

Open Access Policies

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Home » Services » Faculty & Instructor Support » Scholarly Communications » Open Access

Open Access Mandate

The Academic Council of Libraries and Cultural Resources at the University of Calgary has adopted a mandate to deposit their scholarly output in [Dspace](#), the University's open access institutional repository. The repository has been in place since March 2003 and currently provides access to a broad range of scholarly output, including a growing collection of full text university theses.

The text of the mandate is:

"As an active member of the Scholarly Publishing and Academic Resources Coalition, Libraries and Cultural Resources at the University of Calgary endorses the Budapest Open Access Initiative, the Bethesda Statement on Open Access Publishing and the Berlin Declaration.

LCR academic staff members believe that the output of our scholarly activities should be as widely disseminated and openly available as possible. Our scholarly output includes but is not limited to journal articles, books and book chapters, presentations if substantial, conference papers and proceedings, and datasets.

Effective April 17, 2009, LCR academic staff commit to

- Deposit their scholarly output in the University of Calgary's open access scholarly repository
- Promote Open Access on campus and assist scholars in making their research openly available
- Where possible, publish their research in an open-access journal"

More information about the [institutional repository](#).
[LCR draft submission guidelines](#) (PDF)

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Duke Libraries > Open Access at Duke University

Duke OPEN ACCESS POLICY

Prologue

Among the enduring themes of Duke's strategic plan are knowledge in the service of society and affordability and access, key components of our goal as a research university to create, disseminate and preserve knowledge. In Spring 2009, Provost Peter Lange established the Digital Futures Task Force to propose a set of measures that Duke University can undertake to further these ends.

The proposed Open Access policy would provide the legal basis for Duke to preserve the work of Duke scholars in a permanent digital repository and to provide access to that work to anyone who seeks it. The policy is intended to serve the faculty's interests by allowing articles to receive open distribution, simplifying authors retention of distribution rights, aiding preservation, and providing unified action to discourage publishers from rejecting articles because they will be available in open access. In any case in which the license works against the interest of a faculty member, the policy allows for waiver of the license or delay of distribution.

While the precise mechanisms by which this will work have not yet been fully developed, the intention is that this policy will not result in more work for the faculty, and it is worth a relatively small investment because, in many fields, work published in journals is not accessible to those who do not subscribe to the journal or whose libraries don't subscribe.

By joining research universities such as Harvard, Stanford and MIT as well as funding agencies including NIH in adopting an open access policy and building digital repository service, Duke becomes part of a growing and important movement toward a new mode of open access scholarly publication.

Policy Text

The Faculty of Duke University is committed to disseminating the fruits of its research and scholarship as widely as possible. In addition to the public benefit of such dissemination, this policy is intended to serve faculty interests by promoting greater reach and impact for articles, simplifying authors' retention of distribution rights, and aiding preservation. In keeping with these commitments, the Faculty adopts the following policy.

Each Faculty member grants to Duke University permission to make available his or her scholarly articles and to reproduce and distribute those articles for the purpose of open dissemination. In legal terms, each Faculty member grants to Duke University a nonexclusive, irrevocable, royalty-under copyright relating to each of his or her scholarly articles, in any medium, and to authorize others to do so, provided that the articles are not sold. The Duke faculty author remains the copyright owner unless that author chooses to transfer the copyright to a publisher.

The policy will apply to all scholarly articles authored or co-authored except for any articles completed before the adoption of this policy and any articles for which the Faculty member entered into an incompatible licensing or assignment agreement before the adoption of this policy. The Provost or Provost's designate will waive application of the license for a particular article or delay access for a specified period of time upon written request by a Faculty member.

To assist the University in distributing the scholarly articles, each faculty member will make available, as of the date of publication or upon request, an electronic copy of the final author's version of the article at no charge to a designated representative of the Provost's Office in an appropriate format (such as PDF) specified by the Provost's Office. The Provost's Office will make the article available to the public in Duke's open-Duke license has been waived or an embargo period has been mutually agreed, the article may be archived in a Duke repository without open access for the period of the embargo, or permanently in cases of waiver.

The Office of the Provost, in consultation with the Executive Committee of the Academic Council, will be responsible for interpreting this policy, resolving disputes concerning its interpretation and application, and recommending changes to the Faculty from time to time.

The Faculty calls upon the Library Council and Duke University Libraries to develop and monitor a plan for a service or mechanism that would render compliance with the policy as convenient for the faculty as possible.

The policy and service model will be reviewed after three years and a report presented to the Faculty.

Background Information about the Duke Open Access Policy

Drawn from the FAQ developed to inform discussions before the adoption of the policy in spring 2010

[Benefits of open access and an institutional repository](#)

[Is this policy unique?](#)

[Impact of the policy](#)

[DukeSpace and the planned service model for assisting with deposit](#)

[Visit DukeSpace](#)

More information about open access to scholarship

[General overview of open access publishing, from Wikipedia](#)

[Overview of open access issues, from SPARC the Scholarly Publishing and Academic Resources Coalition](#)

[Open Access Directory](#)

[Open Access Overview](#)

[Open Access Scholarly Information Sourcebook \(OASIS\)](#)

News about Open Access @ Duke

[Open Access for Scholarly Writing \(Duke Today, March 2010\)](#)

[Faculty Move Forward on Open Access Policy \(Duke Today, March 2010\)](#)

[Open Access at Duke \(Scholarly Communications @ Duke, March 2010\)](#)

[Setting the Default to Open: Paolo Mangiafico, on Open Access at Duke University \(opensource.com, May 2010\)](#)

[Duke Joins Compact for Open Access to Scholarly Journals \(Duke Today, October 2010\)](#)

[Libraries Offer Tools and Support for Open-Access Publishing \(Duke Libraries, June 2011\)](#)

Origins of the Policy

The policy was developed by the Digital Futures Task Force, a group appointed by the Provost in Spring 2009 to explore issues related to the evolution of and support for new models of digital information use, management, dissemination, and preservation. In its first year, the Task Force drafted an open access policy for Duke based on the model set by Harvard, MIT, and others, and reviewed the draft with many faculty and administrative governance groups in Fall 2009 and Winter 2010, revising the document based on feedback from faculty. The draft policy was presented and discussed at the Duke Academic Council meeting in February 2010 and adopted with a unanimous vote at the Academic Council meeting in March 2010.

Digital Futures Task Force - 2009/2010

Co-Chairs:

Cathy N. Davidson, *English, Franklin Humanities Institute*
Paolo Mangiafico, *Office of the Provost*

Members

Martha Adams, *Medical School*
Stuart Benjamin, *Law School*
Samantha Earp, *OIT Academic Services*
Deborah Jakubs, *University Librarian and Vice Provost for Library Affairs*
Jim Moody, *Sociology*
Negar Mottahedeh, *Literature*
Tony O'Driscoll, *Fuqua School*
Tim Pyatt, *University Archives / Special Collections Library*
Kathleen Smith, *Biology*
Kevin Smith, *Library / Scholarly Communications*
Kim Steinle, *Duke University Press*



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Scholarly Publishing @ MIT Libraries

Retaining rights and increasing the impact of research

Home Open Access Copyright & Publishing About

MIT Faculty Open Access Policy

Policy adopted by unanimous vote of the faculty on 3/18/2009:

The Faculty of the Massachusetts Institute of Technology is committed to disseminating the fruits of its research and scholarship as widely as possible. In keeping with that commitment, the Faculty adopts the following policy: Each Faculty member grants to the Massachusetts Institute of Technology nonexclusive permission to make available his or her scholarly articles and to exercise the copyright in those articles for the purpose of open dissemination. In legal terms, each Faculty member grants to MIT a nonexclusive, irrevocable, paid-up, worldwide license to exercise any and all rights under copyright relating to each of his or her scholarly articles, in any medium, provided that the articles are not sold for a profit, and to authorize others to do the same. The policy will apply to all scholarly articles written while the person is a member of the Faculty except for any articles completed before the adoption of this policy and any articles for which the Faculty member entered into an incompatible licensing or assignment agreement before the adoption of this policy. The Provost or Provost's designate will waive application of the policy for a particular article upon written notification by the author, who informs MIT of the reason.

To assist the Institute in distributing the scholarly articles, as of the date of publication, each Faculty member will make available an electronic copy of his or her final version of the article at no charge to a designated representative of the Provost's Office in appropriate formats (such as PDF) specified by the Provost's Office.

The Provost's Office will make the scholarly article available to the public in an open-access repository. The Office of the Provost, in consultation with the Faculty Committee on the Library System, will be responsible for interpreting this policy, resolving disputes concerning its interpretation and application, and recommending changes to the Faculty. The policy is to take effect immediately; it will be reviewed after five years by the Faculty Policy Committee, with a report presented to the Faculty.

The faculty calls upon the Faculty Committee on the Library System to develop and monitor a plan for a service or mechanism that would render compliance with the policy as convenient for the faculty as possible.

Deposit a paper under the Policy

DETAILS ABOUT THE POLICY

- [Definition of Terms that Appear in the Policy](#)
- [Working with the policy](#) — steps to take, including:

Opting Out (Obtaining a waiver):

To opt out, fill out a [simple web form](#), or send an email or other written notice to oapolicyoptout@mit.edu informing MIT of the following:

- * Name of MIT author
- * Title of article (expected or working title)
- * Journal you expect to publish in
- * Reason you are opting out

- [FAQ about the policy](#)
- [Publisher responses to the policy](#)

QUICK LINKS

- ▶ [MIT Amendment](#)
- ▶ [MIT Open Access Policy](#)
- ▶ [MIT Open Access Publishing Fund](#)
- ▶ [NIH Public Access Policy](#)

HELPFUL TOOLS

- ▶ [Guide to Getting Published](#)
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YORK U ORGANIZATION
DIRECTORY
SITE INDEX
CAMPUS MAPS

York University Libraries

Check your account

Renew items

Classic catalogue

Study room booking

RACER (interlibrary loan)

Undergrad Library Guide

Login to eResources from home



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About ▾
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[York University Libraries](#) > Open Access Policy for Librarians and Archivists

Open Access Policy for Librarians and Archivists

Librarians and archivists at York University recognize the importance of open access to content creators and researchers in fostering new ideas, creating knowledge and ensuring that it is available as widely as possible. In keeping with our long-standing support of the Open Access movement, York librarians and archivists move to adopt a policy which would ensure our research is disseminated as widely as possible and available in perpetuity through deposit in York's institutional repository, YorkSpace.

Policy Statement

Academic librarians and archivists at York University¹ commit to making the best possible effort to publish in venues providing unrestricted public access to their works. They will endeavour to secure the right to self-archive their published materials, and will deposit these works in YorkSpace.

The York University academic librarian and archivist complement grant York University Libraries the non-exclusive right to make their scholarly publications accessible through self-archiving in the YorkSpace institutional repository subject to copyright restrictions.

Guidelines

This policy applies to all scholarly and professional work produced as a member of York University academic staff produced as of the date of the adoption of this policy. Retrospective deposit is encouraged. Co-authored works should be included with the permission of the other author(s). Examples of works include:

- Scholarly and professional articles
- Substantive presentations, including slides and text
- Books/book chapters
- Reports
- Substantive pedagogical materials such as online tutorials

Works should be deposited in YorkSpace as soon as is possible, recognizing that some publishers may impose an embargo period.

This policy is effective as of 01/10/2009 and will be assessed a year after implementation.

1. As defined by PP 83/004, Section 3.1

"3.1 Membership – all individuals holding appointment at York University Libraries or the Law Library as full-time, reduced load or adjunct librarians." <http://www.library.yorku.ca/ccm/Staff/Policies/83004.htm>

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