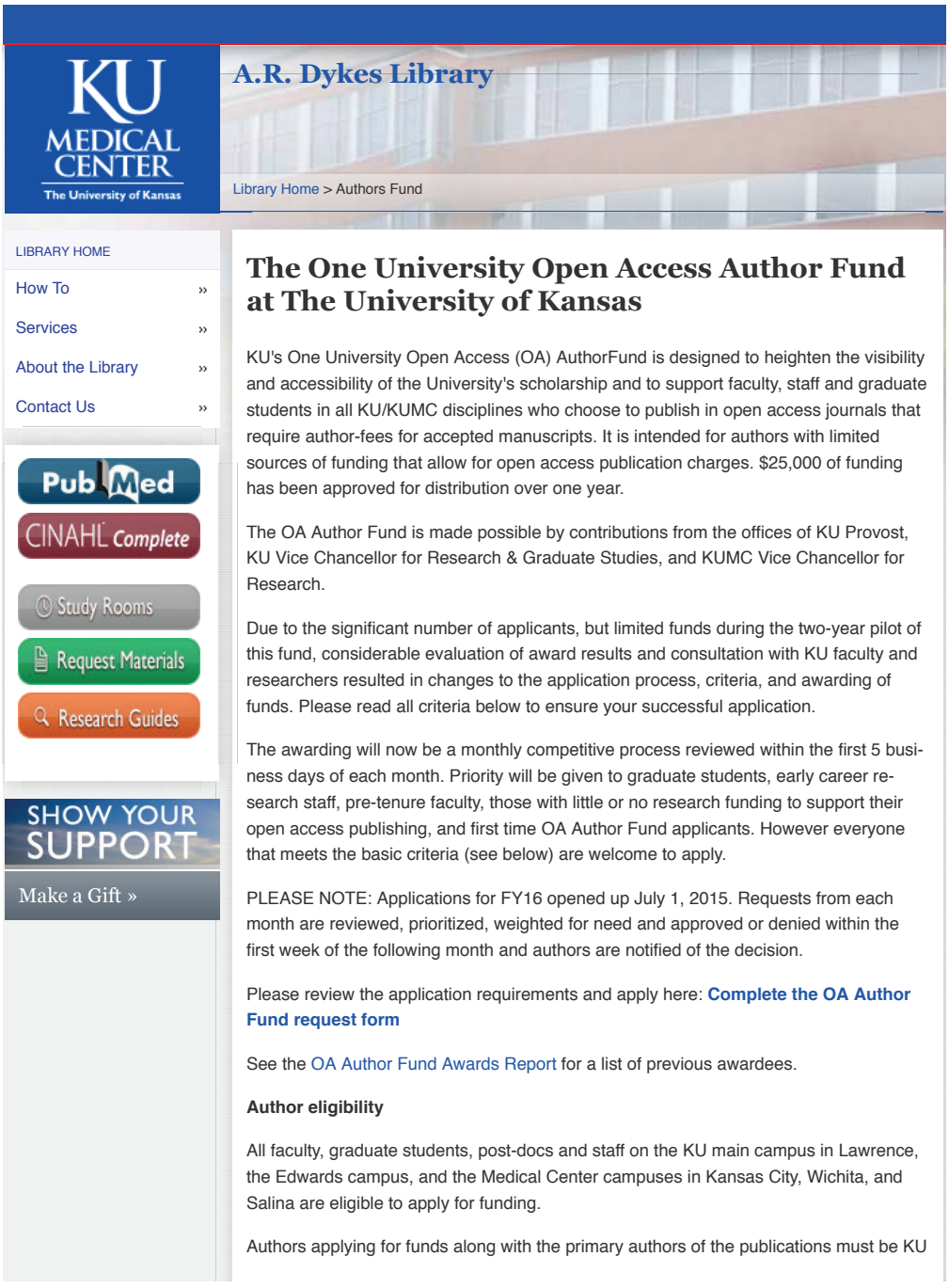

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The One University Open Access Author Fund at The University of Kansas

KU's One University Open Access (OA) AuthorFund is designed to heighten the visibility and accessibility of the University's scholarship and to support faculty, staff and graduate students in all KU/KUMC disciplines who choose to publish in open access journals that require author-fees for accepted manuscripts. It is intended for authors with limited sources of funding that allow for open access publication charges. $25,000 of funding has been approved for distribution over one year.

The OA Author Fund is made possible by contributions from the offices of KU Provost, KU Vice Chancellor for Research & Graduate Studies, and KUMC Vice Chancellor for Research.

Due to the significant number of applicants, but limited funds during the two-year pilot of this fund, considerable evaluation of award results and consultation with KU faculty and researchers resulted in changes to the application process, criteria, and awarding of funds. Please read all criteria below to ensure your successful application.

The awarding will now be a monthly competitive process reviewed within the first 5 business days of each month. Priority will be given to graduate students, early career research staff, pre-tenure faculty, those with little or no research funding to support their open access publishing, and first time OA Author Fund applicants. However everyone that meets the basic criteria (see below) are welcome to apply.

PLEASE NOTE: Applications for FY16 opened up July 1, 2015. Requests from each month are reviewed, prioritized, weighted for need and approved or denied within the first week of the following month and authors are notified of the decision.

Please review the application requirements and apply here: **Complete the OA Author Fund request form**

See the OA Author Fund Awards Report for a list of previous awardees.

Author eligibility

All faculty, graduate students, post-docs and staff on the KU main campus in Lawrence, the Edwards campus, and the Medical Center campuses in Kansas City, Wichita, and Salina are eligible to apply for funding.

Authors applying for funds along with the primary authors of the publications must be KU

employees or students. Preference is given to research or scholarship primarily conducted by KU employees or students.

We expect researchers to use appropriate research grant funds to pay such publication charges where applicable. For example, the National Institutes of Health and the Howard Hughes Medical Institute will fund open access publications as part of their research grants. For questions related to research funds and your application please email us at authorsfund@ku.edu.

Journal eligibility

Inquiring authors are encouraged to consult with the OA Author Fund reviewers regarding the eligibility and quality of open access journals prior to submitting manuscripts. Email your questions to authorsfund@ku.edu.

Authors applying for open access author funds must be publishing in journals that meet the below criteria:

- Provide unfettered access to all peer-reviewed articles -- be an entirely open access journal. Journals with a hybrid open-access model or delayed open-access model are not eligible. Hybrid open access journals are defined here: http://libraries.mit.edu/scholarly/hybrid-journals/.
- Be published by a member organization of the Open Access Scholarly Publishers Association OR adhere to its Code of Conduct.
- Have a standard article fee schedule publicly posted.
- Be listed in the Directory of Open Access Journals. Exceptions to a DOAJ listing may be made if the journal meets other criteria and the OA funding review members verify journal credibility.
- Reviewers may also consult Beall's List of "predatory publishers" to determine publisher quality.

Authors are encouraged to review the journal for quality indicators such as those listed here, http://guides.library.kumc.edu/content.php?pid=407256&sid=3333318, especially for those journals and publishers for which they are unfamiliar. Reviewers of the application may also use those indicators when deciding whether a journal meets the quality criteria for funding.

Article eligibility

Articles must:

- Be a peer-reviewed article submitted to an open access journal.
- Have Publication Status of, "ready for submission," "submitted-for-publication" or "accepted-for-publication". Funds will not be encumbered for manuscripts that are still being written.
- Not have been published prior to the authors' request for funds. Already-published articles are ineligible.

Fund Cap, Disbursement and Administration

Fund Cap
Funds may be used to pay article processing charges up to $1,500 per article, but not color, page, or image charges. **Please NOTE -- the cap has been lowered in order to**

fund more KU authors. This amount is slightly higher than the average payment amount during the Fund's 2-year pilot.

A requesting author may be awarded funding once in a fiscal year period. There is no funding rollover.

Fund Disbursement

- Requests may be made upon article submission or immediately upon acceptance.
- Articles that are ready for submission to the journal and are awarded funds will have four months to complete the peer review process. If the paper is not accepted by the publisher or a PO not received for payment within four months of funding approval, the award will expire. The author will be contacted and may later reapply for funds.
- Once a request has been approved, the requesting author may direct the journal to send the invoice to the KU Libraries contact, or you may send a publisher's invoice to authorsfund@ku.edu.
- Funds will be divided into one-month portions. Applications received during the previous month will be reviewed within the first 5 business days of the next month, prioritized, weighted for need, and awarded.
- Funds may be paid only to publishers' invoices. Individual authors will not be reimbursed or paid for retroactive publications.
- Funds will only be awarded directly to the publisher of the journal identified in the application.
- If not awarded, an author will be notified and may be eligible to apply the following month. Applicants reapplying are not guaranteed funding awards.

Competitive Review
Funding will be distributed through a monthly, competitive review process. Weighted priority will be based on: 1) author status (graduate student, pre-tenure faculty, early career research staff); 2) those who lack funding for open access publication charges; 3) those who have not previously applied for open access author funds.

Additional Criteria
The fund is a limited resource intended to support open access publishing across the university. We expect researchers to request funding for open access publication from their funding agency if they can do so. For example, the National Institutes of Health and the Howard Hughes Medical Institute will fund open access publications as part of their research grants. If such funds are not available, we welcome your application.

If funds are encumbered for a submitted manuscript that is later not accepted, those funds will then be released back to the fund for disbursement to accepted articles.

KU Libraries will upload the published version of each funded article to KU ScholarWorks, KU's open access digital archive of the scholarly work of KU faculty and graduate students.

Fund Administration
KU, KU Law, and KUMC libraries administer and assess the program with oversight provided by the KU Provost, KU Vice Chancellor for Research & Graduate Studies, and KUMC Vice Chancellor for Research.

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The One University Open Access Author Fund
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If this fund is a valuable service to you please let us know by emailing us - authors-fund@ku.edu - with your comments and suggestions.

Last modified: Sep 15, 2015

Related Info

- The One University Open Access Author Fund at The University of Kansas
- Publishing and Author Rights
- One University Open Access Author Fund Report
- Guide to Open Access Publishing
- Open Access at KU
- Read OA Funded Work at KU ScholarWorks
- Electronic Theses and Dissertations (ETD)

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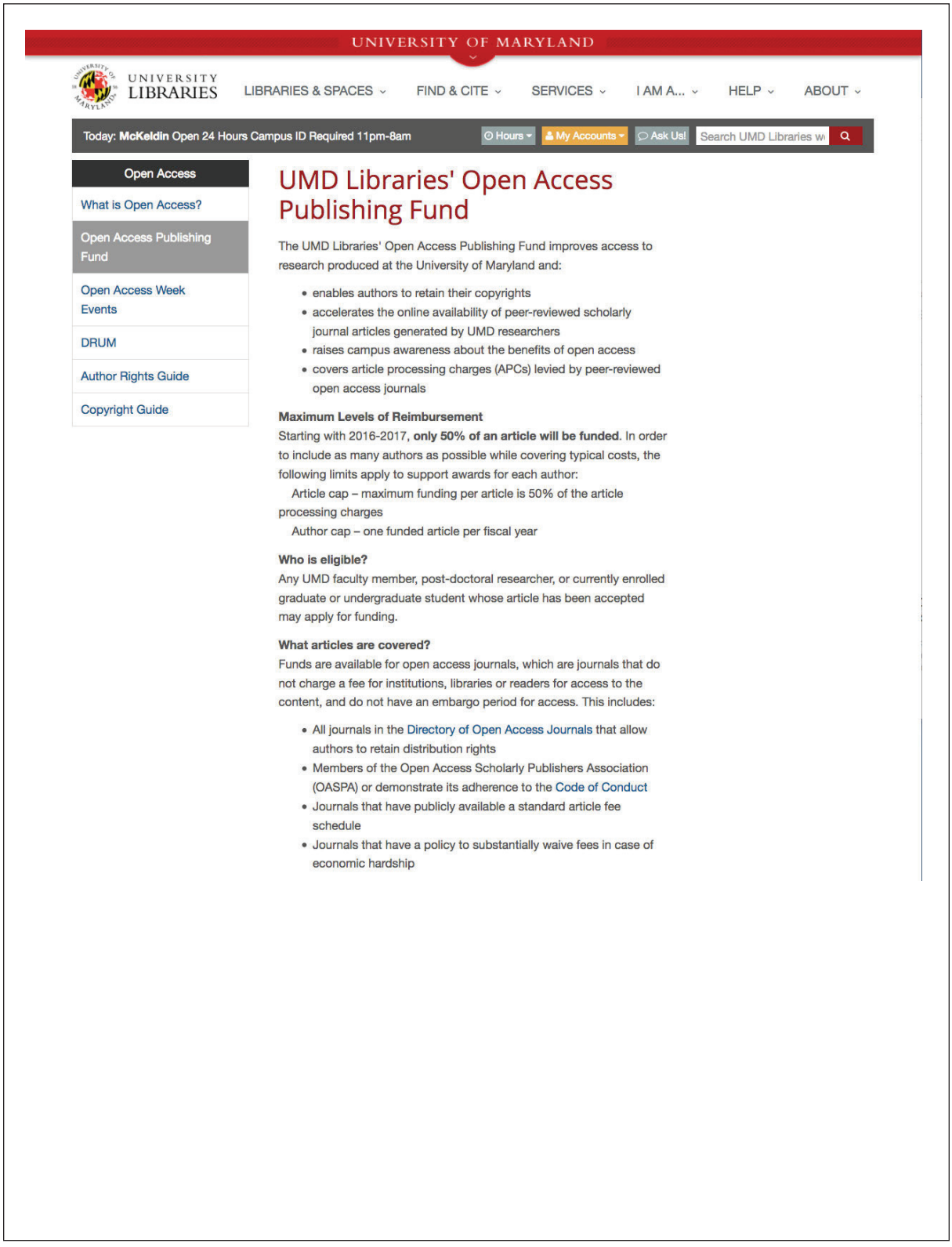
www.lib.umd.edu/oa/openaccessfund



Journals with a hybrid open-access model or delayed open-access model are not eligible. Subscription-based journals that charge a fee, sometimes called an "author's choice" or "open choice" fee, to make single articles available by open access are also not eligible. Any authors who are unsure as to whether or not a particular journal is eligible should contact Terry Owen, towen@umd.edu or (301) 314-1328, prior to submitting an article for publication.

Reimbursement will cover only direct costs for open access publication (not the cost of reprints, color illustration fees, non-OA page charges, web hosting for self-archiving, etc.).

Guidelines
Applicant must be listed as one of the authors and article must indicate UMD affiliation.

Reimbursement will be made once the article has been accepted for publication and the author has been invoiced for the submission fee. Once the application is approved, authors are encouraged to pay the full amount with department funds and the Libraries will transfer 50% of the fee to that account. Authors must provide a copy of the publisher's paid invoice along with the department account number and object code.

Author must also provide a full bibliographic citation plus a copy of the funded article, either author's final version or the published version, for deposit in DRUM (Digital Repository at the University of Maryland).

Acknowledgment
Authors shall add an acknowledgment to all articles sponsored by the fund, such as "*Partial funding for open access provided by the UMD Libraries' Open Access Publishing Fund.*"

Submit Application

Contact Terry Owen, towen@umd.edu or (301) 314-1328, if you have any questions about the Open Access Publishing Fund.

Last update: Sep 08, 2016

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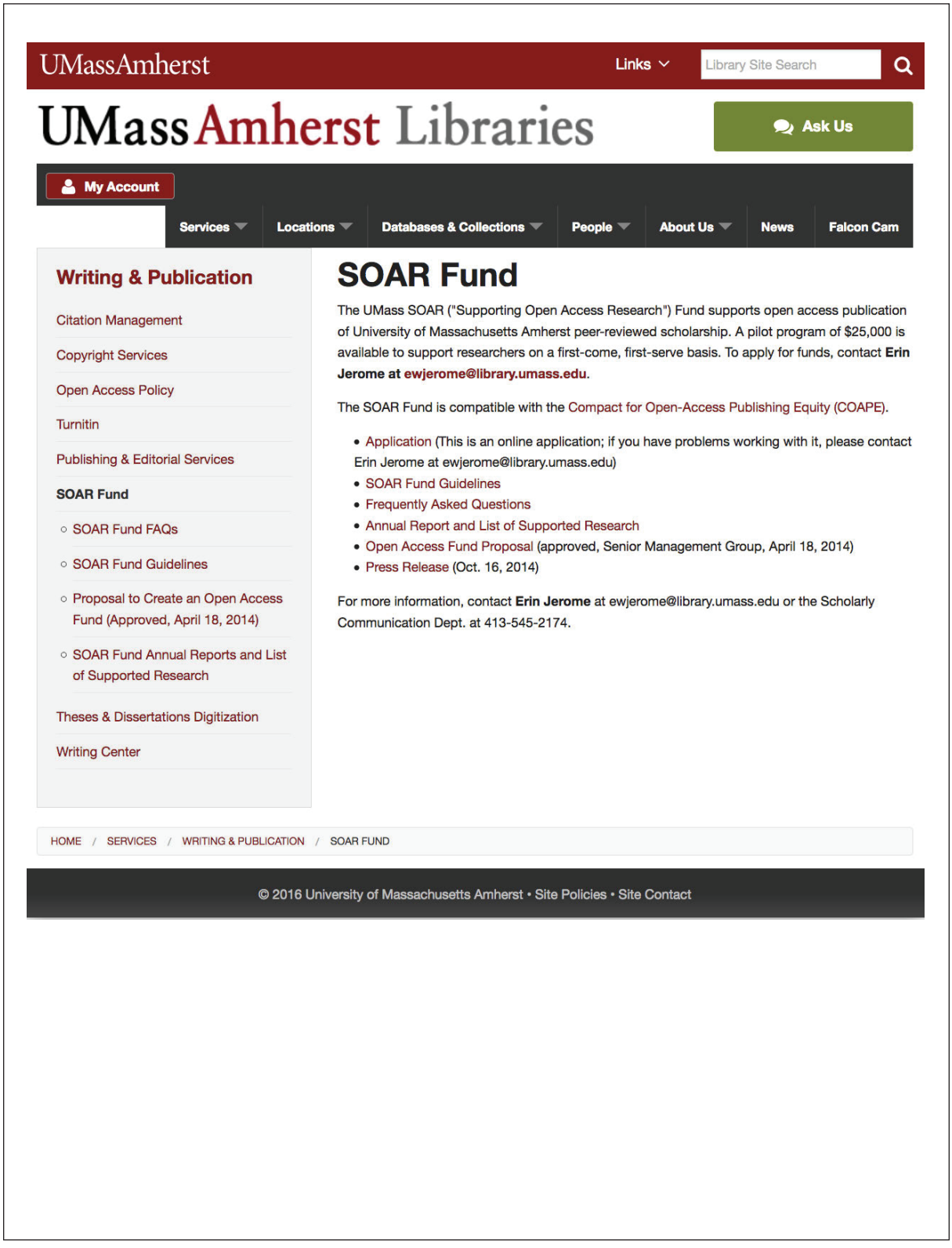

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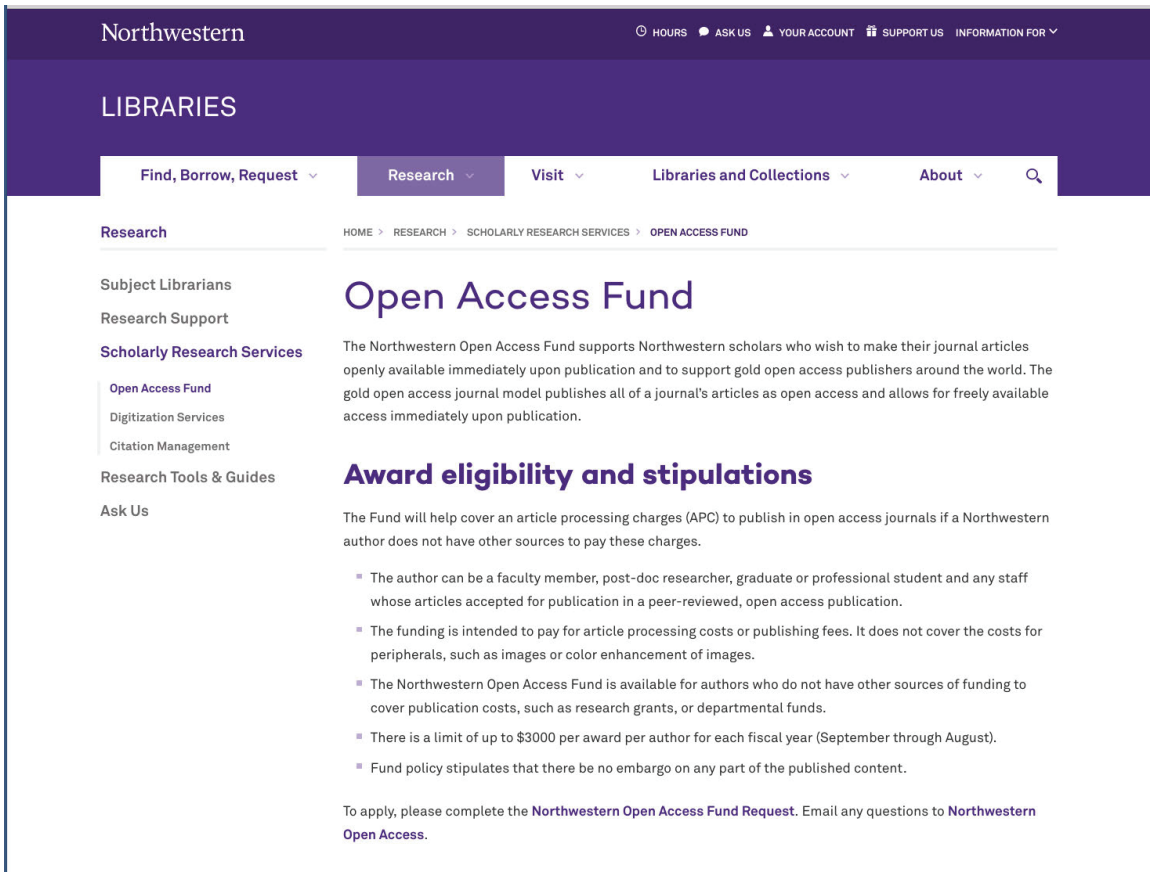

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http://www.library.northwestern.edu/research/scholarly/open-access-fund.html

Frequently asked questions

What content types are eligible?

Articles accepted for publication in peer-reviewed open access journals. Articles may not still be under review; they must be accepted.

What constitutes an acceptable open-access journal?

Any peer-reviewed journal that meets each of the following criteria:

- The journal is listed in the **Directory of Open Access Journals** or is a member of the **Open Access Scholarly Publishers Association**;
- The journal's publisher is conducting business in a manner consistent with the **OASPA Code of Conduct**; and
- The journal is published in a fully open access format based on a published schedule of article processing fees.

Are any access restrictions acceptable?

The fund cannot be used to support "hybrid" open access publishing, or to cover publishing fees where an embargo is required. The hybrid model is used to make individual articles available in journals that require paid subscriptions to access the remainder of articles.

Are there any additional requirements for authors who receive funding?

Authors must deposit a digital copy of their article with one of the official Northwestern libraries. The library will preserve and make these works available in an institutional digital repository.

How are intramural collaborations handled?

Each author will be responsible for a prorated portion up to a total per-article cap of $3,000.

Who funds and administers the Northwestern Open Access Fund?

The fund is supported by the Northwestern University Libraries. The fund is administered by a group of scholarly communication experts in the libraries, and funds disbursed by the libraries' acquisitions department.

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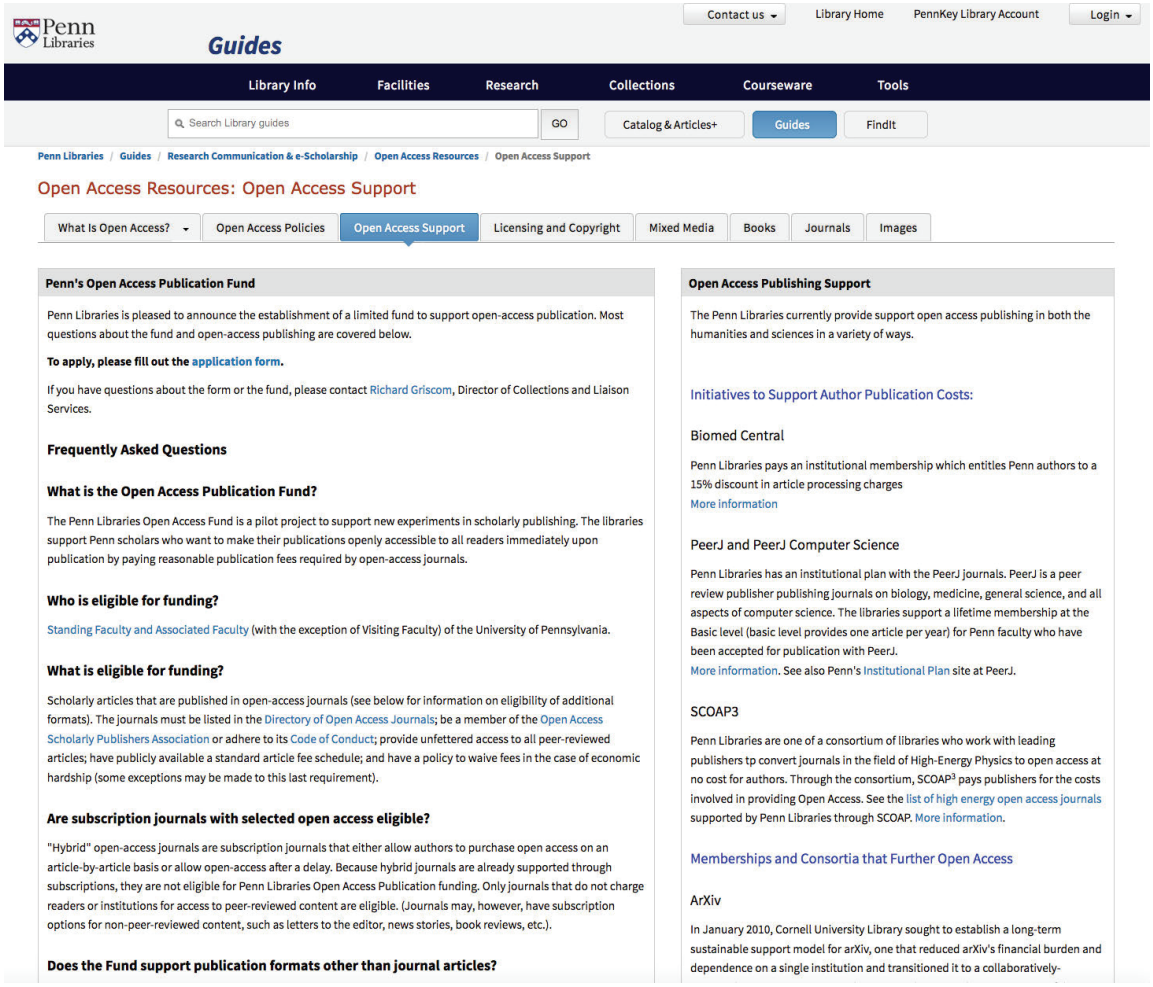
Penn's Open Access Publication Fund

http://guides.library.upenn.edu/oa/library_support_for_openaccess_publication



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Open Access Resources: Open Access Support

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Penn's Open Access Publication Fund

Penn Libraries is pleased to announce the establishment of a limited fund to support open-access publication. Most questions about the fund and open-access publishing are covered below.

To apply, please fill out the application form.

If you have questions about the form or the fund, please contact Richard Griscom, Director of Collections and Liaison Services.

Frequently Asked Questions

What is the Open Access Publication Fund?

The Penn Libraries Open Access Fund is a pilot project to support new experiments in scholarly publishing. The libraries support Penn scholars who want to make their publications openly accessible to all readers immediately upon publication by paying reasonable publication fees required by open-access journals.

Who is eligible for funding?

Standing Faculty and Associated Faculty (with the exception of Visiting Faculty) of the University of Pennsylvania.

What is eligible for funding?

Scholarly articles that are published in open-access journals (see below for information on eligibility of additional formats). The journals must be listed in the Directory of Open Access Journals; be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct; provide unfettered access to all peer-reviewed articles; have publicly available a standard article fee schedule; and have a policy to waive fees in the case of economic hardship (some exceptions may be made to this last requirement).

Are subscription journals with selected open access eligible?

"Hybrid" open-access journals are subscription journals that either allow authors to purchase open access on an article-by-article basis or allow open-access after a delay. Because hybrid journals are already supported through subscriptions, they are not eligible for Penn Libraries Open Access Publication funding. Only journals that do not charge readers or institutions for access to peer-reviewed content are eligible. (Journals may, however, have subscription options for non-peer-reviewed content, such as letters to the editor, news stories, book reviews, etc.).

Does the Fund support publication formats other than journal articles?

Open Access Publishing Support

The Penn Libraries currently provide support open access publishing in both the humanities and sciences in a variety of ways.

Initiatives to Support Author Publication Costs:

Biomed Central

Penn Libraries pays an institutional membership which entitles Penn authors to a 15% discount in article processing charges
More information

PeerJ and PeerJ Computer Science

Penn Libraries has an institutional plan with the PeerJ journals. PeerJ is a peer review publisher publishing journals on biology, medicine, general science, and all aspects of computer science. The libraries support a lifetime membership at the Basic level (basic level provides one article per year) for Penn faculty who have been accepted for publication with PeerJ.
More information. See also Penn's Institutional Plan site at PeerJ.

SCOAP3

Penn Libraries are one of a consortium of libraries who work with leading publishers tp convert journals in the field of High-Energy Physics to open access at no cost for authors. Through the consortium, SCOAP^3 pays publishers for the costs involved in providing Open Access. See the list of high energy open access journals supported by Penn Libraries through SCOAP. More information.

Memberships and Consortia that Further Open Access

ArXiv

In January 2010, Cornell University Library sought to establish a long-term sustainable support model for arXiv, one that reduced arXiv's financial burden and dependence on a single institution and transitioned it to a collaboratively-

Yes, if you are publishing with a peer review publisher who publishes open access monographs or conference proceedings, the Penn Libraries Open Access Publication Fund will support costs according to the same guidelines and same limits as for journal articles.

Are there limits on the amount that can be requested?

An author may request up to $3,000 per article (or alternative publication format--see above) and no more than $3,000 in any academic year.

What fees are eligible for reimbursement?

Publication charges can encompass some or all of the fees charged by a publisher for article processing services, such as submission fees, article processing fees proper, page charges, and illustration fees (both for color and black and white).

At what point in the author's publishing process does the Open Access Fund become available?

The Open Access Fund is intended to be a funding source of last resort. Articles whose underlying research was funded by grants that allow funds to be used for open access publication fees are not eligible for funding. Because funding is limited, the libraries see the fund as filling in the gaps not otherwise provided for by research grants.

How are applications funded?

The Penn Libraries will provide Open Access Publication Fund subsidies to eligible applicants on a first-come, first-served basis until all of the designated funds for the academic year have been used. Successful applicants will have up to $3000 per article reimbursed upon the submission of a receipt and proof of payment. The Director for Collections and Liaison Services leads the distribution process. Please contact him for additional information.

Do funded authors also need to place their article in ScholarlyCommons@Penn?

Yes, we require publications funded by the libraries' Open Access Fund be submitted to Penn's institutional repository, ScholarlyCommons@Penn. ScholarlyCommons highlights Penn-authored publications, provides a permanent URL for each work deposited therein, and provides easy discoverability.

Is Fund likely to be funded permanently?

All aspects of this program, including the amount of funding committed to the program, will be analyzed and reviewed on a regular basis. If the pilot project proves to be valuable to scholarly communication and the Penn community, the Open Access Publication Fund may receive continued funding.

Why is this initiative needed?

There are many models for open access within the world of journal publication. "Green" open access is one of these models, and is largely supported through submitting content to institutional repositories (see Penn's ScholarlyCommons). There are multiple experiments in Green open access underway in humanities and social sciences publishing. The Penn Libraries support several of these initiatives, which are noted in this guide's right-hand column.

Many open access journals, especially in the sciences and among commercial publishers, rely on article processing fees (APCs). Small societies that rely on membership dues or subscriptions for survival as well as the large commercial journal aggregators wish to maintain a revenue stream, and they seek to do this through APCs. This is a form of "Gold" open access. Penn's Open Access Publication Fund, along with publication subsidies from granting agencies, will support Gold open access under specific conditions noted below. The Sherpa Romeo site provides more information on Open Access archiving and color designations.

governed, community-supported resource. The Penn Libraries was one of the institutions worldwide to join the collaborative effort to realize this new model of support for arXiv. Penn has been a continuously supporting member since 2010. More information.

Hathitrust.org

HathiTrust began as a collaboration of the universities of the Committee on Institutional Cooperation and the University of California system to establish a repository to archive and share their digitized collections. It quickly expanded to include additional partners and to provide those partners with an easy means to archive their digital content. The initial focus of the partnership has been on preserving and providing access to digitized book and journal content from the partner library collections. This includes both in-copyright and public-domain materials digitized by Google, the Internet Archive, and Microsoft, as well as through in-house initiatives. The University of Pennsylvania is a HathiTrust partner institution.

Authors who maintain control over the copyright of their published books may complete a "Rights Holder Permission Agreement" to make their work openly accessible through HathiTrust.org.

Knowledge Unlatched

Knowledge Unlatched (KU) is an initiative to create a sustainable route to open access books. This pilot collection of Humanities and Social Science books are made available under a Creative Commons license through OAPEN. The Penn Libraries are a participant in the Knowledge Unlatched open access project. KU is beginning to build its second round of open access publications. More information. Find KU open access books through the Franklin catalog. As of Fall 2015, KU is launching phase two with 78 new titles from more than 20 publishers .

Open Book Publishers (OBP)

All OBP books are peer-reviewed publications in the Humanities and Social Sciences. Publications are free to read online or can be bought in hardback, paperback, PDF, or ebook format. Find Open Book Publishers editions through the Franklin catalog. More information.

Open Library of the Humanities

The Open Library of Humanities (OLH) is a gold open access, peer-reviewed, internationally-supported, academic-led, not-for-profit, mega-journal, multi-journal and books platform for the humanities. It is funded by an international library consortium and so has no author-facing charges. Open access refers to peer-reviewed academic research that is available freely to read and reuse online. The Penn Libraries supports Open Library of the Humanities. The Journal of British and Irish Innovative Poetry is the first journal to convert to 100% open access through the support of OLH. The platform officially launches in September of 2015. More information. OLH launched on September 28 with 7 academic journals from across the humanities disciplines, including OLH's own multidisciplinary journal.

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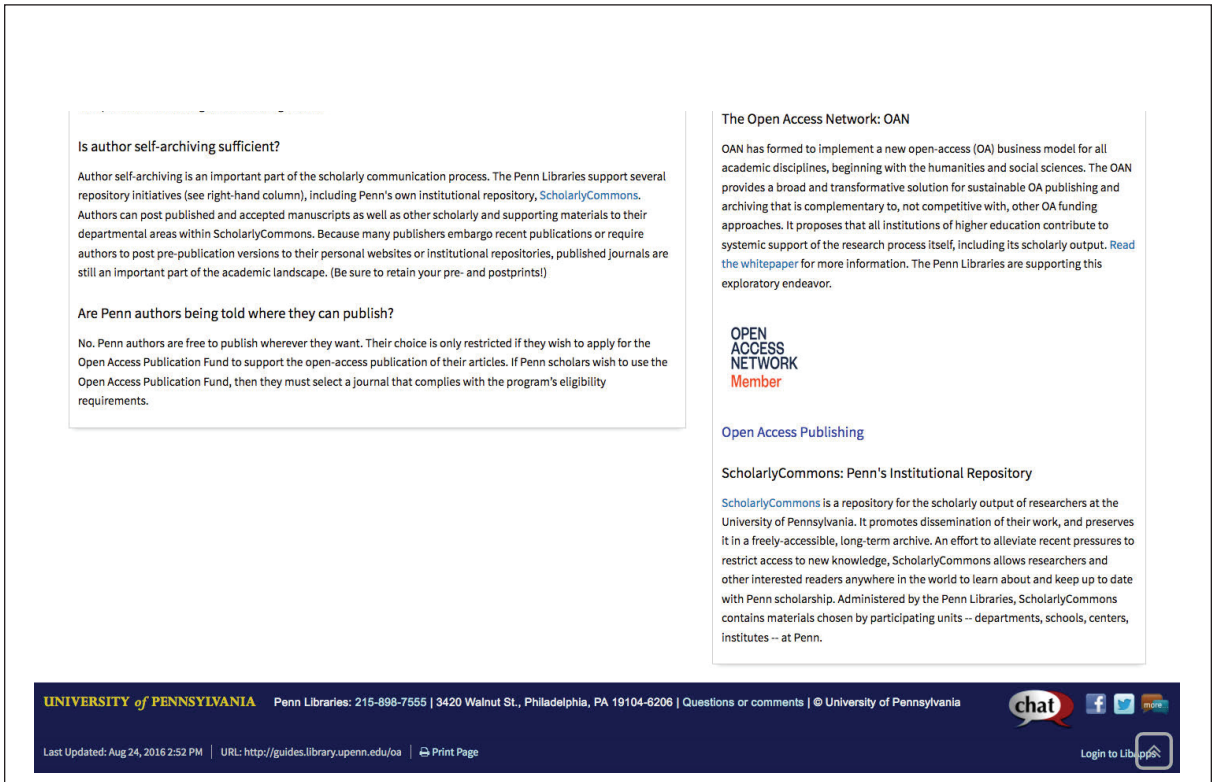
Penn's Open Access Publication Fund

http://guides.library.upenn.edu/oa/library_support_for_openaccess_publication



Is author self-archiving sufficient?

Author self-archiving is an important part of the scholarly communication process. The Penn Libraries support several repository initiatives (see right-hand column), including Penn's own institutional repository, ScholarlyCommons. Authors can post published and accepted manuscripts as well as other scholarly and supporting materials to their departmental areas within ScholarlyCommons. Because many publishers embargo recent publications or require authors to post pre-publication versions to their personal websites or institutional repositories, published journals are still an important part of the academic landscape. (Be sure to retain your pre- and postprints!)

Are Penn authors being told where they can publish?

No. Penn authors are free to publish wherever they want. Their choice is only restricted if they wish to apply for the Open Access Publication Fund to support the open-access publication of their articles. If Penn scholars wish to use the Open Access Publication Fund, then they must select a journal that complies with the program's eligibility requirements.

The Open Access Network: OAN

OAN has formed to implement a new open-access (OA) business model for all academic disciplines, beginning with the humanities and social sciences. The OAN provides a broad and transformative solution for sustainable OA publishing and archiving that is complementary to, not competitive with, other OA funding approaches. It proposes that all institutions of higher education contribute to systemic support of the research process itself, including its scholarly output. Read the whitepaper for more information. The Penn Libraries are supporting this exploratory endeavor.

OPEN ACCESS NETWORK Member

Open Access Publishing

ScholarlyCommons: Penn's Institutional Repository

ScholarlyCommons is a repository for the scholarly output of researchers at the University of Pennsylvania. It promotes dissemination of their work, and preserves it in a freely-accessible, long-term archive. An effort to alleviate recent pressures to restrict access to new knowledge, ScholarlyCommons allows researchers and other interested readers anywhere in the world to learn about and keep up to date with Penn scholarship. Administered by the Penn Libraries, ScholarlyCommons contains materials chosen by participating units -- departments, schools, centers, institutes -- at Penn.

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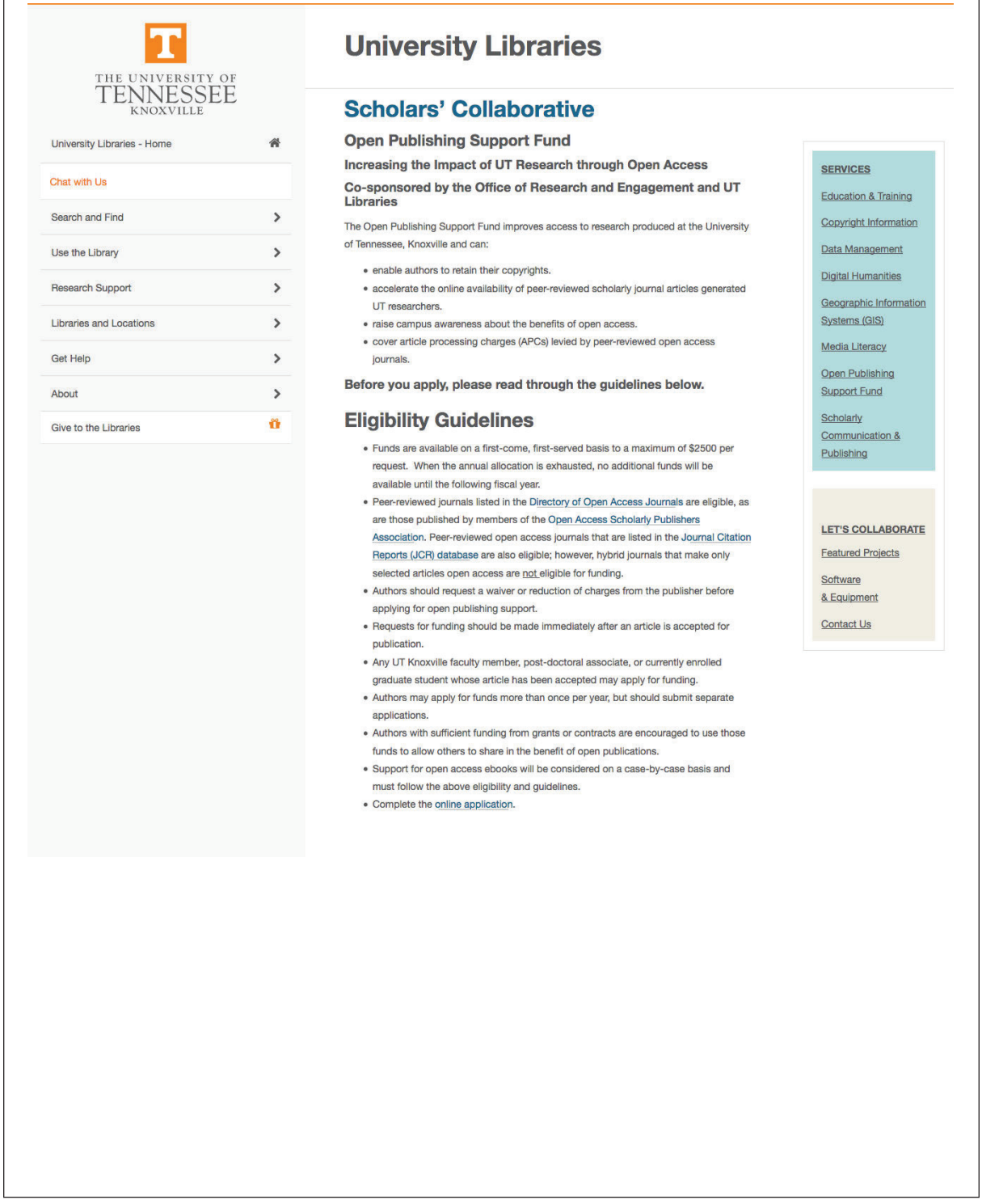
https://www.lib.utk.edu/scholar/services/opsf/



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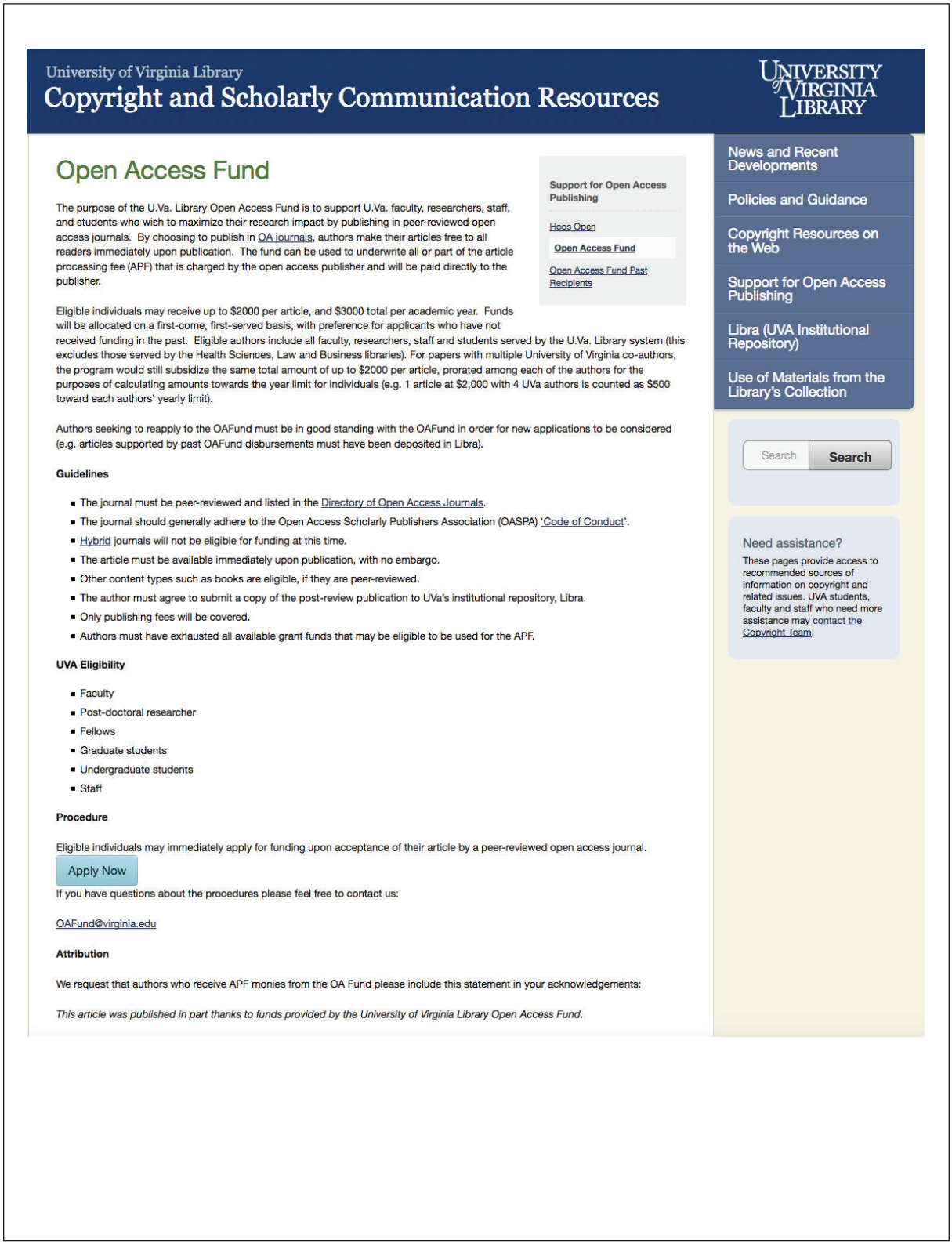
Open Access Fund

http://copyright.library.virginia.edu/support-for-open-access-publishing/uva-library-open-access-fund/



University of Virginia Library

Copyright and Scholarly Communication Resources

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Open Access Fund

The purpose of the U.Va. Library Open Access Fund is to support U.Va. faculty, researchers, staff, and students who wish to maximize their research impact by publishing in peer-reviewed open access journals. By choosing to publish in OA journals, authors make their articles free to all readers immediately upon publication. The fund can be used to underwrite all or part of the article processing fee (APF) that is charged by the open access publisher and will be paid directly to the publisher.

Support for Open Access Publishing

Hoos Open

Open Access Fund

Open Access Fund Past Recipients

Eligible individuals may receive up to $2000 per article, and $3000 total per academic year. Funds will be allocated on a first-come, first-served basis, with preference for applicants who have not received funding in the past. Eligible authors include all faculty, researchers, staff and students served by the U.Va. Library system (this excludes those served by the Health Sciences, Law and Business libraries). For papers with multiple University of Virginia co-authors, the program would still subsidize the same total amount of up to $2000 per article, prorated among each of the authors for the purposes of calculating amounts towards the year limit for individuals (e.g. 1 article at $2,000 with 4 UVa authors is counted as $500 toward each authors' yearly limit).

Authors seeking to reapply to the OAFund must be in good standing with the OAFund in order for new applications to be considered (e.g. articles supported by past OAFund disbursements must have been deposited in Libra).

Guidelines

- The journal must be peer-reviewed and listed in the Directory of Open Access Journals.
- The journal should generally adhere to the Open Access Scholarly Publishers Association (OASPA) 'Code of Conduct'.
- Hybrid journals will not be eligible for funding at this time.
- The article must be available immediately upon publication, with no embargo.
- Other content types such as books are eligible, if they are peer-reviewed.
- The author must agree to submit a copy of the post-review publication to UVa's institutional repository, Libra.
- Only publishing fees will be covered.
- Authors must have exhausted all available grant funds that may be eligible to be used for the APF.

UVA Eligibility

- Faculty
- Post-doctoral researcher
- Fellows
- Graduate students
- Undergraduate students
- Staff

Procedure

Eligible individuals may immediately apply for funding upon acceptance of their article by a peer-reviewed open access journal.

Apply Now

If you have questions about the procedures please feel free to contact us:

OAFund@virginia.edu

Attribution

We request that authors who receive APF monies from the OA Fund please include this statement in your acknowledgements:

This article was published in part thanks to funds provided by the University of Virginia Library Open Access Fund.

News and Recent Developments

Policies and Guidance

Copyright Resources on the Web

Support for Open Access Publishing

Libra (UVA Institutional Repository)

Use of Materials from the Library's Collection

Search Search

Need assistance?

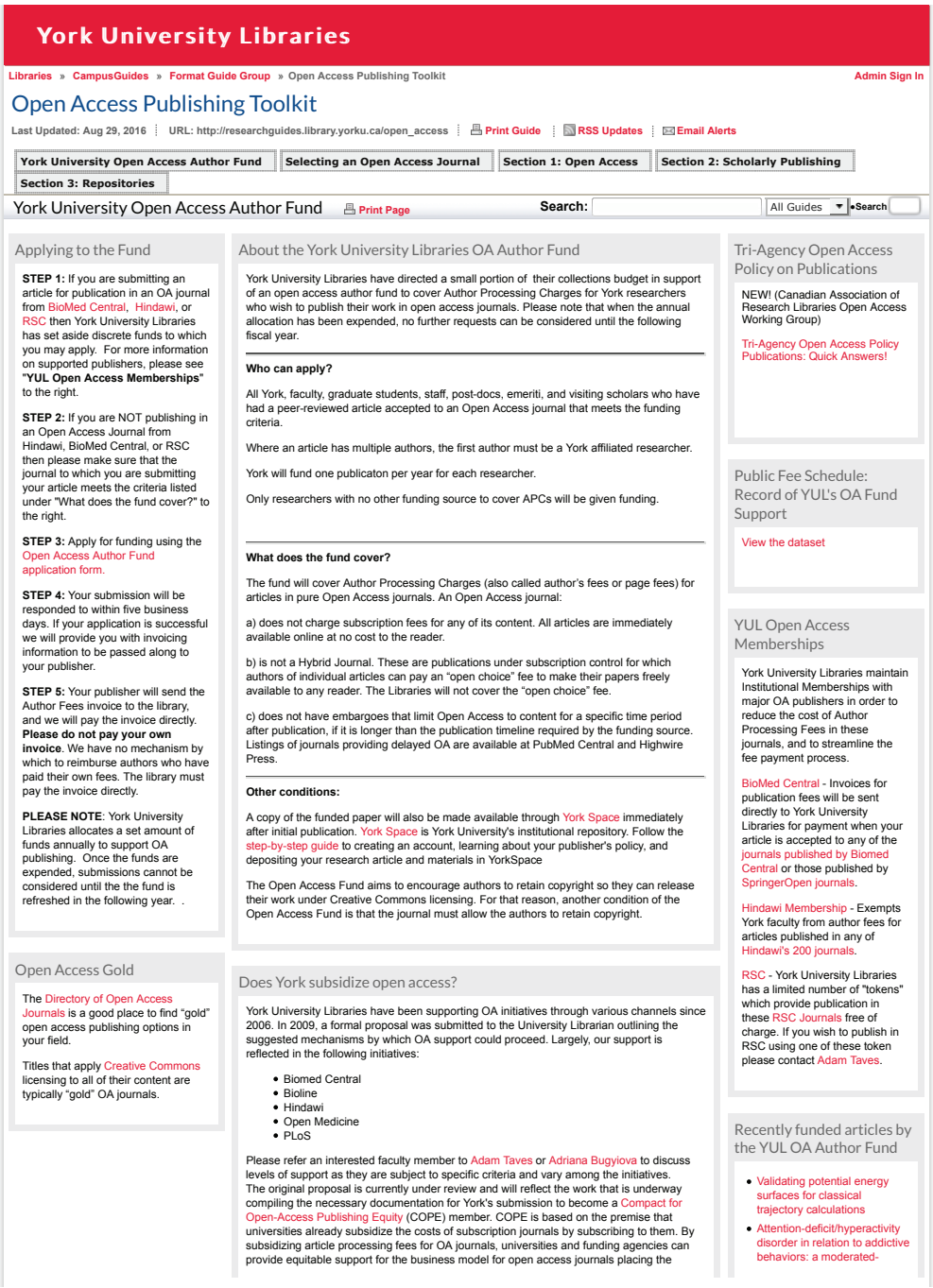
These pages provide access to recommended sources of information on copyright and related issues. UVA students, faculty and staff who need more assistance may contact the Copyright Team.

VIRGINIA TECH LIBRARIES
Open Access Subvention Fund
http://www.lib.vt.edu/oafund/

YORK UNIVERSITY LIBRARIES

York University Open Access Author Fund

http://researchguides.library.yorku.ca/open_access/



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Open Access Publishing Toolkit

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Applying to the Fund

STEP 1: If you are submitting an article for publication in an OA journal from BioMed Central, Hindawi, or RSC then York University Libraries has set aside discrete funds to which you may apply. For more information on supported publishers, please see "**YUL Open Access Memberships**" to the right.

STEP 2: If you are NOT publishing in an Open Access Journal from Hindawi, BioMed Central, or RSC then please make sure that the journal to which you are submitting your article meets the criteria listed under "What does the fund cover?" to the right.

STEP 3: Apply for funding using the Open Access Author Fund application form.

STEP 4: Your submission will be responded to within five business days. If your application is successful we will provide you with invoicing information to be passed along to your publisher.

STEP 5: Your publisher will send the Author Fees invoice to the library, and we will pay the invoice directly. **Please do not pay your own invoice**. We have no mechanism by which to reimburse authors who have paid their own fees. The library must pay the invoice directly.

PLEASE NOTE: York University Libraries allocates a set amount of funds annually to support OA publishing. Once the funds are expended, submissions cannot be considered until the the fund is refreshed in the following year. .

Open Access Gold

The Directory of Open Access Journals is a good place to find "gold" open access publishing options in your field.

Titles that apply Creative Commons licensing to all of their content are typically "gold" OA journals.

About the York University Libraries OA Author Fund

York University Libraries have directed a small portion of their collections budget in support of an open access author fund to cover Author Processing Charges for York researchers who wish to publish their work in open access journals. Please note that when the annual allocation has been expended, no further requests can be considered until the following fiscal year.

Who can apply?

All York, faculty, graduate students, staff, post-docs, emeriti, and visiting scholars who have had a peer-reviewed article accepted to an Open Access journal that meets the funding criteria.

Where an article has multiple authors, the first author must be a York affiliated researcher.

York will fund one publicaton per year for each researcher.

Only researchers with no other funding source to cover APCs will be given funding.

What does the fund cover?

The fund will cover Author Processing Charges (also called author's fees or page fees) for articles in pure Open Access journals. An Open Access journal:

a) does not charge subscription fees for any of its content. All articles are immediately available online at no cost to the reader.

b) is not a Hybrid Journal. These are publications under subscription control for which authors of individual articles can pay an "open choice" fee to make their papers freely available to any reader. The Libraries will not cover the "open choice" fee.

c) does not have embargoes that limit Open Access to content for a specific time period after publication, if it is longer than the publication timeline required by the funding source. Listings of journals providing delayed OA are available at PubMed Central and Highwire Press.

Other conditions:

A copy of the funded paper will also be made available through York Space immediately after initial publication. York Space is York University's institutional repository. Follow the step-by-step guide to creating an account, learning about your publisher's policy, and depositing your research article and materials in YorkSpace

The Open Access Fund aims to encourage authors to retain copyright so they can release their work under Creative Commons licensing. For that reason, another condition of the Open Access Fund is that the journal must allow the authors to retain copyright.

Does York subsidize open access?

York University Libraries have been supporting OA initiatives through various channels since 2006. In 2009, a formal proposal was submitted to the University Librarian outlining the suggested mechanisms by which OA support could proceed. Largely, our support is reflected in the following initiatives:

- Biomed Central
- Bioline
- Hindawi
- Open Medicine
- PLoS

Please refer an interested faculty member to Adam Taves or Adriana Bugyiova to discuss levels of support as they are subject to specific criteria and vary among the initiatives. The original proposal is currently under review and will reflect the work that is underway compiling the necessary documentation for York's submission to become a Compact for Open-Access Publishing Equity (COPE) member. COPE is based on the premise that universities already subsidize the costs of subscription journals by subscribing to them. By subsidizing article processing fees for OA journals, universities and funding agencies can provide equitable support for the business model for open access journals placing the

Tri-Agency Open Access Policy on Publications

NEW! (Canadian Association of Research Libraries Open Access Working Group)

Tri-Agency Open Access Policy Publications: Quick Answers!

Public Fee Schedule: Record of YUL's OA Fund Support

View the dataset

YUL Open Access Memberships

York University Libraries maintain Institutional Memberships with major OA publishers in order to reduce the cost of Author Processing Fees in these journals, and to streamline the fee payment process.

BioMed Central - Invoices for publication fees will be sent directly to York University Libraries for payment when your article is accepted to any of the journals published by Biomed Central or those published by SpringerOpen journals.

Hindawi Membership - Exempts York faculty from author fees for articles published in any of Hindawi's 200 journals.

RSC - York University Libraries has a limited number of "tokens" which provide publication in these RSC Journals free of charge. If you wish to publish in RSC using one of these token please contact Adam Taves.

Recently funded articles by the YUL OA Author Fund

- Validating potential energy surfaces for classical trajectory calculations
- Attention-deficit/hyperactivity disorder in relation to addictive behaviors: a moderated-

subscription-fee and processing-fee models on a more level playing field.

COPE commits a university to "the timely establishment of durable mechanisms for underwriting reasonable publication charges for articles written by its faculty and published in fee-based open access journals and for which other institutions would not be expected to provide funds."

mediation analysis of personality-risk factors and sex

- Amino acid-induced impairment of insulin sensitivity in healthy and obese rats is reversible
- Food cravings, appetite, and snack-food consumption in response to a psychomotor stimulant drug: the moderating effect of "food-addiction"

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